## 505895377 02/03/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5942078

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
THOMAS HOFER	10/23/2019
CHRISTIAN KLEIN	10/17/2019
PABLO UMAÑA	10/22/2019
JENNY TOSCA THOM	10/10/2019
STEPHAN GASSER	10/10/2019
JEAN-BAPTISTE PIERRE VALLIER	10/10/2019

## **RECEIVING PARTY DATA**

Name:	ROCHE GLYCART AG
Street Address:	WAGISTRASSE 10
City:	SCHLIEREN
State/Country:	SWITZERLAND
Postal Code:	8952

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16721272

## **CORRESPONDENCE DATA**

**Fax Number:** (650)952-9881

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

using a fax number, if provided; if that is unsuccessful, it will be sent via US Phone: 650-467-8720

Email: kokkac@gene.com
Correspondent Name: CHIAKI KOKKA
Address Line 1: 1 DNA WAY

Address Line 4: SOUTH SAN FRANCISCO, CALIFORNIA 94080

ATTORNEY DOCKET NUMBER:	P35128-US
NAME OF SUBMITTER:	CHIAKI KOKKA
SIGNATURE:	/Chiaki Kokka/
DATE SIGNED:	02/03/2020

**Total Attachments: 3** 

PATENT REEL: 051695 FRAME: 0842

505895377

source=Assignment 14 (P35656-EP GLYCART Inventors to GLYCART)#page1.tif source=Assignment 14 (P35656-EP GLYCART Inventors to GLYCART)#page2.tif source=Assignment 14 (P35656-EP GLYCART Inventors to GLYCART)#page3.tif

PATENT REEL: 051695 FRAME: 0843 Case No. P35656

TO BE NOTARIZED

## **ASSIGNMENT AGREEMENT**

I/We, the undersigned

inventor(s)

Stephan GASSER, a Swiss citizen

c/o Roche Glycart AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Thomas HOFER, a Swiss citizen

c/o Roche Glycarl AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Christian KLEIN, a German citizen

c/o Roche Glycart AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Jenny Tosca THOM, a German citizen

c/o Roche Glycart AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Pablo UMAÑA, a Costa Rican citizen

c/o Roche Glycart AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Jean-Baptiste Pierre VALLIER, a French citizen

c/o Roche Glycart AG

Wagistrasse 10, 8952 Schlieren, Switzerland

Employed by:

Roche Glycart AG

•

Roche Glycart AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

inventor(s)

Employed by:

**Guy GEORGES** 

Roche Diagnostics GmbH

hereinafter CO-INVENTOR(S)

of the invention entitled:

Agonistic CD28 antigen binding molecules targeting a B cell surface antigen

for which (a) priority application(s) was (were) filed with the

Patent Office

**European Patent Office** 

as outlined below:

Case No. P35656 Country Europe Filing Date 23 July 2019 Serial No.

19187709.1

ASSIGNOR(S) acknowledge(s) the previous transfer to

Roche Glycart AG Wagistrasse 10 8952 Schlieren Switzerland

> PATENT REEL: 051695 FRAME: 0844

Case No. P35656

TO BE NOTARIZED

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)		
Saharen 10 10 7019	3/_	
Place, Date	Slephán GASSER	
Sollier 23,10,2019	The state of the s	
Place, Date	Thomas HOFER	· ·
Section 17.10, 2019	7 Clier V.	
Place, Date	Christian KLEIN	****
Uhlie 10 10 2019	The L	
· mour mates	Janny Tosca THOM	~~~~
scholen, 22/10/19		
Place, Date	Pablo UMANA	*****
Salienes 10, No. 2019		
Place, Date	Jean-Bapilste Pierre VALLIER	ooos;

**ASSIGNEE** 

Roche Glycart AG

Basel, Switzerland, Och

Place, Date

Petra augrendald

both duly authorized signatories

**PATENT** REEL: 051695 FRAME: 0845

#### Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures of

Mister Stephan Gasser, born on January 29th, 1972, citizen of Nunningen SO (Switzerland), business address in Schlieren (Switzerland),

Mister Thomas Hofer, born on June 6th, 1974, citizen of Haldenstein GR, residing in Zürich (Switzerland),

Mister Christian Klein, born on July 14th, 1971, German citizen, residing in Bonstetten (Switzerland),

Miss Jenny Tosca Thom, born on January 21st, 1985, German citizen, residing in Zuerich (Switzerland),

Mister Pablo Umaña, born on September 23<sup>rd</sup>, 1967, Costa Rican citizen, residing in Wollerau (Switzerland), and

Mister Jean-Baptiste Pierre Vallier, born on February 11th, 1991, French citizen, residing in Zuerich (Switzerland).

He certifies that the named persons are in the employ of Roche Glycart AG, a stock company with legal domicile in Schlieren (Switzerland),

Legalization based on comparison with authentic signatures.

He also legalizes the overleaf signature of

Roche Glycart AG, a stock company with legal domicile in Schlieren (Switzerland), rendered by

Miss Denise Strebel, citizen of Basel (Switzerland), residing in Gretzenbach (Switzerland), and

Miss Petra Querfeld, German citizen, residing in Steinen (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

CHRISTOPH

B a s e I (Switzerland), this 15th (fifteenth) day of November 2019 (two thousand and nineteen).

BR 1343/2019

PATENT REEL: 051695 FRAME: 0846

No Co

RECORDED: 02/03/2020