505968211 03/16/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6014918

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
PAUL A. BAUERSCHMIDT	12/20/2006
ARI L. STUDNITZER	12/20/2006
WILLIAM J. ALBERT (DECEASED) BY HIS LEGAL REPRESENTATIVE, LORI FLEMM	12/28/2006
PAUL J. CALLAWAY	12/21/2006
JAMES W. FARRELL	12/21/2006
A. SHANTHI THIRUTHUVADOSS	12/20/2006

RECEIVING PARTY DATA

Name:	CHICAGO MERCANTILE EXCHANGE
Street Address:	20 SOUTH WACKER DRIVE
City:	CHICAGO
State/Country:	ILLINOIS
Postal Code:	60606

PROPERTY NUMBERS Total: 1

Property Type	Number	
Application Number:	16818648	

CORRESPONDENCE DATA

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 312-291-0860

Email: docket-us@lsk-iplaw.com, michelle.marsh@cmegroup.com

Correspondent Name: LEMPIA SUMMERFIELD KATZ LLC/CME

Address Line 1: 20 SOUTH CLARK STREET

Address Line 2: SUITE 600

Address Line 4: CHICAGO, ILLINOIS 60603

ATTORNEY DOCKET NUMBER:	004672-05572C-US
NAME OF SUBMITTER:	JAMES L. KATZ
SIGNATURE:	/James L. Katz/
DATE SIGNED:	03/16/2020

PATENT 505968211 REEL: 052120 FRAME: 0390

Total Attachments: 8 source=Assignment#page1.tif source=Assignment#page3.tif source=Assignment#page4.tif source=Assignment#page5.tif source=Assignment#page6.tif source=Assignment#page7.tif source=Assignment#page8.tif

PATENT REEL: 052120 FRAME: 0391

Case No. 4672/572

ASSIGNMENT

WHEREAS, Paul A. Bauerschmidt, Ari L. Studnitzer, William J. Albert (deceased), Paul J. Callaway, James W. Farrell and A. Shanthi Thiruthuvadoss, hereinafter called the "Assignors", have made the invention described in the United States patent application entitled DETECTION OF INTRA-FIRM MATCHING AND RESPONSE THERETO, for a full description of which reference is here made to an application for Letters Patent of the United States filed on November 17, 2006, and assigned Application Serial No. 11/601,489;

WHEREAS, Chicago Mercantile Exchange, a corporation organized and existing under the laws of the State of Delaware, having a place of business at 20 South Wacker Drive, Chicago, IL 60606, hereinafter called the "Assignee", desires to acquire the entire right, title and interest in and to the invention and the patent application identified above, and all patents which may be obtained for said invention, as set forth below;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00), and other valuable and legally sufficient consideration, the receipt of which by the Assignors from the Assignee is hereby acknowledged, the Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer to the Assignee, the entire right, title and interest for the United States in and to the invention and the patent application identified above, and any patents that may issue for said invention in the United States; together with the entire right, title and interest in and to said invention and all patent applications and patents therefor in all countries foreign to the United States, including the full right to claim for any such application all benefits and priority rights under any applicable convention; together with the entire right, title and interest in and to all continuations, divisions, renewals and extensions of any of the patent applications and patents defined above; together with the right to recover all damages, including, but not limited to, a reasonable royalty, by reason of past, present, or future infringement or any other violation of patent or patent application rights; to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for all such patents.

The Assignors hereby covenant and agree, for both the Assignors and the Assignors' legal representatives, that the Assignors will assist the Assignee in the prosecution of

PATENT REEL: 052120 FRAME: 0392 the patent application identified above; in the making and prosecution of any other patent applications that the Assignee may elect to make covering the invention identified above; in vesting in the Assignee like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignee any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED: 12/20/06

Paul A. Bauerschmidt

DATED: 12/20/06

Ari L. Studnitzer

William J. Albert (deceased) by his legal teppesentative for Flemm

DATED: 12/20/06

Paul J. Callaway

DATED: 12/20/06

W. Farrel

the patent application identified above; in the making and prosecution of any other patent applications that the Assignce may elect to make covering the invention identified above; in vesting in the Assignce like exclusive title in and to all such other patent applications and patents; and in the prosecution of any interference which may arise involving said invention, or any such patent application or patent; and that the Assignors will execute and deliver to the Assignce any and all additional papers which may be requested by the Assignee to carry out the terms of this Assignment.

The Commissioner of Patents and Trademarks is hereby authorized and requested to issue patents to the Assignee in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this agreement.

DATED:	MM ANNO MARIA	Paul A. Bauerschmidt
DATED:	AACA 4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.4.	Ari L. Studnitzer
DATED:	12-28-04	The fellow keet representative for William of William o
DATED:	2531A-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-	Paul J. Callaway
DATED:		James W. Farrell

DATED:

12/20/2006

Shanthi A. Thiruthuvadoss

STATE OF ILLINOIS)
) \$5
COUNTY OF COOK)

I, BRIDGET A. BRENNAN, a Notary Public in and for the County and State aforesaid, do hereby certify that <u>Paul Andrew Bauerschmidt</u>, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS V	HEREOF, I have hereunto set my hand and Notarial Seal, thi	S
	fully fam.)
My Commission Expires: 11/2	/07 Notary Public	
	OFFICIAL SEAL BRIDGET A BRENNAN NOTARY PUBLIC - STATE OF ALINOIS	
STATE OF ILLINOIS	MY COMMISSION EXPRES:11/2207	
COUNTY OF COOK	\$\$ <i>,</i>	

I, BRIDGET A. BRENNAN, a Notary Public in and for the County and State aforesaid, do hereby certify that Ari L. Studnitzer, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WHINESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of ______, 2006.

Notary Public

My Commission Expires: 11/22/07

OFFICIAL SEAL
BRIDGET A BRENNAN
NOTARY PUBLIC - STATE OF ILLINOIS
MY COMMISSION EXPRES:11/2207

STATE OF)
) 88
COUNTY OF)

I, Ada E. Gillisse, a Notary Public in and for the County and State aforesaid, do hereby certify that Lori Flemm, legal representative of William J. Albert (deceased), personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of December, 2006.

Ada & Lilliano. Notary Public

My Commission Expires: ユー/ 9 - 0 9

STATE OF
COUNTY OF) ss.
State aforesaid, do hereby certify that Lori Flemm, legal representative of William J. Albert (deceased), personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth. IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of
Notary Public My Commission Expires:
STATE OF ILLINOIS)
COUNTY OF COOK ss.
I, BRIDGET A. BRENNAN, a Notary Public in and for the County and State aforesaid, do hereby certify that <u>Paul J. Callaway</u> , personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.
IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of
Notary Public

My Commission Expires: 11/22/07

OFFICIAL SEAL
BRIDGET A BRENNAN
NOTARY PUBLIC - STATE OF ALINOIS
MY COMMISSION EXPRES: 11/2207

STATE OF ILLINOIS)
COUNTY OF COOK) ss.

I, BRIDGET A. BRENNAN, a Notary Public in and for the County and State aforesaid, do hereby certify that <u>James W. Farrell</u>, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

I, BRIDGET A. BRENNAN, a Notary Public in and for the County and State aforesaid, do hereby certify that A. Shanthi Thiruthuvadoss, personally known to me to be the same person whose name is subscribed to the foregoing instrument, appeared before me this day in person and acknowledged that (s)he signed, sealed and delivered the said instrument as his/her free and voluntary act for the uses and purposes therein set forth.

IN WITNESS WHEREOF, I have hereunto set my hand and Notarial Seal, this day of _______, 2006.

My Commission Expires: 11/22/07

OFFICIAL SEAL
BRIDGET A BRENNAN
NOTARY PUBLIC - STATE OF RLINOIS
MY COMMISSION EXPIRES:11/2207

otary Public

PATENT REEL: 052120 FRAME: 0399

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