

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5945249

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	F. HOFFMANN-LA ROCHE AG	12/14/2016
RECEIVING PARTY DATA		
Name:	HOFFMANN-LA ROCHE INC.	
Street Address:	GREAT NOTCH, 150 CLOVE ROAD	
Internal Address:	8TH FLOOR	
City:	LITTLE FALLS	
State/Country:	NEW JERSEY	
Postal Code:	07424	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15399122
CORRESPONDENCE DATA		
Fax Number:	(650)952-9881	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	(650) 467-4795	
Email:	joanlm@gene.com	
Correspondent Name:	GREGORY M. ZINKL	
Address Line 1:	1 DNA WAY	
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080-4990	
ATTORNEY DOCKET NUMBER:	P33335-US	
NAME OF SUBMITTER:	GREGORY M. ZINKL	
SIGNATURE:	/Gregory M. Zinkl/	
DATE SIGNED:	02/04/2020	
Total Attachments: 3		
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source=P33335_US_Notarized Assignment II#page3.tif		

ASSIGNMENT AGREEMENT

F. Hoffmann-La Roche AG ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, CH-4070 Basel, Switzerland

acknowledges the previous transfer to

Hoffmann-La Roche Inc. ("ASSIGNEE") a corporation of the State of New Jersey, United States of America, having its principal place of business at Overlook at Great Notch, 150 Clove Road, 8th Floor, Suite 8 – Legal Department, Little Falls, New Jersey 07424, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below for the territory of the United States of America and its possessions by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in the United States including any application claiming priority under 35 U.S.C. §119(e), divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent application(s) set forth below may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for the territory of the United States of America and its possessions all of ASSIGNOR'S right, title and interest in, to, and deriving from the invention(s) described in the patent application(s) listed below, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, or other U.S. patent applications claiming the benefit of the filing date of the following patent application(s):

Case No.	Country	Filing Date	Serial No.
P33335-EP	Europe	8 January 2016	16150506.0

entitled

METHODS OF TREATING CEA-POSITIVE CANCERS USING PD-1 AXIS BINDING ANTAGONISTS AND ANTI-CEA/ANTI-CD3 BISPECIFIC ANTIBODIES

ASSIGNEE, by virtue of this Assignment, shall have the right to file and own patent applications that claim priority to and are based in whole or in part on the patent application(s) cited above with the United States Patent and Trademark Office and to own Letters Patents that issue thereon. Accordingly, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for such patent applications, including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, reexaminations, prolongations or extensions thereof, to ASSIGNEE, its successors and assigns.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that he will, without charge to said ASSIGNEE, but at ASSIGNEE'S expense, cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the

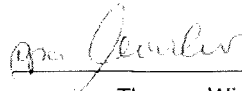
reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR**F. Hoffmann-La Roche AG**

Basel, Switzerland, December 14, 2016

Place, Date



Thomas Winkler

Holder of procuration



Funda Iskender

Mandatory

ASSIGNEE**Hoffmann-La Roche Inc.**

SSF, CA USA

Place, Date

March 22, 2017



Irene Pleasure

On Behalf of Hoffmann-La Roche Inc.

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Thomas Winkler, German citizen, residing in Riehen (Switzerland), and

Miss Funda Iskender, citizen of Pratteln (Switzerland), residing in Basel (Switzerland),

both duly entitled also to sign documents wherein property of the company is alienated.

All Signers and signatures personally known.

B a s e l (Switzerland), this 21st (twenty-first) day of December 2016 (two thousand and sixteen).



BR 1710/2016

**PATENT****REEL: 051713 FRAME: 0558**

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

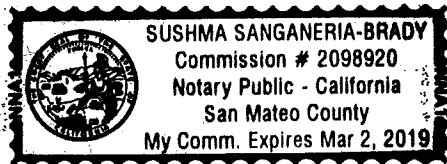
State of California)

County of SAN MATEO)

On MARCH 22, 2017 before me, SUSHMA SANGANERIA-BRADY, NOTARY PUBLIC
Date Here Insert Name and Title of the Officer

personally appeared IRENE PLEASURE
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature Sushma Sanganeria-Brady
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: _____ Document Date: _____

Number of Pages: _____ Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____

Signer's Name: _____

☐ Corporate Officer — Title(s): _____

☐ Partner — ☐ Limited ☐ General

☐ Individual ☐ Attorney in Fact

☐ Trustee ☐ Guardian or Conservator

☐ Other: _____

Signer Is Representing: _____