### 505950327 03/04/2020

### PATENT ASSIGNMENT COVER SHEET

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| SUBMISSION TYPE:  |   | NEW ASSIGNMENT  |                |  |
|---|---|---|----------------|--|
| NATURE OF CONVEYANCE:   |   | ASSIGNMENT  | ASSIGNMENT     |  |
| CONVEYING PARTY DA  | АТА   | 1   |                |  |
|   |   | Name  | Execution Date |  |
| WILLIAM H. WANG   |   |   | 03/03/2020     |  |
| BILL S. WANG  |   |   | 03/04/2020     |  |
| RECEIVING PARTY DA  | ТА  |   |                |  |
| Name:   | FINISAR CORPORATION                         |   |                |  |
| Street Address:   | 1389 MOFFETT PARK DRIVE                     |   |                |  |
| City:   | SUNNY                                       | VALE  |                |  |
| State/Country:  | CALIFORNIA                                  |   |                |  |
| Postal Code:  | 94089                                       |   |                |  |
| Property Type   | 1   | <b>Number</b>   |                |  |
| Application Number:   | 1   | 6809257   |                |  |
|   | I   |   |                |  |
| CORRESPONDENCE D  | ΑΤΑ   |   |                |  |
| Fax Number:   | (,  | 435)252-1361  |                |  |
|   | (   | 435/252-1501  |                |  |
|   | e sent to                                   | the e-mail address first; if that is unsucc<br>if that is unsuccessful, it will be sent via   |                |  |
| using a fax number, if p  | e sent to<br>provided;                      | the e-mail address first; if that is unsucc   |                |  |
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| <i>using a fax number, if p</i><br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:                                   | e sent to<br>provided;<br>4<br>jk           | <i>the e-mail address first; if that is unsuce</i><br><i>if that is unsuccessful, it will be sent via</i><br>352521360<br>boyack@mabr.com   | a US Mail.     |  |
| <i>using a fax number, if p</i><br>Phone:<br>Email:<br>Correspondent Name:  | e sent to<br>provided;<br>4<br>jk<br>N<br>1 | <i>the e-mail address first; if that is unsuce</i><br><i>if that is unsuccessful, it will be sent via</i><br>352521360<br>boyack@mabr.com<br>/IIKHAEL MIKHALEV /MASCHOFF BRENN  | a US Mail.     |  |
| using a fax number, if p<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 4:                       | e sent to<br>provided;<br>4<br>jk<br>1<br>F | the e-mail address first; if that is unsuce<br>if that is unsuccessful, it will be sent via<br>352521360<br>poyack@mabr.com<br>/IKHAEL MIKHALEV /MASCHOFF BRENN<br>389 CENTER DRIVE   | a US Mail.     |  |
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| using a fax number, if p<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:<br>Address Line 4:<br>ATTORNEY DOCKET NU | e sent to<br>provided;<br>4<br>jk<br>1<br>F | the e-mail address first; if that is unsuce<br>if that is unsuccessful, it will be sent via<br>352521360<br>boyack@mabr.com<br>/IIKHAEL MIKHALEV /MASCHOFF BRENN<br>389 CENTER DRIVE<br>PARK CITY, UTAH 84098<br>F1002.12816US01                    | a US Mail.     |  |
| using a fax number, if p<br>Phone:<br>Email:<br>Correspondent Name:<br>Address Line 1:  | e sent to<br>provided;<br>4<br>jk<br>1<br>F | the e-mail address first; if that is unsuce<br>if that is unsuccessful, it will be sent via<br>352521360<br>boyack@mabr.com<br>/IKHAEL MIKHALEV /MASCHOFF BRENN<br>389 CENTER DRIVE<br>PARK CITY, UTAH 84098<br>F1002.12816US01<br>MIKHAEL MIKHALEV | a US Mail.     |  |

#### **Total Attachments: 2**

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This document serves as an Oath/Declaration (37 CFR 1.63).

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

| · · · · · · · · · · · · · · · · · · ·  |  |  |  |
|--|--|--|--|
| Title of Invention   | PLUGGABLE TRANSCEIVER RETAINER   |  |  |
| Docket Number  | F1002.12816US01  |  |  |
| As the below named i   | wentor, I hereby declare that:   |  |  |
| This declaration   | The attached application, or   |  |  |
| is directed to:  | United States application or PCT international application number<br>filed on  |  |  |
| The above-identified a   | pplication was made or authorized to be made by me.  |  |  |
| I believe that I am the  | original inventor or an original joint inventor of a claimed invention in the application.   |  |  |
|  | that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine t more than five (5) years, or both.  |  |  |
| 1389 Moffett Park Dri  | INISAR CORPORATION (hereinafter referred to as "ASSIGNEE") having a place of business at: ve, Sunnyvale, California 94089, desires to acquire the entire right, title and interest in said invention ed United States patent application;  |  |  |
| acknowledged, I here<br>identified United State<br>and reissues, extension<br>countries foreign to the | EFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby<br>by assign to the ASSIGNEE, the entire right, title and interest in said invention and in the above-<br>is patent application and in all divisions, continuations and continuations-in-part of said application,<br>is and renewals of Letters Patent granted thereon, and in all corresponding patent applications filed in<br>e United States ("foreign countries") and corresponding international patent applications, and in all<br>on any such patent applications in the United States and foreign countries; |  |  |
| own name and the rig   | in to the ASSIGNEE the right to file patent applications in foreign countries on said invention in its ght to claim priority to the above-identified United States patent application under the terms of the ion and any other relevant treaties;  |  |  |
| countries to issue any and to the same, for the  | orize and request the United States Patent & Trademark Office and officials in patent offices in foreign<br>and all of said Letters Patent to the ASSIGNEE as the assignee of my entire right, title and interest in<br>the sole use and behoof of the ASSIGNEE, its successors, assigns, and legal representatives, to the full<br>which said Letters Patent may be granted; and  |  |  |
| respecting said invent<br>continuation-in-part, s<br>Letters Patent to be iss                          | e that, without further consideration, I will communicate to the ASSIGNEE any facts known to me<br>on, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation,<br>ubstitute, renewal and reissue applications, execute all necessary assignment papers to cause said<br>ued to the ASSIGNEE, make all rightful oaths, and, perform all lawful acts to aid the ASSIGNEE, its<br>, to obtain and enforce Letters Patent for said invention in the United States and foreign countries.  |  |  |
| LEGAL NAME OF  | INVENTOR   |  |  |
| Inventor: <u>Willi</u>   | m H. Wang Date: 3/3/2020   |  |  |
| Signature:   | te 72 c f  |  |  |
|  | * * 1 /2 /2 /2 /2 /2 /2 /2 /2 /2 /2 /2 /2 /2   |  |  |
| MASCHOFF BRENNAN, PLLC   |  |  |  |

1389 Center Drive, SUITE 300 PARK CITY, UTAH 84098, USA

> PATENT REEL: 052016 FRAME: 0729

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76) AND ASSIGNMENT FOR SINGLE ASSIGNEE

| r  |  |  |  |
|--|--|--|--|
| Title of Invention   | PLUGGABLE TRANSCEIVER RETAINER   |  |  |
| Docket Number  | F1002.12816US01  |  |  |
| As the below named inventor, I hereby declare that:  |  |  |  |
| This declaration   | The attached application, or   |  |  |
| is directed to:  | United States application or PCT international application number  |  |  |
|  | filed on,  |  |  |
| The above-identified application was made or authorized to be made by me.  |  |  |  |
| I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.   |  |  |  |
| I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.  |  |  |  |
| WHEREAS, FINISAR CORPORATION (hereinafter referred to as "ASSIGNEE") having a place of business at: 1389 Moffett Park Drive, Sunnyvale, California 94089, desires to acquire the entire right, title and interest in said invention and the above-identified United States patent application;   |  |  |  |
| acknowledged, I herel<br>identified United State<br>and reissues, extension<br>countries foreign to th   | EFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby<br>by assign to the ASSIGNEE, the entire right, title and interest in said invention and in the above-<br>is patent application and in all divisions, continuations and continuations-in-part of said application,<br>as and renewals of Letters Patent granted thereon, and in all corresponding patent applications filed in<br>e United States ("foreign countries") and corresponding international patent applications, and in all<br>on any such patent applications in the United States and foreign countries; |  |  |
| own name and the rig   | n to the ASSIGNEE the right to file patent applications in foreign countries on said invention in its<br>ght to claim priority to the above-identified United States patent application under the terms of the<br>ion and any other relevant treaties;   |  |  |
| I hereby authorize and request the United States Patent & Trademark Office and officials in patent offices in foreign countries to issue any and all of said Letters Patent to the ASSIGNEE as the assignee of my entire right, title and interest in and to the same, for the sole use and behoof of the ASSIGNEE, its successors, assigns, and legal representatives, to the full end of the term for which said Letters Patent may be granted; and  |  |  |  |
| Further, I agree that, without further consideration, I will communicate to the ASSIGNEE any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, continuation-in-part, substitute, renewal and reissue applications, execute all necessary assignment papers to cause said Letters Patent to be issued to the ASSIGNEE, make all rightful oaths, and, perform all lawful acts to aid the ASSIGNEE, its successors and assigns, to obtain and enforce Letters Patent for said invention in the United States and foreign countries. |  |  |  |
| LEGAL NAME OF INVENTOR   |  |  |  |
| Inventor: <u>Bill S</u> .  | Wang $Date: 3/4/20$  |  |  |
| Signature:   | WWY  |  |  |
|  | 1  |  |  |
| MASCHOFF BRENNAN, PLLC<br>1389 Center Drive, SUITE 300   |  |  |  |
| PARK CITY, UTAH 84098, USA   |  |  |  |

**RECORDED: 03/04/2020** 

PATENT REEL: 052016 FRAME: 0730