

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5942046

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	F. HOFFMANN-LA ROCHE AG	10/14/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	HOFFMANN-LA ROCHE INC.	
<b>Street Address:</b>	150 CLOVE ROAD, OVERLOOK AT GREAT NOTCH	
<b>Internal Address:</b>	SUITE 8	
<b>City:</b>	LITTLE FALLS	
<b>State/Country:</b>	NEW JERSEY	
<b>Postal Code:</b>	07424	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	16721272
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>	(650)952-9881	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	650-467-8720	
<b>Email:</b>	kokkac@gene.com	
<b>Correspondent Name:</b>	CHIAKI KOKKA	
<b>Address Line 1:</b>	1 DNA WAY	
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080	
<b>ATTORNEY DOCKET NUMBER:</b>	P35128-US	
<b>NAME OF SUBMITTER:</b>	CHIAKI KOKKA	
<b>SIGNATURE:</b>	/Chiaki Kokka/	
<b>DATE SIGNED:</b>	02/03/2020	
<b>Total Attachments: 3</b>		
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**ASSIGNMENT AGREEMENT**

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United States of America, having its principal place of business at 150 Clove Road, Overlook at Great Notch, Suite 8, Little Falls, New Jersey 07424, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, including the right to claim priority, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

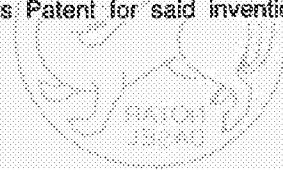
Case No.	Country	Filing Date	Serial No.
P35128-EP	Europe	December 21, 2018	18215121.7

entitled

**Tumor-targeted agonistic CD28 antigen binding molecules**

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and



improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

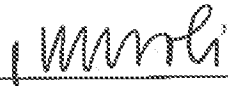
This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1960. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR

F. Hoffmann-La Roche AG

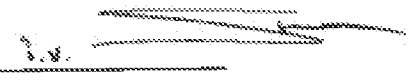
Basel, Switzerland, October 14, 2019

Place, Date



Antonio Natoli

Holder of procuration



Denise Strebel

Mandatory

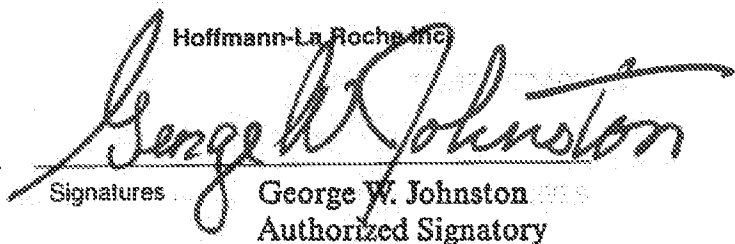
ASSIGNEE

Case No. P35128-EP

Newark, NJ

Place, Date

OCT. 24 2019

  
Hoffmann-La Roche Inc.  
Signatures  
George W. Johnston  
Authorized Signatory

## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of Basel (Switzerland), residing in Gretzenbach (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

Basel (Switzerland), this 18<sup>th</sup> (eighteenth) day of October 2019 (two thousand and nineteen).



CERTIFICATE OF ACKNOWLEDGMENT

State of New Jersey    )  
                                      ) ss  
County of Essex         )

On October 24, 2019 before me, Guadalupe Castro, Notary Public in and for said county, personally appeared George W. Johnston, Authorized Signatory for Hoffmann-La Roche Inc., who has identified himself as the signer to the attached document described as: Assignment Agreement.

I certify under penalty of perjury under the laws of the State of New Jersey that the foregoing paragraph is true and correct.

WITNESS by hand and official seal

GUADALUPE CASTRO  
A NOTARY PUBLIC OF NEW JERSEY  
MY COMMISSION EXPIRES SEPTEMBER 18, 2024

*Guadalupe Castro*  
\_\_\_\_\_  
Notary Public of New Jersey  
ID #: 2449928

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