

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT5973960

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ERIC A. GOLDFARB	08/21/2001
KENT D. DELL	08/21/2001
SYLVIA WEN-CHIN FAN	08/21/2001
BRIAN B. MARTIN	08/21/2001
FEROLYN T. POWELL	08/21/2001
ALFRED H. RASCHDORF	08/21/2001
TROY L. THORNTON	08/21/2001
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	EVALVE, INC.
<b>Street Address:</b>	3200 LAKESIDE DRIVE
<b>City:</b>	SANTA CLARA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95054
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16565285
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(212)408-2501
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2124082500
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<b>Correspondent Name:</b>	BAKER BOTTS L.L.P.
<b>Address Line 1:</b>	30 ROCKEFELLER PLAZA
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<b>ATTORNEY DOCKET NUMBER:</b>	003168.2510
<b>NAME OF SUBMITTER:</b>	RUTH J. OLIVO
<b>SIGNATURE:</b>	/Ruth J. Olivo/
<b>DATE SIGNED:</b>	02/20/2020

**Total Attachments: 4**

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09-18-2001

Attorney Docket No. 020489-000400US

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)



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U.S. Department of Commerce  
U.S. Patent and Trademark Office

Tab settings ⇌ ⇌ ⇌ ▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

## 1. Name of conveying party(ies):

Eric A. Goldfarb

9-11-01

## 2. Name and address of receiving party(ies)

Name: EVALVE, INC.

Internal Address: 2761A Fair Oaks Avenue

Street Address:

City: Redwood City State: CA ZIP: 94063

Additional name(s) of conveying parties attached? ☒ Yes ☐ No.

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other: \_\_\_\_\_

Additional names and addresses attached? ☐ Yes ☒ No

Execution Date: August 21, 2001

## 4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No(s): 09/894,463

B. Patent No(s):

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lynn M. Thompson  
TOWNSEND AND TOWNSEND AND CREW LLP  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

## 6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): -----\$40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Lynn M. Thompson

Name of Person Signing  
Atty. Reg. No. 47,991

Signature

September 7, 2001

Date

Total number of pages including cover sheet, attachments and document

Mail documents to be recorded with required cover to:  
Commissioner of Patents and Trademarks, Box Assignments  
Washington, D.C. 20231

09/17/2001 LUMELLER 00000138 201430 09894463

01 FC:581

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PATENT  
REEL: 012156 FRAME: 0838

1. Additional name(s) of conveying party(ies):  
(Continued from Page 1)

Kent D. Dell  
Sylvia Wen-Chin Fan  
Brian B. Martin  
Ferolyn T. Powell  
Alfred H. Raschdorf  
Troy L. Thornton

2. Additional name(s) and address(es) of receiving party(ies):  
(Continued from Page 1)

3. Additional application number(s) or patent number(s):  
(Continued from Page 1)

A. Patent Application No.(s)

B. Patent No.(s)

PA 3169059 v1

## ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, ERIC A. GOLDFARB, of 545 Peralta Avenue, San Francisco, CA 94110-5338; KENT D. DELL, of 1131 Grand, Redwood City, CA 94061; SYLVIA WEN-CHIN FAN, of 3868 21st Street, #2, San Francisco, CA 94114; BRIAN B. MARTIN, of 315 Alder Road, Boulder Creek, CA 95006; FEROLYN T. POWELL, of 55 Caselli Avenue, San Francisco, CA 94114; ALFRED H. RASCHDORF, of 3421A 16th Street, San Francisco, CA 94114; TROY L. THORNTON, of 743 Carolina Street, San Francisco, CA 94107; hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention:      METHODS AND DEVICES FOR CAPTURING  
AND FIXING LEAFLETS IN VALVE REPAIR

Filing Date:              June 27, 2001

Application No.:        09/894,463; and

WHEREAS, EVALVE, INC., a corporation of the state of Delaware, located at 2761A Fair Oaks Avenue, Redwood City, CA, 94063, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignor had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignor further agrees that Assignor will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify,

acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignor hereby authorizes and requests Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8<sup>th</sup> Floor, San Francisco, CA 94111-3834, to insert herein above the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignor has signed his/her name on the date indicated.

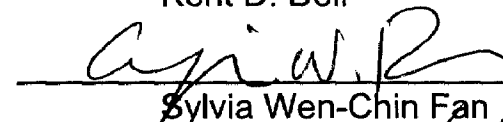
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Eric A. Goldfarb

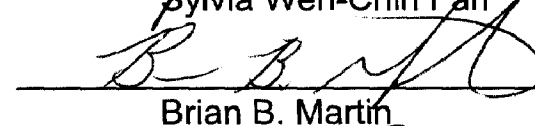
Date: 8/21/01

  
Kent D. Dell


Date: 8/21/01

  
Sylvia Wen-Chin Fan

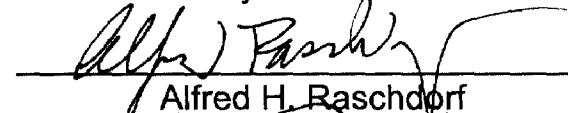
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Brian B. Martin

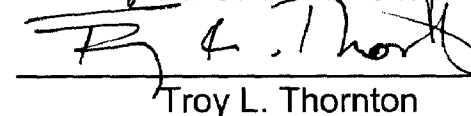
Date: 8/21/01

  
Ferolyn t. Powell

Date: 8/21/01

  
Alfred H. Raschoorf

Date: 8/21/01

  
Troy L. Thornton