

PATENT ASSIGNMENT COVER SHEET

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 Stylesheet Version v1.2

EPAS ID: PAT5968117

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
ANAIS IRENE MARIE LOPEZ	10/12/2018
HENRIK MUELLER	04/19/2018
RECEIVING PARTY DATA	
Name:	F. HOFFMANN-LA ROCHE AG
Street Address:	GRENZACHERSTRASSE 124
City:	BASEL
State/Country:	SWITZERLAND
Postal Code:	4070
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16661959
CORRESPONDENCE DATA	
Fax Number:	(801)799-5800
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	8017995700
Email:	patentdocket@hollandhart.com, mrbaum@hollandhart.com
Correspondent Name:	HOLLAND & HART
Address Line 1:	222 S. MAIN ST., STE 2200
Address Line 4:	SALT LAKE CITY, UTAH 84101
ATTORNEY DOCKET NUMBER:	103135.0262
NAME OF SUBMITTER:	MELANIE R. BAUM
SIGNATURE:	/Melanie R. Baum/
DATE SIGNED:	02/18/2020
Total Attachments: 6	
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**ASSIGNMENT AGREEMENT**

I/We, the undersigned

Inventor(s)

Anais Irène Marie Lopez
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

Employed by:

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are the inventor(s) of the invention entitled:

NUCLEIC ACID MOLECULE FOR REDUCTION OF PAPD5 AND PAPD7 mRNA FOR TREATING HEPATITIS B INFECTION

disclosed in patent application(s) to be filed in certain countries of the world claiming priority of the patent(s) or patent application(s) filed as listed below and for which I/we am/were not named as inventor(s)

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34468 EP	EP	16 Oct 2017	17196554.4
P34468-EP-1	EP	18 Dec 2017	17208056.6

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above, by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention(s) disclosed by ASSIGNOR(S) in patent application(s) to be filed in certain countries of the world claiming priority of the patent or patent application(s) filed as listed above, including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to apply for, obtain, and own patents and patent application worldwide in its own name and at its own costs that

- claim priority to and are based in whole or in part on the patent or patent application(s) set forth above for which priority is claimed and
- claim priority to and are based in whole or in part on any patent and patent application(s) to be filed in certain countries of the world which are based on the application(s) set forth above for which priority is claimed,

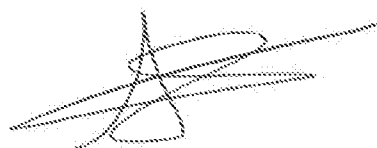
to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

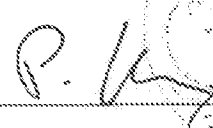
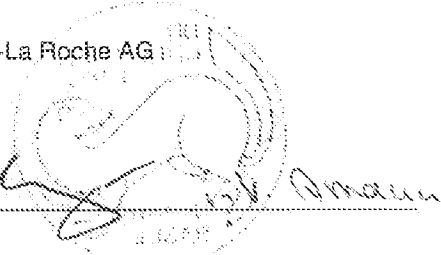
ASSIGNOR(S)

Basel, 12 Oct 2018
Place, Date


Anais Irène Marie Lopez**ASSIGNEE**

Basel, 12 Oct 2018
Place, Date

F. Hoffmann-La Roche AG


Dr. Peter Küng
Vice Director
Susanne Amann
Mandatory

Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signature of

Miss Anaïs Irène Lopez, born on March 16th, 1985, French citizen, residing in Basel (Switzerland).

He certifies that the named person is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Peter Küng, citizen of Erlswil (Switzerland), residing in Allschwil (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany).

both duly entitled to sign documents wherein property of the company is alienated.

All signers and signatures personally known to the Notary.

B a s e l (Switzerland), this 19th (nineteenth) day of October 2018 (two thousand and eighteen).



BR 1261/2018

ASSIGNMENT AGREEMENT

I/We, the undersigned

Henrik Mueller, a German citizen while employed by F. Hoffmann-La Roche AG
c/o F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel, Switzerland

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

Søren Ottosen, Danish citizen while employed by RICC
c/o Roche Innovation Center Copenhagen A/S
Fremtidsvej 3, DK-2970 Hørsholm

Lykke Pedersen, Danish citizen while employed by RICC
c/o Roche Innovation Center Copenhagen A/S
Fremtidsvej 3, DK-2970 Hørsholm

hereinafter CO-INVENTOR(S)

of the invention entitled:

**NUCLEIC ACID MOLECULE FOR REDUCTION OF PAPD5 AND PAPD7 mRNA FOR TREATING
HEPATITIS B INFECTION**

for which (a) priority application(s) was (were) filed with the

European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34468-EP	Europe	16 Oct 2017	17196554.4

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG
Grenzacherstrasse 124
4070 Basel
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

ASSIGNOR(S)

Basel,
Place, Date

18 April 2018

Dr. Henrik Müller

ASSIGNEE

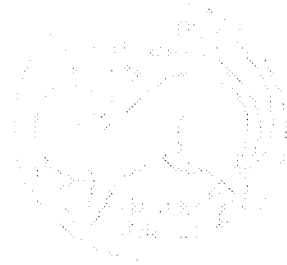
F. Hoffmann-La Roche AG

Basel,
Place, Date

18 April 2018

Antonio Natoli
Holder of procuration

Susanne Amann
Mandatory



Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures of

Mister Dr. Henrik Müller, born on March 13th, 1981, German citizen, residing in Rostock (D).

He certifies that the named persons is in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 25th (twenty-fifth) day of April 2018 (two thousand and eighteen).



BR 657/2018