

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT5972266

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
LUC DÜMPELMANN	10/18/2017
BENJAMIN GALLINET	10/18/2017
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	CSEM CENTRE SUISSE D'ELECTRONIQUE ET DE MICROTECHNIQUE SA - RECHERCHE ET DÉVELOPPEMENT
<b>Street Address:</b>	RUE JAQUET-DROZ 1
<b>City:</b>	NEUCHÂTEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	2000
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	15787002
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6469326147
<b>Email:</b>	nschibli@andrerland.com
<b>Correspondent Name:</b>	NIKOLAUS SCHIBLI
<b>Address Line 1:</b>	CHEMIN DES CHARMETTES 9
<b>Address Line 2:</b>	ANDRE ROLAND S.A.
<b>Address Line 4:</b>	LAUSANNE, SWITZERLAND 1003
<b>ATTORNEY DOCKET NUMBER:</b>	P3072US00
<b>NAME OF SUBMITTER:</b>	NIKOLAUS SCHIBLI
<b>SIGNATURE:</b>	/Nikolaus Schibli/
<b>DATE SIGNED:</b>	02/20/2020
<b>Total Attachments: 7</b>	
source=P3072US00_Statement_Ownership#page1.tif	
source=P3072US00_Statement_Ownership#page2.tif	
source=Article_332_CO#page1.tif	

source=Article\_332\_CO#page2.tif

source=Article\_332\_CO#page3.tif

source=Article\_332\_CO#page4.tif

source=Article\_332\_CO#page5.tif

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: : CONF. NO. 5592  
Luc DÜMPELMANN et al. : ART UNIT: 2878  
SERIAL NO: 15/787,002 : EXAMINER: John R LEE  
FILING DATE: October 18, 2017 :  
FOR: Multispectral or Hyperspectral Imaging  
and Imaging System Based on Birefringent  
Subwavelength Resonating Structure

**STATEMENT OF OWNERSHIP UNDER M.P.E.P. § 409.05**

Sir:

This is a legal memorandum and statement to show ownership of the Applicant CSEM Centre Suisse d'Electronique et de Microtechnique SA - Recherche et Développement by the obligation of the inventors to assign the invention identified by the above-identified patent application, under M.P.E.P. § 409.05. See 37 C.F.R. § 1.46(a).

In the Application Data Sheet (“ADS”) that was filed with the above-identified patent application on October 18, 2017, CSEM Centre Suisse d'Electronique et de Microtechnique SA - Recherche et Développement that has its place of business in Neuchâtel, Switzerland, has been named as the Applicant, being a legal person to whom the inventors are obligated to assign. The two (2) inventors, Luc Dümpelmann and Benjamin Gallinet, at the time the above-identified patent application was made, were full-time employees of the CSEM Centre Suisse d'Electronique et de Microtechnique SA - Recherche et Développement. Moreover, the above-identified invention was a result of the inventor’s performance of his contractual obligations as employee. Under Swiss Law, for example under Article 332 of the Swiss Code of Obligations (Art. 332 CO), the inventions produced by the employee in the course of his work for the employer and in performance of his

contractual obligations belong to the employer. A Copy of Art. 332 CO in English is herewith submitted. See also Decision 4A\_691/2011, Federal Supreme Court of Switzerland, Nov. 6, 2012.

In light of the above facts, a court of competent jurisdiction in Switzerland would by the weight of authority in that jurisdiction award title of the invention to the Applicant CSEM Centre Suisse d'Electronique et de Microtechnique SA - Recherche et Développement as defined under 37 C.F.R. § 1.46(a). M.P.E.P. § 409.05 - II. Recordation of CSEM Centre Suisse d'Electronique et de Microtechnique SA - Recherche et Développement as the Assignee of record at the Electronic Patent Assignment System (EPAS) is therefore respectfully requested.

Respectfully submitted,

Laurence Naudeix, Lic. Iur.

/Laurence Naudeix/

Solicitor under Swiss Law

Nikolaus Schibli, Ph.D.

/Nikolaus Schibli/

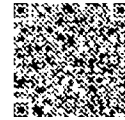
U.S. Patent Attorney  
Reg. No. 56,994

**Date: February 20, 2020**

André Roland S.A.  
USPTO Customer No. 136,839  
nschibli@andreroland.com  
Tel.: 571.480.6932



Schweizerische Eidgenossenschaft  
Confédération suisse  
Confederazione Svizzera  
Confederaziun svizra



Start > Federal law > Classified compilation > Internal laws > 220 Federal Act of 30 March 1911 on the Amendment of the Swiss Civil Code (Part Five: The Code of Obligations)

## Art. 332<sup>1</sup>E. Right to inventions and designs

### E. Right to inventions and designs

<sup>1</sup> Inventions and designs produced by the employee alone or in collaboration with others in the course of his work for the employer and in performance of his contractual obligations belong to the employer, whether or not they may be protected.

<sup>2</sup> By written agreement, the employer may reserve the right to acquire inventions and designs produced by the employee in the course of his work for the employer but not in performance of his contractual obligations.

<sup>3</sup> An employee who produces an invention or design covered by paragraph 2 must notify the employer thereof in writing; the employer must inform the employee within six months if he wishes to acquire the invention or design or release it to the employee.

<sup>4</sup> Where it is not released to the employee, the employer must pay him separate, appropriate remuneration to be determined with due regard to all pertinent circumstances and in particular the economic value of the invention or design, the degree to which the employer contributed, any reliance on other staff and on the employer's facilities, the expenses incurred by the employee and his position in the company.

<sup>1</sup> Amended by Annex No II to the FA of 5 Oct. 2001 on the Protection of Designs, in force since 1 July 2002 (AS 2002 1456; BBl 2000 2729).

## Additional informations

This text is in force.

<b>Decision</b>	30 March 1911
<b>In force</b>	1 January 1912

## All versions of this law

※	01.01.2020	
※	01.04.2017	PDF
※	01.01.2017	
※	01.07.2016	
※	01.01.2016	
※	01.07.2015	
※	01.07.2014	
※	01.01.2014	
※	28.05.2013	
※	01.01.2013	
※	01.10.2012	
※	01.03.2012	
※	01.01.2012	
※	01.01.2011	
※	01.01.2010	
※	01.10.2009	
※	01.08.2008	
※	01.01.2008	
※	01.05.2007	
※	01.01.2007	
※	01.04.2006	
※	01.01.2006	
※	01.12.2005	

- ⌘ 01.07.2005
- ⌘ 01.06.2005
- ⌘ 01.01.2005
- ⌘ 01.07.2004
- ⌘ 01.05.2004
- ⌘ 01.01.2004
- ⌘ 01.06.2003
- ⌘ 01.04.2003
- ⌘ 01.01.2003
- ⌘ 01.07.2002
- ⌘ 01.06.2002
- ⌘ 01.06.2001
- ⌘ 01.05.2001
- ⌘ 01.01.2001
- ⌘ 01.05.2000
- ⌘ 01.04.2000
- ⌘ 01.01.2000
- ⌘ 01.05.1999
- ⌘ 01.01.1997
- ⌘ 01.10.1996
- ⌘ 01.07.1996
- ⌘ 01.01.1996
- ⌘ 01.01.1995
- ⌘ 01.05.1994
- ⌘ 01.01.1994
- ⌘ 01.07.1993
- ⌘ 01.07.1992
- ⌘ 01.07.1991
- ⌘ 01.01.1991
- ⌘ 01.07.1990
- ⌘ 01.01.1989

.....  
⌘ 01.04.1988  
.....  
⌘ 01.01.1988  
.....  
⌘ 20.10.1986  
.....  
⌘ 01.06.1985  
.....  
⌘ 01.01.1985  
.....  
⌘ 01.07.1984  
.....  
⌘ 01.01.1984  
.....  
⌘ 01.04.1983  
.....  
⌘ 01.01.1983  
.....  
⌘ 01.01.1977  
.....  
⌘ 01.07.1976  
.....  
⌘ 15.02.1973  
.....  
⌘ 01.07.1972  
.....  
⌘ 01.01.1972  
.....  
⌘ 19.12.1970  
.....  
⌘ 01.02.1966  
.....  
⌘ 01.07.1965  
.....  
⌘ 15.04.1965  
.....  
⌘ 01.01.1963  
.....  
⌘ 01.07.1958  
.....  
⌘ 01.01.1957  
.....  
⌘ 01.01.1953  
.....  
⌘ 01.01.1950  
.....  
⌘ 20.10.1949  
.....  
⌘ 01.01.1947  
.....  
⌘ 01.03.1945  
.....  
⌘ 09.07.1942  
.....  
⌘ 01.07.1942  
.....  
⌘ 01.07.1937  
.....  
⌘ 01.01.1912



### All editions of this law

---

*01.01.1912*

Federal Act of 30 March 1911 on the Amendment of the  
Swiss Civil Code (Part Five: The Code of Obligations)

For comments and observations: Official Publications Centre  
Last update: 04.05.2019