PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
JUSTIN O. JAMES	01/16/2020
MICHAEL P. DALY	01/10/2020

RECEIVING PARTY DATA

Name:	United States of America as represented by the Secretary of the Navy	
Street Address:	One Liberty Center, 875 North Randolph Street, Suite 1425	
Internal Address:	Office of Naval Research	
City:	Arlington	
State/Country:	VIRGINIA	
Postal Code:	22203-1995	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16808700

CORRESPONDENCE DATA

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Address Line 4: SAN DIEGO, CALIFORNIA 92152-5765

ATTORNEY DOCKET NUMBER:	104067
NAME OF SUBMITTER:	ANTHONY R. KING
SIGNATURE:	/Anthony R. King/
DATE SIGNED:	03/04/2020

Total Attachments: 2

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PATENT 505949099 REEL: 052011 FRAME: 0221

Navy Case No. 104067

Inventors: Justin O. James and Michael P. Daly

ASSIGNMENT

WHEREAS, we, Justin O. James of San Diego, CA, and Michael P. Daly of San Diego, CA, while employed by the Government of the United States, have invented certain new and useful improvements in:

Transmission Security Based on Adaptive Multiresolution Modulation

identified as Navy Case	No.104067, described in application	1 for Letters Patent of the	United States of America	a executed by us on
16 January	2020; and			· · · · · · · · · · · · · · · · · · ·

WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and

WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and

WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;

NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.

We do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letter Patent or other forms of protection, without payment of any consideration; provided, however that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within eight months of the filing date of any application for United States Letter Patent covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to us subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.

We hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.

IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.

Name /Date / W/K 0 / Amb / Justin Ol James (signature & date)
01/16/2020

Name/Date_

Michael P. Daly (signature & date)

PATENT REEL: 052011 FRAME: 0222 Navy Case No. 104067

Inventors: Justin O. James and Michael P. Daly

ASSIGNMENT

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Transmission Security Based on Adaptive Multiresolution Modulation

identified as Navy Case No.104067, described in application for Letters Patent of the United States of America executed by us on
WHEREAS, the Government of the United States, represented by the Secretary of the Navy and hereinafter referred to as the Government, is desirous of acquiring an assignment of the invention disclosed in said application and other rights and benefits herein granted; and
WHEREAS, the conditions under which the invention was made are such as to entitle the Government under Paragraph 1(a) of Executive Order 10096, to the entire right, title and interest therein, including foreign rights; and
WHEREAS, as to foreign rights, it is the policy of the Government to obtain an option to exercise such rights;
NOW, THEREFORE, in consideration of the promises and other good and valuable consideration the receipt of which is hereby acknowledged, we hereby assign and transfer to the Government the entire right, title and interest in and to said invention within the United States of America, its territories and possessions, and the entire right, title and interest in and to said application and any continuation, division or substitution thereof, and such Letters Patent to be held by the Government to the end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by us had this assignment not been made.
We do hereby also grant unto the Government, the option to take the entire right, title and interest in the invention and all patent applications or other forms of protection thereon in all countries foreign to the United States in which the Government may file, or cause to be filed, applications for Letter Patent or other forms of protection, without payment of any consideration; provided, however that this grant of an option to take foreign rights in the invention, or applications or other forms of protection thereon, shall have force and effect only as to such applications filed in foreign countries within eight months of the filing date of any application for United States Letter Patent covering the invention, or within eight months from the declassification of the invention, whichever is later, and that all foreign rights not exercised under the option are left to us subject to a nonexclusive, irrevocable, royalty-free license to the Government in any patent or other form of protection which may issue on said invention in any foreign country, including the power to issue sublicenses for use in behalf of the Government and/or in furtherance of the foreign policies of the Government.
We hereby further agree to make, execute, and deliver to the Government, any and all papers, documents, affidavits, statements, or other instruments that may be necessary in the prosecution of the application and of any continuation, division or substitution of the application, or any application for reissue or extension of said Letters Patent, and to assist the Government in every way in protecting the invention as may be requested, provided that any expense arising through such efforts will be paid by the Government.
IN TESTIMONY WHEREOF, we have set our hands and affixed our seals.
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PATENT REEL: 052011 FRAME: 0223

Michael P. Daly (signature & date)

RECORDED: 03/04/2020

Justin O. James (signature & date)