

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5922960

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
COLIN NG	03/12/2018
ALAINA KAISER	03/12/2018
EMILY BETTINI	04/05/2018
PATRICK PACZKOWSKI	03/15/2018
SEAN MACKAY	04/17/2018
FEIMEI LIU	04/04/2018
MAITHREYAN SRINIVASAN	03/30/2018
RECEIVING PARTY DATA	
Name:	ISOPLEXIS CORPORATION
Street Address:	35 NORTH EAST INDUSTRIAL ROAD
City:	BRANFORD
State/Country:	CONNECTICUT
Postal Code:	06405
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US1761344
CORRESPONDENCE DATA	
Fax Number:	(202)842-7899
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	202-842-7800
Email:	zIPPatentDocketingMailboxUS@cooley.com
Correspondent Name:	COOLEY LLP ATTN: IP DOCKETING DEPARTMENT
Address Line 1:	1299 PENNSYLVANIA AVENUE, NW
Address Line 2:	SUITE 700
Address Line 4:	WASHINGTON, D.C. 20004
ATTORNEY DOCKET NUMBER:	ISOP-011/001WO
NAME OF SUBMITTER:	BRIAN P HOPKINS
SIGNATURE:	/Brian P. Hopkins/
DATE SIGNED:	01/22/2020

PATENT

Total Attachments: 11

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ASSIGNMENT

Colin NG, Alaina KAISER, Emily BETTINI, Patrick PACZKOWSKI, Sean MACKAY, Feimei LIU; and Maithreyan SRINIVASAN, (each referred to as "Assignor") have made an invention(s) (the "Invention(s)") set forth in an application for patent entitled COMPOSITIONS AND METHODS FOR THE SIMULTANEOUS GENOMIC, TRANSCRIPTOMIC AND PROTEOMIC ANALYSIS OF SINGLE CELLS, and which is a:

- (1) ☐ provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. , and filed on ;
- (2) ☐ non-provisional application
 - (a) ☐ to be filed herewith; or
 - (b) ☐ bearing Application No. , and filed on ;
and/or
- (3) ☒ PCT application
 - (a) ☒ bearing Application No. PCT/US2017/061344, and filed on
November 13, 2017.

and/or
- (4) ☐ attached hereto.

WHEREAS, IsoPlexis Corporation, a having its principal place of business at 35 North East Industrial Road, Branford, CT 06405, its successors, legal representatives and assigns, (the "Assignee"), is desirous of acquiring the entire right, title, and interest in: the Invention(s); the application(s) for patent identified above; the right to file applications for patent of the United States or other countries on the Invention(s); any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, these applications; any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of these application(s); and any and all patent(s) of the United States or other countries that may be granted therefor or thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, and to the extent that the Assignor has not done so already via a prior agreement with the Assignee or a predecessor in interest of the Assignee, or if the Assignor has already done so via a prior agreement with the Assignee or a predecessor in interest of the Assignee then in confirmation of any obligation to do so in said prior

agreement, the Assignor has sold, assigned, transferred, and set over, and by these presents does sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns, the Assignor's entire right, title, and interest in:

- (a) the Invention(s);
- (b) the application(s) for patent identified above;
- (c) the right to file applications for patent of the United States or other countries on the Invention(s), including all rights under the Hague Convention, the Paris Convention for the Protection of Industrial Property, and under the Patent Cooperation Treaty, and all rights of claiming priority in any country of the world;
- (d) any application(s) for patent of the United States or other countries claiming the Invention(s);
- (e) any application(s) for patent of the United States or other countries claiming priority to, and/or the benefit of, at least one of the application(s) for patent identified above or any application(s) for patent claiming the Invention(s), including any priority application(s), substitute application(s), division(s), continuation(s), and continuation(s)-in-part;
- (f) any provisional or other right to recover any and all past, present, and future damages, including royalties, for any and all past, present, and future infringements of any application for patent identified in the preceding paragraphs (b)-(e) and of any and all patent(s) granted based thereon in the United States and in all other countries; and
- (g) any patent(s) of the United States or other countries that may be granted for or on any application for patent identified in the preceding paragraphs (b)-(e), including any reissue(s), reexamination(s), revival(s), renewal(s) and extension(s) of said patent(s).

The above-granted rights, titles, and interests are to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, as fully and entirely as the same would have been held and enjoyed by the Assignor had this sale and assignment not been made.

The Assignor hereby represents to the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, or if applicable, at such time said prior agreement was executed, the Assignor is a lawful owner of an undivided interest in the entire right, title, and interest in and to the Invention(s), that the Invention(s) are unencumbered, except, if applicable, by obligation to assign in accordance with said prior agreement, and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner set forth herein, and

that Assignor will not make or enter into any assignment, sale, agreement or encumbrance which would conflict with these presents.

The Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done in connection with any and all proceedings for the procurement, maintenance, enforcement and defense of the Invention(s), said application(s), and said patent(s), including interference and derivation proceedings, and any post-grant proceedings (e.g., opposition proceedings, post-grant reviews, *Inter partes* reviews, supplemental examinations, etc.) without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns.

The Assignor hereby authorizes and requests the attorneys of COOLEY LLP to insert in the spaces provided above the filing date, the application number, and the attorney docket number of the application(s) identified above when known.

The Assignor hereby requests the Commissioner of Patents to issue said patents of the United States to the Assignee for the sole use and behalf of the Assignee, its successors, legal representatives, and assigns.

Date: March 12, 2018 By: Colin Ng
Colin Ng

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of Connecticut)
County of New Haven) ss.

On March 12, 2018, before me, Elvella Lozada, Notary Public, personally appeared Colin Ng, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Signature of Notary Public

ELVELLA LOZADA
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2022

Place Notary Seal Above

My Commission Expires: _____

Date: March 12, 2018 By: AKD Ki
Alaina Kaiser

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of Connecticut)
) ss.
County of New Haven)

On March 12, 2018, before me, Elvella Lozada, Notary Public, personally appeared Alaina Kaiser, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Elvella Lozada
Signature of Notary Public

ELVELLA LOZADA
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2022

Place Notary Seal Above

My Commission Expires: _____

Date: 4/5/18

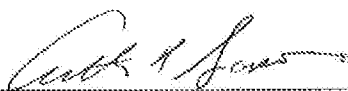
By: 
Emily Bettini

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State of CONNECTICUT)
County of Fairfield) ss.

On April 5, 2018, before me, Arthur R. Sasso, Notary Public, personally appeared Emily Bettini, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

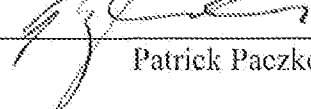
 
Arthur R. Sasso
Notary Public Connecticut
Commission No. 141030
My Commission Expires 3/31/2020

Signature of Notary Public

Place Notary Seal Above

My Commission Expires: 3/31/2020

Date: 3/15/18

By: 
Patrick Paczkowski

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State of Connecticut)
) ss.
County of New Haven)

On march 15, 2018, before me, Elvella Lozada, Notary Public, personally appeared Patrick Paczkowski, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Signature of Notary Public

ELVELLA LOZADA
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2022

Place Notary Seal Above

My Commission Expires: _____

Date: 04-17-2018

By: _____

Sean MacKay

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State of Connecticut)
County of New Haven) ss.

On 04-17-2018, before me, Elvella Lozada, Notary Public, personally appeared Sean MacKay, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

 _____

Signature of Notary Public

ELVELLA LOZADA
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2022

Place Notary Seal Above

My Commission Expires: _____

Date: 04/04/2018

By: 
Feimei Liu

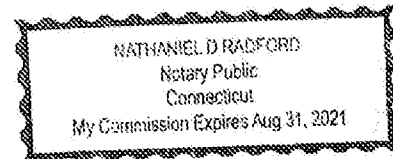
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State of Connecticut)
County of New Haven) ss.

On 4/4/2018, before me, Nathaniel Radford, Notary Public, personally appeared Feimei Liu, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 8/31/2021

Date: 0.10/2

By: March 30th 2018

Maithreyan Srinivasan

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State of CA

County of Santa Clara

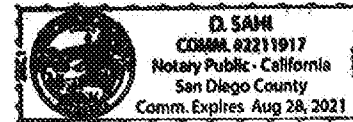
) ss.

On March 30, 2018, before me, D. Sahi, Notary Public, personally appeared Maithreyan Srinivasan, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he she they executed the same in his her their authorized capacity(ies), and that by his her their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[Signature]

Signature of Notary Public



Place Notary Seal Above

My Commission Expires: 08/28/2021

For and on behalf of ASSIGNEE:

Date: April 17, 2018

By:

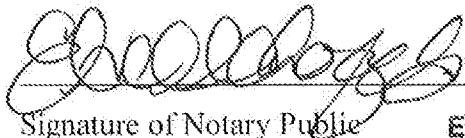
Name: Sean MacKay
Title: CEO
Company: IsoPlexis Corporation

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of Connecticut)
County of New Haven) ss.

On April 17, 2018, before me, Elvella Lozada, Notary Public, personally appeared Sean MacKay, who proved to me on the basis of satisfactory evidence, to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. REQUIRED SENTENCE IF NOTARIZED IN CALIFORNIA: I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



Signature of Notary Public

ELVELLA LOZADA
NOTARY PUBLIC OF CONNECTICUT
My Commission Expires 1/31/2022

Place Notary Seal Above

My Commission Expires: _____