#### 505973925 03/18/2020

#### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6020633

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	MERGER AND CHANGE OF NAME
EFFECTIVE DATE:	08/01/2017

#### **CONVEYING PARTY DATA**

Name	Execution Date
SPECTRUM, INC	08/01/2017

#### **NEWLY MERGED ENTITY DATA**

Name	Execution Date
SWING TIME, LLC	08/01/2017

#### MERGED ENTITY'S NEW NAME (RECEIVING PARTY)

Name:	SPECTRUM LIFESCIENCES, LLC
Street Address:	18617 S. BROADWICK STREET
City:	RANCHO DOMINGUEZ
State/Country:	CALIFORNIA
Postal Code:	90220

#### **PROPERTY NUMBERS Total: 2**

Property Type	Number
Patent Number:	9119618
Patent Number:	5882295

#### **CORRESPONDENCE DATA**

**Fax Number:** (919)999-2798

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 9196364767

**Email:** bjackson@kdbfirm.com, docketing@kdbfirm.com

Correspondent Name: KACVINSKY DAISAK BLUNI PLLC
Address Line 1: 2601 WESTON PARKWAY, SUITE 103
Address Line 4: CARY, NORTH CAROLINA 27513

ATTORNEY DOCKET NUMBER:	1580-00039/00041
NAME OF SUBMITTER:	BARBARA J. JACKSON
SIGNATURE:	/Barbara J. Jackson/
DATE SIGNED:	03/18/2020

PATENT 505973925 REEL: 052155 FRAME: 0696

# Total Attachments: 9 source=Spectrum Inc to Spectrum Lifesciences#page1.tif source=Spectrum Inc to Spectrum Lifesciences#page2.tif source=Spectrum Inc to Spectrum Lifesciences#page3.tif source=Spectrum Inc to Spectrum Lifesciences#page4.tif source=Spectrum Inc to Spectrum Lifesciences#page5.tif source=Spectrum Inc to Spectrum Lifesciences#page6.tif source=Spectrum Inc to Spectrum Lifesciences#page7.tif source=Spectrum Inc to Spectrum Lifesciences#page8.tif source=Spectrum Inc to Spectrum Lifesciences#page9.tif

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AGREEMENT OF MERGER
OF
SPECTRUM, INC.,
a California corporation

Secretary of State
State of California

V

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WITH AND INTO

SWING TIME, LLC
a Delaware limited liability company

August 1, 2017

This Agreement of Merger is entered into among Swing Time, LLC, a Delaware limited liability company (herein "Surviving Company") and Spectrum, Inc. (a California corporation (herein "Merging Corporation") on August 1, 2017 (the "Effective Time")

- 1. Merging Corporation shall be merged (the "Merger") with and into Surviving Company and the surviving portion shall be the surviving company.
- 2. In accordance with that certain Agreement and Plan of Merger and Reorganization, dated as of June 22, 2017 (the "Merger Agreement"), by and among Repligen Corporation, a Delaware corporation ("Parent"), Top Hat, Inc., a Delaware corporation, Merging Corporation, Surviving Company and Roy T. Eddleman, an individual solely in his capacity as the shareholder representative, each share of the common stock of the Merging Corporation outstanding immediately prior to the Merger shall, by virtue of the Merger and without any action on the part of the holder thereof, be converted into one outstanding unit of the Surviving Company. For the avoidance of doubt, immediately prior to the Effective Time, Parent holds all shares of the Merging Corporation and all units of the Surviving Corporation outstanding immediately prior to the Effective Time, and immediately following the Effective Time Parent shall hold all units of the Surviving Corporation outstanding as of immediately following the Effective Time.
- 3. The Certificate of Formation of the Surviving Company, as in effect immediately prior to the Effective Time, shall be the Certificate of Formation of the Surviving Company from and after the Effective Time until thereafter amended, restated, modified or repealed as provided therein or in the manner set forth in the Delaware Limited Liability Company Act, provided that, upon consummation of the Merger, the Certificate of Formation shall be amended by changing the name of the entity from "Swing Time, LLC" to "Spectrum LifeSciences, LLC."
- 4. The Operating Agreement of the Surviving Company, as in effect immediately prior to the Effective Time, shall be the Operating Agreement of the Surviving Company from and after the Effective Time, until thereafter amended, restated, modified or repealed as provided therein or in the manner set forth in the Delaware Limited Liability Company Act.
- 5. Merging Corporation shall, as and when requested by Surviving Company, execute and deliver all such documents and instruments and take all such action necessary or desirable to evidence or carry out this Merger.

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6. The effective date and other effects of the Merger shall be as prescribed by law.

[Signature Page Follows.]

IN WITNESS WHEREOF the parties have executed this Agreement as of the date first written:above.

SWING TIME, LLC, a Delaware corporation (the "Surviving Corporation")

Name: Tony J. Hunt

Title: Manager

By:

Name: Jon Snodgres
Title: Manager

SPECTRUM, INC., a California corporation (the "Merging Corporation")

Name: Tony J. Hunt Title: President

Title: Secretary

[Signature page to Agreement of Merger]

**PATENT** 

REEL: 052155 FRAME: 0700

IN WITNESS WHEREOF the parties have executed this Agreement as of the date first written above.

SWING TIME, LLC, a Delaware corporation (the "Surviving Corporation")

Name: Tony J. Hunt
Title: Manager

Name on Snodgres
Title: Manager

SPECTRUM, INC., a California corporation (the "Merging Corporation")

By: Name: Jon Snodgres
Title: Secretary

[Signature page to Agreement of Merger]



### State of California Secretary of State

#### **Certificate of Merger**

(California Corporations Code sections 1113(g), 3203(g), 6019.1, 8019.1, 9640, 12540.1, 15911.14, 16915(b) and 17710.14)

IMPORTANT — Read all instructions before completing this form.			This Space For Filing Use Only			
1. NAME OF SURVIVING ENTITY	2. TYPE OF ENTITY	3. CA SECRE	TARY OF STATE FILE	NUMBER 4	. JURISDICTIO	NC
Swing Time, LLC	Limited flability company	N/A Delaware			ìre	
5. NAME OF DISAPPEARING ENTITY	8. TYPE OF ENTITY	7. CA SECRE	TARY OF STATE FILE	NUMBER	. JURISDICTI	אכ
Spectrum, Inc.	Corporation		C2121079	•	Catifor	nia
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SURVIVING ENTITY	.		DISAPPEARING	ENTITY		
,		ED CLASS AND NUMBER AND PERCENTAGE VOTE REQUIRED 51%.				REQUIRED
Membarship Units	51%	20,000,000 shares of common stock				
10. IF EOUTY SECURITIES OF A PARENT PARTY ARE TO SE ISSUED IN THE MERGER, CHECK THE APPLICABLE STATEMENT.						
10. IF EDUITY SECURITIES OF A PARENT PARTY ARE TO BE						
No vote of the shareholders of the parent party w	as required Th	e required vol	e of the shareholder	s of the parer	ni pariy was ob	tained.
11. IF THE SURVIVING ENTITY IS A DOMESTIC LIMITED LIAB ANY) TO THE INFORMATION SET FORTH IN THE SU STATEMENT OF PARTNERSHIP AUTHORITY RESULTING	RVIVING ENTITY'S ARTIC	LES OF ORG	ANIZATION. CERTIFI	CATE OF LIN	REQUISITE CH NITED PARTNE	ANGES (IF RSHIP OR
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PRINCIPAL ADDRESS OF SURVIVING ENTITY	CIT	Y AND STATE	•		ZIP CODE	
• .		•			•	
13. OTHER INFORMATION REQUIRED TO BE STATED IN TH ENTITY IS ORGANIZED. ATTACH ADDITIONAL PAGES, IF	E CERTIFICATE OF MERG NECESSARY.	ER BY THE LA	WS UNDER WHICH (	ach consti	TUENT OTHER	BUSINESS
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'Title 6, Section 18-209 of the Delaware Limited Liabili	ry Company Act			(Monih)	(Day)	(Year)
18. ADDITIONAL INFORMATION SET FORTH ON ATTACHE CERTIFICATE.	15. ADDITIONAL INFORMATION SET FORTH ON ATTACHED PAGES, IF ANY, IS INCORPORATED HEREIN BY THIS REFERENCE AND MADE PART OF THIS					
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## SPECTRUM, INC. (a California corporation)

# CERTIFICATE OF APPROVAL OF AGREEMENT OF MERGER

Tony J. Hunt and Jon Snodgres hereby certify that:

- 1. They are the President and Secretary, respectively, of Spectrum, Inc., a corporation organized under the laws of the State of California (the "Corporation").
- .2. The Corporation has an authorized capital of 20,000,000 shares of common stock, no par value ("Common Stock").
- 3. As of the record date (the "Record Date") for shareholder approval of the Agreement of Merger to which this certificate is attached (the "Merger Agreement"), there were issued and outstanding 20,000,000 shares of Common Stock. Holders of shares of Common Stock outstanding as of the Record Date were entitled to vote on the transactions contemplated by the Merger Agreement.
- 4. The principal terms of the Merger Agreement were approved by the Board of Directors of the Corporation and by the vote of a number of shares of Common Stock that equaled or exceeded the vote required.
- 5. The percentage vote required was more than 50% of the votes entitled to be cast by holders of Common Stock outstanding as of the Record Date, voting as a single class.
- 6. Each of the undersigned further declares for himself under penalty of perjury under the laws of the State of California that he has read the foregoing certificate and knows the contents thereof and that the same is true of his own knowledge.

[Signature page to follow.]

IN WITNESS WHEREOF, the undersigned have recented this Certificate as of this 1st day of August, 2017.

Tony.J. Hunt President

Jon Snodgres Secretary

[Signature page to Certificate of Approval of Spectrum, Inc. to Agreement of Merger]

**PATENT** 

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IN WITNESS WHEREOF, the undersigned have executed this Certificate as of this 1st day of August, 2017.

Tony J. Hunt President

.Jon.Snodgr Secretary

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[Signature page to Certificate of Approval of Spectrum, Inc. to Agreement of Merger]

I hereby certify that the foregoing transcript of \_\_\_\_\_\_\_ page(s) is a full, true and correct copy of the original record in the custody of the California Secretary of State's office.

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ALEX PADILLA, Secretary of State

PATENT REEL: 052155 FRAME: 0706

**RECORDED: 03/18/2020**