# 505928715 02/21/2020

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5975433

SUBMISSION TYPE:		NEW ASSIGNMENT	
ATURE OF CONVEYAN		CHANGE OF NAME	
CONVEYING PARTY DA	ΑΤΑ		
		Name	Execution Date
SIEMENS MOBILITY GN	ИВН		11/11/2019
RECEIVING PARTY DA	ТА		
Name:	SIEMEN	S MOBILITY AUSTRIA GMBH	
Street Address:	SIEMEN	SSTRASSE 90	
City:	VIENNA		
State/Country:	AUSTRIA	Ą	
Postal Code:	1210		
PROPERTY NUMBERS	Total: 1	Number	
Property Type		Number	
Application Number:		6493927	
Fax Number:	(2	212)972-5487	cessful it will be sent
Fax Number: <i>Correspondence will be</i>	(2 e sent to t	212)972-5487 the e-mail address first; if that is unsuc if that is unsuccessful, it will be sent vi	
Fax Number: <i>Correspondence will be</i> <i>using a fax number, if p</i>	(2 e sent to t provided;	the e-mail address first; if that is unsuc	
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#### Translation from German

#### **MANZ Business Register**

Source:	Business Register and Insolvency Database (Edicts) of the Republic of Austria
Licensor:	Republic of Austria, represented by the Federal Ministry of Constitutional Affairs, Reforms,
	Deregulation and Justice
Licensee:	MANZ'sche Verlags- und Universitätsbuchhandhung GmbH, Kohlmarkt 16, 1010 Vienna

## as of 11 November 2019 Excerpt with historical data FN [Business Register No.] 483145 h

Basis of this excerpt is the main register amended by data from the collection of documents.

The historical data as of 11 November 2019 are erossed—out. Cancelled legal entities and branches are marked with a "#" in the margin.

Most recent entry dated 22 October 2019 with entry number 13

Court having jurisdiction Commercial Court Vienna [Handelsgericht Wien]

#### BUSINESS NAME

- 1 Siemens Mobility GmbH
- 12 Siemens Mobility Austria GmbH

TYPE OF BUSINESS ENTITY

 Gesellschaft mit beschränkter Haftung [translator's note: Austrian limited liability company]

SEAT in [translator's note: compare: registered office] political community of Vienna

BUSINESS ADDRESS

1 Siemensstrasse 90 1210 Vienna

1

LINE OF BUSINESS

- 1 The objects of the company's business shall be research and development, manufacturing, sale and distribution as well as operation and maintenance of products, systems, plants and solutions in the mobility sector, in particular relating to electrification, automation and digitisation, and related services.
- 13 The objects of the company's business shall be research and development, manufacturing, sale and distribution as well as operation and maintenance of products, systems, plants and solutions in the mobility sector, in particular

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relating to electrification, automation and digitisation, and related services and the provision of railway services.

SHARE CAPITAL

- 1 EUR 35,000
- 8 EUR 20,000,000

## ANNUAL CLOSING OF ACCOUNTS DATE

1 30 September

ANNUAL FINANCIAL STATEMENTS (most recent entry; for additional entries see historical data)

10 as at 30 September 2018 filed on 13 June 2019

1	Declaration on the establishment of the company 0 [translator's note: compare: Articles of Association] dated 6 December 2017	01
5	Resolution of the shareholders' meeting of 3 May 20180Spin-off for absorption of part of the assets of0Siemens Aktiengesellschaft Österreich0(FN 60562 m),0namely0the mobility division0	002
8	pursuant to the Spin-Off and Takeover Agreement dated 16 February 2018. Resolution of the shareholders' meeting of 28 September 2018 00 Capital increase by EUR 19,965,000. Revision of the Articles of Association.	03
12		04
	MANAGING DIRECTOR (according to commercial law)	
1	A Mag. [title] Amulf Wolfram, born on 1 June 1959, has represented jointly with another managing director or a <i>Prokurist</i> [translator's note: authorised officer of the company] since 29 December 2017	
1	<ul> <li>B Dipl.WirtIng [title] Christian Schomer, born on 26 July 1968, has represented jointly with another managing director or a <i>Prokurist</i> since 29 December 2017</li> </ul>	
	PROKURIST	
2	<ul> <li>Dr. [title] Wolgang Röss, born on 4 June 1955,</li> <li>has represented jointly with a managing director or another Gesamtprokurist [translator's note: authorised officer holding</li> </ul>	

- joint power of representation] since 1 April 2018
- E Dipl.-Ing. Dr. [titles] Karl Strasser, MBA, born on 5 July 1966,
   has represented jointly with a managing director or another *Gesamtprokurist* since 1 April 2018

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2	F	DiplIng. [title] Markus Racz, born on 13 March 1970, has represented jointly with a managing director or another <i>Gesamtprokurist</i> since 1 April 2018
2	G	DiplIng. Dr. [titles] Stefan Erlach, born on 1 May 1977, has represented jointly with a managing director or another <i>Gesamtprokurist</i> since 1 April 2018
2	Η	DiplBw. (FH) [title] Markus Wening, born on 3 June 1974, has represented jointly with a managing director or another <i>Gesamtprokurist</i> since 1 April 2018
2	Ι	Markus Kolarschik, born on 14 September 1970, has represented jointly with a managing director or another <i>Gesamtprokurist</i> since 1 April 2018
2	J	Mag. [title] Thomas Manzenreiter, born on 3 June 1972, has represented jointly with a managing director or another
2	K	Gesamtprokurist since 1 April 2018 Ing. Dr. [titles] Patrick Weber, born on 14 October 1959, has represented jointly with a managing director or another
		Gesamtprokurist since 1 April 2018
11	L	Office cancelled Mag. [title] Michael Scherzer, born on 2 December 1971,
2	Ľ	has represented jointly with a managing director or another Gesamtprokurist since 1 April 2018
3	Р	Andreea Monica Istrate, BSc, born on 19 September 1977, has represented jointly with a managing director or another Gesamtprokarist since 15 April 2018
4		has represented jointly with a managing director or another Gesamtprokurist since 15 April 2018
11	W	Dr. DI [titles] Lars Löwenstein, born on 4 April 1974, has represented jointly with a managing director or another <i>Gesamtprokurist</i> since 1 August 2019

	SHA	REHOLDER	SHARE INTEREST	PAID IN
	С	Siemens Konzernbei	eiligungen GmbH	
1		• < • < • < • < • < • < • < • < • < • <		
6		Office cancelled		
1				EUR 35,000
6		Office cancelled		
	Q	Siemens Aktiengese	llschaft	
6		-	<del>EUR 31,500</del>	
7		Office cancelled		
6		• • • • • • • • • • • • • • • • • • • •		<del>EUR 31,500</del>
7		Office cancelled		
	R	HaCon Ingenieurges	ellschaft mbH	
6				
8			EUR 2,000,000	
6			· · · ·	EUR-3,500
				· · · · · · · · · · · · · · · · · · ·

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8				EUR 2,000,000
	S	Siemens Mobility Gml		
7		•••••••••••••••••••••••••••••••••••••••		
8			EUR 18,000,000	
7				EUR 31,500
8				
		Totals:	EUR 20,000,000	EUR 20,000,000

### SUPERVISORY BOARD MEMBER

	Μ	Sabrina Soussan, born on 1 June 1969
5		Member
6		Chairperson
	$\mathbb{N}$	Ing. [title] Wolfgang Hesoun, born on 15 February 1960
5		Member
6		Deputy Chairperson
	0	Mag. [title] Dietmar Pokorny, born on 10 March 1964
5		Member
9		Office cancelled
	Т	Eva Scherer, born on 23 December 1983
9		Member
	$\mathbf{U}$	Ing. [title] Roland Feistritzer, born on 21 July 1973
9		Member
	V	Johann Mais, born on 5 April 1959
9		Member

---- PERSONS / ENTITIES -----

1 1	А	Mag. [title] Arnulf Wolfram, born on 1 June 1959 c/o Siemensstrasse 90 1210 Vienna
1	В	Dipl.WirtIng. [title] Christian Schomer, born on 26 July 1968
1		c/o Siemensstrasse 90 1210 Vienna
1	С	Siemens Konzernbeteiligungen GmbH
1		(FN 252377 v)
1		Siemensstrasse 90
		1210 Vienna
2	D	Dr. [title] Wolgang Röss, born on 4 June 1955
2		c/o Siemensstrasse 90
		1210 Vienna
2	Е	DiplIng. Dr. [titles] Karl Strasser, MBA, born on 5 July 1966
2		c/o Siemensstrasse 90
		1210 Vienna
2	F	DiplIng. [title] Markus Racz, born on 13 March 1970

Page 4 of 7

2		c/o Siemensstrasse 90
<u> </u>	0	1210 Vienna Did Lie De Kideel Stefen Edeele kom en 1 Mar 1077
2 2	G	DiplIng. Dr. [titles] Stefan Erlach, born on 1 May 1977 c/o Siemensstrasse 90
4		1210 Vienna
2	Н	DiplBw. (FH) [title] Markus Wening, born on 3 June 1974
$\frac{2}{2}$		c/o Siemensstrasse 90
		1210 Vienna
2	I	Markus Kolarschik, born on 14 September 1970
2		c/o Siemensstrasse 90
		1210 Vienna
2	J	Mag. [title] Thomas Manzenreiter, born on 3 June 1972
2		c/o Siemensstrasse 90
		1210 Vienna
2	K	Ing. Dr. [titles] Patrick Weber, born on 14 October 1959
2		c/o Siemensstrasse 90
-	v	1210 Vienna
2	L	Mag. [title] Michael Scherzer, born on 2 December 1971
2		c/o Siemensstrasse 90
5	ЪÆ	1210 Vienna Sabring Sourcean, horn on 1, hung 10(0)
5 5	Μ	Sabrina Soussan, born on 1 June 1969 Siemensstrasse 90
5		1210 Vienna
5	Ν	Ing. [title] Wolfgang Hesoun, born on 15 February 1960
5	T 4	Siemensstrasse 90
0		1210 Vienna
5	0	Mag. [title] Dietmar Pokorny, born on 10 March 1964
5		Siemensstrasse 90
		1210 Vienna
3	Р	Andreea Monica Istrate, BSc, born on 19 September 1977
3		c/o Siemensstrasse 90
		1210 Vienna
6	Q	Siemens Aktiengesellschaft
6		(Local Court Munich HRB 6684
		Local Court Charlottenburg HRB 12300)
		Seat in Munich
		Aktiengesellschaft [translator's note: German stock corporation]
6		Werner-von-Siemens-Strasse 1
0		D-80333 Munich
6	R	HaCon Ingenieurgesellschaft mbH
6		(Local Court Hanover HRB 1712)
6		Lister Strasse 15
		D-30163 Hanover
7	S	Siemens Mobility GmbH
7		(Local Court Munich HRB 237219 of Commercial Register B)
		Seat in Munich

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		Gesellschaft mit beschränkter Haftung
		[translator's note: German limited liability company]
7		Otto-Hahn-Ring 6
		D-81739 Munich
9	Т	Eva Scherer, born on 23 December 1983
9		c/o Siemensstrasse 90
		1210 Vienna
9	$\mathbf{U}$	Ing. [title] Roland Feistritzer, born on 21 July 1973
9		c/o Siemensstrasse 90
		1210 Vienna
9	V	Johann Mais, born on 5 April 1959
9		c/o Siemensstrasse 90
		1210 Vienna
11	W	Dr. DI [titles] Lars Löwenstein, born on 4 April 1974
11		c/o Siemensstrasse 90
		1210 Vienna

------ LIST OF ENTRIES EFFECTED -----

#### -

## Commercial Court Vienna

1	Registered on 29 December 2017	reference number	74 Fr	20137/17 d
	Application for registration of a	new business received	1 on 19 E	December 2017
2	Registered on 4 May 2018	reference number	74 Fr	5230/18 s
	Application for amendment rece	ived on 27 April 2018	5	
3	Registered on 17 May 2018		74 Fr	5665/18 f
	Application for amendment rece	ived on 9 May 2018		
4	Registered on 26 May 2018	reference number	74 Fr	6129/18 m
	Ex officio correction			
5	Registered on 3 July 2018		74 Fr	5612/18 b
	Application for amendment rece	-		
6	0 0	reference number	74 Fr	9784/18 m
	Application for amendment rece	•		
7		reference number	74 Fr	10104/18 h
	Application for amendment rece		8	
8	Registered on 5 October 2018		74 Fr	18385/18 k
	Application for amendment rece		8	
9	0	reference number	74 Fr	7482/19 k
	Application for amendment rece	vived on 12 June 2019		
10	•	reference number	74 Fr	7531/19 h
	Annual Financial Statements rec	•	n 13 Jun	
11	Registered on 10 September 2019		74 Fr	11871/19 s
	Application for amendment rece	-	2019	
12	Registered on 12 October 2019		74 Fr	16640/19 d
	Application for amendment rece	vived on 8 October 201	19	

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13 Registered on 22 October 2019reference number74 Fr17006/19 tApplication for amendment received on 18 October 2019

Identification number valid as of 11 November 2019: 21051542

MANZ Business Register	Retrieved on 11 November 2019 10:26
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-----PLEASE NOTE-----

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