505982902 03/24/2020

# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6029610

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

## **CONVEYING PARTY DATA**

Name	Execution Date
CALUM WILLIAMS	02/14/2020
TIMOTHY WILKINSON	02/19/2020

# **RECEIVING PARTY DATA**

Name:	CAMBRIDGE ENTERPRISE LIMITED
Street Address:	THE OLD SCHOOLS, TRINITY LANE
City:	CAMBRIDGE, CAMBRIDGESHIRE
State/Country:	UNITED KINGDOM
Postal Code:	CB2 1TN

# **PROPERTY NUMBERS Total: 1**

Property Type	Number	
Application Number:	16613604	

# **CORRESPONDENCE DATA**

**Fax Number:** (864)233-7342

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**Phone:** 8642711592

**Email:** usdocketing@dority-manning.com, hlewis@dority-manning.com

Correspondent Name: DORITY & MANNING, P.A.

Address Line 1: P.O. BOX 1449

Address Line 4: GREENVILLE, SOUTH CAROLINA 29602-1449

ATTORNEY DOCKET NUMBER:	MARKS-5-PCT-US	
NAME OF SUBMITTER:	NEI	
SIGNATURE:	/Neil M. Batavia/	
DATE SIGNED:	03/24/2020	

# **Total Attachments: 4**

source=MARKS-5-PCT-US\_Declaration-AssignmentSigned\_19FEB2020#page1.tif source=MARKS-5-PCT-US\_Declaration-AssignmentSigned\_19FEB2020#page2.tif source=MARKS-5-PCT-US\_Declaration-AssignmentSigned\_19FEB2020#page3.tif source=MARKS-5-PCT-US\_Declaration-AssignmentSigned\_19FEB2020#page4.tif

PATENT 505982902 REEL: 052214 FRAME: 0940

### CONFIRMATORY ASSIGNMENT AND U.S. DECLARATION 37 C.F.R. 1.63

THIS ASSIGNMENT IS SUPPLEMENTAL to the Original Assignment (defined below) and th February Zo20 by

- Calum Williams of University of Cambridge, Cavendish Laboratory, 19 J J Thomson Avenue, (1) Cambridge, CB3 0HE; and
- Timothy Wilkinson of University of Cambridge, Department of Engineering, Electrical Engineering (2)Division, CAPE Building, 9 JJ Thomson Avenue, Cambridge, Cambridgeshire, CB3 OFA, United Kingdom

(the "Inventors");

### **BACKGROUND**

- (A) The Inventors assigned their entire rights to the Patent, as defined below, in the Original Assignment
- The Original Assignment needs to be memorialized formally in a written instrument for the purpose (B) of prosecuting the Patents including without limitation in the United States Patent and Trademark Office.

#### **Definitions**

"Original Assignment"

the assignment to CE dated 10 August 2017;

"Patents"

"Patents" means the patent or patents for which application has been made together with the applications, all as set out in Schedule 1, and together with the inventions disclosed therein and improvements made thereto and any patents granted in the UK or overseas pursuant to the applications or to any applications which derive priority from, have equivalent claims to or are based on such applications, including any continuations, continuations in part, extensions, reissues, divisions and

supplementary protection certificates;

"CE"

Cambridge Enterprise Limited (Company No 01069886)

#### 2 Assignment

- 2.1 In consideration of CE agreeing to prosecute the application for the Patents referred to in clause 3.1 below, the Inventors confirm the assignment to CE in the Original Assignment of all rights, title and interest they may have to:
  - the Patents; (a)
  - (b) apply for, prosecute and obtain protection throughout the world for any of the Patents and claim priority under the Paris Convention (or any other applicable conventions, treaties or arrangements) when filing further applications; and
  - take actions and proceedings as may be necessary and recover damages or otherwise in (c) respect of any infringement of the rights assigned by this Confirmatory Assignment in respect of any infringements which occurred before the date of this Confirmatory Assignment.
- 2.2 CE may keep Schedule 1 updated to include all applications and grants within the scope of clause 2.1 as it may be necessary or desirable for patent prosecution.

Formal Confirmatory Assignment -CE-LSO - v1.2- December 2016 Case No: [Wil-3472-17]

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**PATENT** REEL: 052214 FRAME: 0941

### CONFIRMATORY ASSIGNMENT AND U.S. DECLARATION 37 C.F.R. 1,63

- 3 US Patent Prosecution (DECLARATION 37 C.F.R. 1.63)
- 3.1 The Inventors HEREBY REQUEST the US Commissioner of Patents and the relevant authorities in all countries of the world to issue any grants for the Patent, in the name of CE or its successors or assigns (either alone or jointly with any co-applicants).
- 3.2 As a below named inventor, I hereby declare that:
  - (a) This declaration is directed to:

[ ] the attached application, or

[X] United States application or PCT international application number

PCT/GB2018/051419 filed on 24 May 2018.

- (b) The application was made or authorised to be made by me.
- (c) I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
- (d) I hereby acknowledge that any wilful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

### 4 General

- 4.1 This Confirmatory Assignment is subject to English law and the exclusive jurisdiction of the English courts.
- 4.2 This Confirmatory Assignment may consist of a number of copies, each signed by one or more parties. If so, the signed copies are treated as making up the one and the date on which the last counterpart is executed will be the date of the Confirmatory Assignment.

## Signed by

Inventor Signature:	Inventor Signature:
Inventor Name: Calum Williams	Inventor Name: Timothy Wilkinson
Date Signed by Inventor: 4/02/20	Date Signed by Inventor:
Witness Signature	Witness Signature:
Date Signed by Witness: 14/02 / 20	Date Signed by Witness
Witness Name: Junghee Youn	Witness Name:
Witness Occupation: Post doc.	Witness Occupation:
Witness Address:	Witness Address:
26a, high Street. Cherry hinton	
Cambrige, UK, CB19HZ.	
	•

Formal Confirmatory Assignment -CE-LSO – v1.2- December 2016 Case No: [Wil-3472-17]

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    - [ ] the attached application, or

[X] United States application or PCT international application number

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# Signed by

Inventor Signature:	Inventor Signature:
Inventor Name: Calum Williams	Inventor Name: Timothy Wilkinson
Date Signed by Inventor:	Date Signed by Inventor: 19/2/20
Witness Signature:	Witness Signature:
Date Signed by Witness:	Date Signed by Witness 1972/20
Witness Name:	Witness Name: TIM Coom's
Witness Occupation:	Witness Occupation: Lecturor
Witness Address:	Witness Address: 66 Maids Coursesay, countridge
	Courseray, counts rolls
	C85 & DV

Formal Confirmatory Assignment -CE-LSO -- v1.2- December 2016 Case No: [Wil-3472-17]

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# CONFIRMATORY ASSIGNMENT AND U.S. DECLARATION 37 C.F.R. 1.63

# Schedule 1

# Part 1-the Priority and PCT Application(s)

Country/region	Application No.	Application Date	Title
United Kingdom	GB1708407.0	25 May 2017	Optical Devices
PCT	PCT/GB2018/051419	24 May 2018	Optical Devices

# Part 2- the National Application(s)

Country/region	Application No.	Application Date	Title
Europe	EP18728721.4	24 May 2018	Optical Devices
United States of America	US16/613,604	24 May 2018	Optical Devices

Formal Confirmatory Assignment -GE-LSO - v1.2- December 2016 Case No: [Wil-3472-17]

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**RECORDED: 03/24/2020**