

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5987171

|                                                                                                                                                                                                 |                            |                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|-----------------------|
| <b>SUBMISSION TYPE:</b>                                                                                                                                                                         | NEW ASSIGNMENT             |                       |
| <b>NATURE OF CONVEYANCE:</b>                                                                                                                                                                    | CHANGE OF NAME             |                       |
| <b>SEQUENCE:</b>                                                                                                                                                                                | 2                          |                       |
| <b>CONVEYING PARTY DATA</b>                                                                                                                                                                     |                            |                       |
|                                                                                                                                                                                                 | <b>Name</b>                | <b>Execution Date</b> |
|                                                                                                                                                                                                 | ALCON RESEARCH, LTD.       | 02/28/2019            |
| <b>RECEIVING PARTY DATA</b>                                                                                                                                                                     |                            |                       |
| <b>Name:</b>                                                                                                                                                                                    | ALCON RESEARCH, LLC        |                       |
| <b>Street Address:</b>                                                                                                                                                                          | 6201 SOUTH FREEWAY         |                       |
| <b>City:</b>                                                                                                                                                                                    | FORT WORTH                 |                       |
| <b>State/Country:</b>                                                                                                                                                                           | TEXAS                      |                       |
| <b>Postal Code:</b>                                                                                                                                                                             | 76134                      |                       |
| <b>PROPERTY NUMBERS Total: 1</b>                                                                                                                                                                |                            |                       |
|                                                                                                                                                                                                 | <b>Property Type</b>       | <b>Number</b>         |
|                                                                                                                                                                                                 | <b>Application Number:</b> | 16705861              |
| <b>CORRESPONDENCE DATA</b>                                                                                                                                                                      |                            |                       |
| <b>Fax Number:</b>                                                                                                                                                                              |                            |                       |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |                            |                       |
| <b>Phone:</b>                                                                                                                                                                                   | 9495057033                 |                       |
| <b>Email:</b>                                                                                                                                                                                   | patent.docketing@alcon.com |                       |
| <b>Correspondent Name:</b>                                                                                                                                                                      | JOSEPH WEATHERBEE          |                       |
| <b>Address Line 1:</b>                                                                                                                                                                          | 6201 SOUTH FREEWAY         |                       |
| <b>Address Line 2:</b>                                                                                                                                                                          | IP LEGAL                   |                       |
| <b>Address Line 4:</b>                                                                                                                                                                          | FORT WORTH, TEXAS 76134    |                       |
| <b>ATTORNEY DOCKET NUMBER:</b>                                                                                                                                                                  | PAT058368-US-NP            |                       |
| <b>NAME OF SUBMITTER:</b>                                                                                                                                                                       | SHELLEY ROSE-JOHNSON       |                       |
| <b>SIGNATURE:</b>                                                                                                                                                                               | /Shelley Rose-Johnson/     |                       |
| <b>DATE SIGNED:</b>                                                                                                                                                                             | 02/27/2020                 |                       |
| <b>Total Attachments: 3</b>                                                                                                                                                                     |                            |                       |
| source=PAT058368_2019-02-28_ASN_AR LLC Certificate of Merger#page1.tif                                                                                                                          |                            |                       |
| source=PAT058368_2019-02-28_ASN_AR LLC Certificate of Merger#page2.tif                                                                                                                          |                            |                       |
| source=PAT058368_2019-02-28_ASN_AR LLC Certificate of Merger#page3.tif                                                                                                                          |                            |                       |

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"ALCON RESEARCH, LTD.", A DELAWARE CORPORATION,  
WITH AND INTO "ALCON RESEARCH, LLC" UNDER THE NAME OF "ALCON RESEARCH, LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE ON THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019, AT 8:28 O`CLOCK A.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE TWENTY-EIGHTH DAY OF FEBRUARY, A.D. 2019 AT 11:15 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



7178801 8100M  
SR# 20191542231

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JB", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 202342403  
Date: 02-28-19

**PATENT**  
**REEL: 051956 FRAME: 0702**

**CERTIFICATE OF MERGER  
OF  
ALCON RESEARCH, LTD.  
WITH AND INTO  
ALCON RESEARCH, LLC**

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*Pursuant to Section 264 of the  
Delaware General Corporation Law (the "DGCL") and  
Section 18-209 of the  
Delaware Limited Liability Company Act (the "DLLCA")*

Alcon Research, LLC, a Delaware limited liability company, DOES HEREBY CERTIFY THAT:

1. The name of the surviving limited liability company is Alcon Research, LLC, a Delaware limited liability company (the "**Surviving Company**"), and the name of the corporation being merged into the Surviving Company is Alcon Research, Ltd., a Delaware corporation (the "**Merging Company**").

2. A Merger and Reorganization Agreement (the "**Merger Agreement**"), dated as of February 28, 2019, by and among the Surviving Company and the Merging Company, setting forth the terms and conditions of the merger, has been approved, adopted, executed and acknowledged by the Surviving Company and the Merging Company in accordance with Section 264 of the DGCL and Section 18-209 of the DLLCA.

3. The name of the surviving limited liability company is Alcon Research, LLC.

4. This Certificate of Merger, and the merger provided for herein, shall be effective on February 28, 2019 at 11:15 a.m. (the "**Effective Time**").

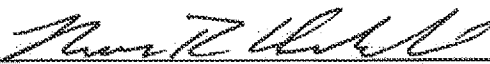
5. The Certificate of Formation of the Surviving Company in effect immediately prior to the Effective Time shall be the Certificate of Formation of the Surviving Company until thereafter amended as provided by applicable law.

6. An executed copy of the Merger Agreement is on file at the principal place of business of the Surviving Company at the following address: 6201 South Freeway, Fort Worth, Texas 76134.

7. A copy of the Merger Agreement will be furnished by the Surviving Company on request and without cost, to any stockholder of any constituent corporation or member of any constituent limited liability company, as applicable.

IN WITNESS WHEREOF, the Surviving Company has caused this Certificate of Merger to be signed as of February 28, 2019, by a duly authorized person, declaring that the facts stated herein are true.

ALCON RESEARCH, LLC

By:   
Name: Tom Hydnall  
Title: Asst. Secretary

*[Certificate of Merger Signature Page]*

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