### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2

EPAS ID: PAT6015985

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
MATTHEW GREEN	03/16/2020
NARAYANA ADITYA MADINENI	03/13/2020
MICHAEL W GRAY	03/13/2020
LEIGH S MCLEAN	03/16/2020

#### **RECEIVING PARTY DATA**

Name:	INTERNATIONAL BUSINESS MACHINES CORPORATION	
Street Address:	NEW ORCHARD ROAD	
City:	ARMONK	
State/Country:	NEW YORK	
Postal Code:	10504	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16819881

### CORRESPONDENCE DATA

Fax Number: (781)823-0416

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

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NORTH SHORE PATENTS, P.C. **Correspondent Name:** 

Address Line 1: P.O. BOX 683

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ATTORNEY DOCKET NUMBER: P201905664US01/IBM208	
NAME OF SUBMITTER:	MICHELE LIU BAILLIE
SIGNATURE:	/Michele Liu Baillie/
<b>DATE SIGNED:</b> 03/16/2020	
This document serves as an Oath/Declaration (37 CFR 1.63).	

**Total Attachments: 8** 

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**PATENT REEL: 052125 FRAME: 0732** 

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PATENT REEL: 052125 FRAME: 0733

# DECLARATION (F.C.E.R. § 1.63) FOR LTHLITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37.C.E.R. § 1.76) AND ASSIGNMENT

### 

As a below named and undersigned inventor, I hereby declare that
The declaration is discussed to the attached application, or of following box is checked):
United States application or PCT international application number
Perfect
The above identified application was made or authorized to be made by me
believe that I am the original inventor or an original joint inventor of a claimed invention in the
have reviewed and understand the contents of the application, including the claims.
with a ward of the duty to disclose to the United States Patent and Trademark Office all information
Constitution of the Department to protection through a defined in 17 C. F.R. 8 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Oxeket Number provided above in the header of this document.

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to say and all patent applications and patents directed thereto:

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged. I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made, and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns

I soknowledge my prior and engoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and an unaware of any reason why I may not have the full and uncocumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of New York, and any disputes will be resolved in a New York state court or federal court sited in New York.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1601 by fine or imprisonment of not more than five (5) years, or both

(1)	Legal Name of investor: Matthew GREEN	
	Signature 4444	Date: <u>01/16/2020</u>
(2)	Legal Name of Inventor: Narayana Aditya MADINENI	
	Signature	Date
(3)	Legal Name of Inventor: Michael W. GRAY	
	Signature:	Date:
(4)	Legal Name of Inventor: Leigh S. MCLEAN	
	Signature	Date

Page 2 of 2

## DECLARATION (37 C.F.R. § 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. § 1.76) AND ASSIGNMENT

### Title of Invention: CORRELATION AND ROOT CAUSE ANALYSIS OF TRACE DATA USING AN UNSUPERVISED AUTOENCODER

As a below named and undersigned inventor, I hereby declare that:		
This declaration is directed to the attached application, or (if following box is checked):		
[ ] United States application or PCT international application numberfiled on		
The above-identified application was made or authorized to be made by me.		
I believe that I am the original inventor or an original joint inventor of a claimed invention in the		
application.		
I have reviewed and understand the contents of the application, including the claims.		
I am aware of the duty to disclose to the United States Patent and Trademark Office all information		

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the IBM Docket Number provided above in the header of this document;

known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, International Business Machines Corporation (herein referred to as the "ASSIGNEE"), a corporation of New York having a place of business at Armonk, New York, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns, whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

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(1)	Legal Name of Inventor: Matthew GREEN		
	Signature:	_ Date:	
	Legal Name of Inventor: Narayana Aditya MADINENI		
	Signature:	_Date:	3/13/20
(3)	Legal Name of Inventor: Michael W. GRAY		
	Signature:	_Date:	
(4)	Legal Name of Inventor: Leigh S. MCLEAN		
	Signature:	Date:	

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(i) Legal Name of Inventor: Matthew GREEN	
Signature:	Date:
(2) Legal Name of Inventor: Narayana Aditya MADINENI	
Signature:	Date:
(3) Legal Name of Inventor: Michael W. GRAY	
Signature: 1144	
(4) Legal Name of Inventor: Leigh S. MCLEAN	
Signature:	Date:

Page 2 of 2

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### IBM DOCKET NUMBER: P201905664US01/IBM208

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(1)	Legal Name of Inventor: Matthew GREEN	
	Signature:	Date;
(2)	Legal Name of Inventor: Narayana Aditya MADINENI	
	Signature:	Date:
(3)	Legal Name of Inventor: Michael W. GRAY	
	Signature:	Date:
(4)	Legal Name of Inventor: Leigh S. MCLEAN	
	Signature: LDML	Date: 16/3/2020

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