

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT5991743

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT	
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT	
<b>SEQUENCE:</b>	2	
<b>CONVEYING PARTY DATA</b>		
	<b>Name</b>	<b>Execution Date</b>
	WAVELIGHT GMBH	06/17/2019
<b>RECEIVING PARTY DATA</b>		
<b>Name:</b>	ALCON INC.	
<b>Street Address:</b>	RUE LOUIS-D'AFFRY 6	
<b>City:</b>	FRIBOURG	
<b>State/Country:</b>	SWITZERLAND	
<b>Postal Code:</b>	1701	
<b>PROPERTY NUMBERS Total: 1</b>		
	<b>Property Type</b>	<b>Number</b>
	Application Number:	16785046
<b>CORRESPONDENCE DATA</b>		
<b>Fax Number:</b>		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
<b>Phone:</b>	8175518260	
<b>Email:</b>	patent.docketing@alcon.com	
<b>Correspondent Name:</b>	KENNETH BASSINGER	
<b>Address Line 1:</b>	6201 SOUTH FREEWAY	
<b>Address Line 2:</b>	IP LEGAL	
<b>Address Line 4:</b>	FORT WORTH, TEXAS 76134	
<b>ATTORNEY DOCKET NUMBER:</b>	PAT058455-US-NP	
<b>NAME OF SUBMITTER:</b>	SHELLEY ROSE-JOHNSON	
<b>SIGNATURE:</b>	/Shelley Rose-Johnson/	
<b>DATE SIGNED:</b>	03/02/2020	
<b>Total Attachments: 3</b>		
source=PAT058455_ASN_2019-06-17_WL_AI#page1.tif		
source=PAT058455_ASN_2019-06-17_WL_AI#page2.tif		
source=PAT058455_ASN_2019-06-17_WL_AI#page3.tif		

**RECORDATION FORM COVER SHEET**  
**PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

WaveLight GmbH

**2. Name and address of receiving party(ies)**

Alcon Inc.

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) June 17, 2019

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☐ Other \_\_\_\_\_

Street Address: Rue Louis-d'Affry 6

City: Fribourg

State: \_\_\_\_\_

Country: CH Zip: 1701

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document serves as an Oath/Declaration (37 CFR 1.63).

A. Patent Application No.(s)

B. Patent No.(s)

See attached

Additional numbers attached? ☒ Yes ☐ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Deborah A. Weinschenk

Name: \_\_\_\_\_

Internal Address: \_\_\_\_\_

Street Address: 6201 South Freeway

City: Fort Worth

TX

State: \_\_\_\_\_ Zip: 76134

Phone Number: 817-551-8659

Docket Number: \_\_\_\_\_

Email Address: patent.docketing@alcon.com

**6. Total number of applications and patents involved:** 6

**7. Total fee (37 CFR 1.21(h) & 3.41)** \$ \_\_\_\_\_

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 010682

Authorized UserName Deborah A. Weinschenk

**9. Signature:** /Deborah A. Weinschenk/

June 19, 2019

Signature

Date

Deborah A. Weinschenk

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

**REEL: 051983 FRAME: 0110**

### ASSIGNMENT

WHEREAS, WaveLight GmbH, a German corporation with its principal place of business at Am Wolfsmantel 5, 91058 Erlangen, Germany is the owner of the patents and patent applications listed on attached Exhibit A and all interest in the inventions set forth therein;

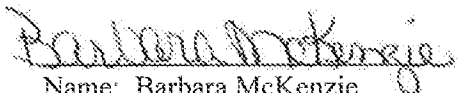
AND WHEREAS, Alcon Inc., a Swiss corporation, with a principal place of business at Rue Louis-d'Affry 6, 1701 Fribourg Switzerland, desires to acquire the entire right, title and interest in and to said inventions and in any and all Letters Patents of the United States and foreign countries which have been or may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, WaveLight GmbH does hereby sell, assign and transfer to Alcon Inc. its legal representatives, successors, and assigns, effective April 8, 2019, the entire right, title and interest in and to said inventions for all countries of the world, including the patents and patent applications listed on attached Exhibit A;

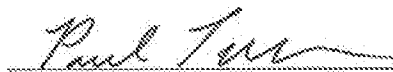
UPON SAID CONSIDERATIONS, WaveLight GmbH hereby agrees that it will not execute any writing or do any act whatsoever conflicting with these presents, and that it will, at any time upon request, without further or additional consideration, but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee, may deem necessary or desirable to perfect the assignee's enjoyment of this grant.

Executed this 17th day of June, 2019.

WaveLight GmbH

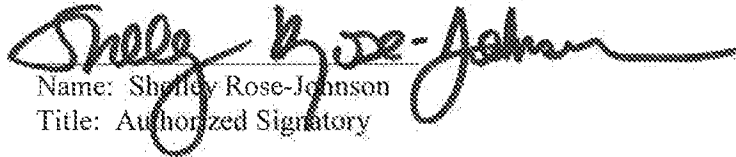


Name: Barbara McKenzie  
Title: Authorized Signatory

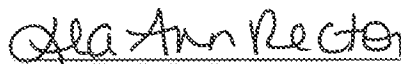


Name: Paul Traska  
Title: Authorized Signatory

ALCON INC.



Name: Shelly Rose-Johnson  
Title: Authorized Signatory



Name: Lea Ann Rector  
Title: Authorized Signatory

Case Reference	Filing Date	Serial No.
PAT058214-US-PSP	29 Aug 2018	62/724460
PAT058323-US-PSP	31 Oct 2018	62/753277
PAT058394-US-PSP	19 Dec 2018	62/781908
PAT058417-US-PSP	18 Jan 2019	62/794005
PAT058455-US-PSP	19 Feb 2019	62/807593
PAT058469-US-PSP	21 Feb 2019	62/808575