

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
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EPAS ID: PAT6026488

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the DATE OF EXECUTION FOR THE FIRST ASSIGNOR. previously recorded on Reel 051500 Frame 0196. Assignor(s) hereby confirms the ASSIGNMENT.
CONVEYING PARTY DATA	
Name	Execution Date
GREG HALSTEAD	10/13/2019
MICHAEL P. ALLEN	10/10/2019
RECEIVING PARTY DATA	
Name:	EMBOLX, INC.
Street Address:	530 LAKESIDE DR. #200
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16545519
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	6502121700
Email:	info@shayglenn.com
Correspondent Name:	SHAY GLENN LLP
Address Line 1:	2929 CAMPUS DRIVE, SUITE 225
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	13099-701.500
NAME OF SUBMITTER:	MAE PATTISON
SIGNATURE:	/THOMAS M. ZLOGAR/
DATE SIGNED:	03/23/2020
Total Attachments: 4	
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PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT5908570

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GREG HALSTEAD	<u>10/13/2019</u> 10/10/2019
MICHAEL P. ALLEN	10/10/2019
RECEIVING PARTY DATA	
Name:	EMBOLX, INC.
Street Address:	530 LAKESIDE DR. #200
City:	SUNNYVALE
State/Country:	CALIFORNIA
Postal Code:	94085
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16545519
CORRESPONDENCE DATA	
Fax Number:	(650)212-7562
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(650) 212-1700
Email:	info@shayglenn.com,mae@shayglenn.com
Correspondent Name:	THOMAS M. ZLOGAR
Address Line 1:	SHAY GLENN LLP
Address Line 2:	2755 CAMPUS DRIVE, SUITE 210
Address Line 4:	SAN MATEO, CALIFORNIA 94403
ATTORNEY DOCKET NUMBER:	13099-701.500
NAME OF SUBMITTER:	MAE PATTISON
SIGNATURE:	/THOMAS M. ZLOGAR/
DATE SIGNED:	01/13/2020
Total Attachments: 3	
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source=13099-701.500 Recordation CS & Assign#page3.tif	

This Assignment of Patent Application is between:

Greg HALSTEAD of Sunnyvale, CA; and

Michael P. ALLEN of Los Altos, CA,

(hereinafter referred to as "Inventor") and

EMBOLX, INC., a corporation of the State of California, having a place of business at 530 Lakeside Drive, #200, Sunnyvale, CA 94085, (hereinafter referred to as "Assignee").

WHEREAS Inventor has invented certain new and useful improvements in:

"BALLOON CATHETERS AND METHODS OF MANUFACTURE AND USE"

for which an application for a United States Patent was filed on **August 20, 2019** and assigned Application No. **16/545,519**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon,

including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

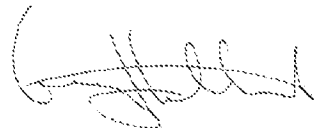
3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:

10/13/19
Date

10/10/19
Date


Digitally signed by Greg Halstead
DN: cn=Greg Halstead, o=Embolx,
ou=Director, Engineering & Operations,
email=ghalstead@embolx.com, c=US
Date: 2019.10.13 11:16:48 -07'00'

GREG HALSTEAD

Michael P. Allen
Digitally signed by Michael P.
Allen
Date: 2019.10.10 13:28:57 -07'00'

Michael P. ALLEN