

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5948211

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
LUCA GOBBI	08/31/2017
DIDIER ROMBACH	09/04/2017
FABIENNE RICKLIN	09/06/2017
BEAT FREI	08/31/2017
UWE GREYER	08/31/2017
STEPHAN ROEVER	08/31/2017
MARK ROGERS-EVANS	12/07/2017
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16722592
CORRESPONDENCE DATA	
Fax Number:	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	650-225-2497
Email:	nikkin@gene.com
Correspondent Name:	NIKKI NOMURA
Address Line 1:	1 DNA WAY, MS-49
Address Line 2:	C/O GENENTECH, INC.
Address Line 4:	SOUTH SAN FRANCISCO, CALIFORNIA 94080
ATTORNEY DOCKET NUMBER:	P34313-US
NAME OF SUBMITTER:	NIKKI NOMURA
SIGNATURE:	/Nikki NOMURA/
DATE SIGNED:	02/05/2020

PATENT

Total Attachments: 9

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page1.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page2.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page3.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page4.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page5.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page6.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page7.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page8.tif

source=P34313-US - Assignment Agreement from FHRLR inventor to FHRLR (Assignment I)#page9.tif

ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Luca Gobbi, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Didier Rombach, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Fabienne Ricklin, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Stephan Roeber, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Beat Frei, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Mark Rogers-Evans, a British citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Uwe Grether, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Employed by:

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

Novel pyridine derivatives

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34313	Europe	20.06.2017	17176884.9

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel, <u>31.8.2017</u> Place, Date	<u>[Signature]</u> Luca Gobbi
Basel, <u>04.09.2017</u> Place, Date	<u>[Signature]</u> Didier Rombach
Basel, <u>06/09/2017</u> Place, Date	<u>[Signature]</u> Fabienne Ricklin
_____ Place, Date	_____ Stephan Roever
Basel, <u>31.8.2017</u> Place, Date	<u>[Signature]</u> Béat Frei
Basel, _____ Place, Date	_____ Mark Rogers Evans
Basel, <u>31.8.2017</u> Place, Date	<u>[Signature]</u> Uwe Grether

ASSIGNEE

F. Hoffmann-La Roche AG

09 JAN 2018

Basel, _____	<u>[Signature]</u> Antonio Natoli Holder of procuration	<u>[Signature]</u> Denise Strebelt Mandatory
--------------	---	--

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signatures of

Mister Luca Gobbi, citizen of Quinto TI (Switzerland), residing in Buus (Switzerland),

Mister Didier Rombach, French citizen, residing in Mulhouse (France),

Miss Fabienne Ricklin, French citizen, residing in Hombourg (France),

Mister Beat Frei, citizen of and residing Auenstein AG (Switzerland), and

Mister Uwe Grether, German citizen, residing in Efringen-Kirchen (Germany).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of Basel (Switzerland), residing in Gretzenbach (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

All signers and signatures personally known.

Basel (Switzerland), this 10th (tenth) day of January 2018 (two thousand and eighteen).

BR 123/2018



ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Luca Gobbi, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Didier Rombach, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Fabienne Ricklin, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Stephan Roever, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Beat Frei, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Mark Rogers-Evans, a British citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Uwe Grether, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Employed by:

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

Novel pyridine derivatives

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34313-EP	Europe	20.06.2017	17176884.9

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

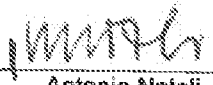
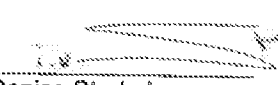
ASSIGNOR(S)

Basel,	Luca Gobbi
Basel,	Didier Rombach
Basel, 31.8.2017	Fabienne Ricklin
Basel,	Stephan Roever
Basel,	Beat Frei
Basel,	Mark Rogers-Evans
Basel,	Uwe Grether

ASSIGNEE

F. Hoffmann-La Roche AG

09 JAN 2018

Basel,		
	Antonio Natoli	Denise Strebelt
	Holder of procuration	Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Mister Stephan Roever, German citizen, residing in Inzlingen (Germany).

He certifies that the named person is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signature.

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of Basel, residing in Gretzenbach (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 10th (tenth) day of January 2018 (two thousand and eighteen).



A handwritten signature in dark ink, appearing to read "Dr. Nertz".

BR 122/2018



ASSIGNMENT AGREEMENT

I/We, the undersigned

Inventor(s)

Luca Gobbi, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Didier Rombach, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Fabienne Ricklin, a French citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Stephan Roever, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Beat Frei, a Swiss citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Mark Rogers-Evans, a British citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Uwe Gräther, a German citizen
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124, 4070 Basel, Switzerland

Employed by:

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)

of the invention entitled:

Novel pyridine derivatives

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34313-EP	Europe	20.06.2017	17176684.9

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel,	Luca Gobbi
Basel,	Didier Rombach
Basel,	Fabienne Ricklin
Basel,	Stephan Roever
Basel,	Beat Frei
Basel, <i>7th December 2017</i>	<i>Mark Rogers-Evans</i>
Basel,	Mark Rogers-Evans
Basel,	Uwe Grether

ASSIGNEE

F. Hoffmann-La Roche AG

Basel, <i>December 14, 2017</i>	<i>Antonio Natoli</i>	<i>Denise Strebler</i>
	Antonio Natoli	Denise Strebler
	Holder of procuration	Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Mister Mark Rogers-Evans, British citizen, residing in Bottmingen (Switzerland).

He certifies that the named person is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signature.

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of Basel, residing in Gretzenbach (Switzerland),

both duly entitled also to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 20th (twentieth) day of December 2017 (two thousand and seventeen).



BR 1614/2017