# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6031362

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT	
NATURE OF CONVEYANCE:	Corrective Assignment to correct the EXECUTION DATE OF BISWAROOP PALIT TO "06/26/2018" previously recorded on Reel 051921 Frame 0657. Assignor(s) hereby confirms the ASSIGNMENT.	

#### **CONVEYING PARTY DATA**

Name	Execution Date
ZHICONG WANG	06/25/2018
BENJAMIN MEADOWCROFT	06/26/2018
BISWAROOP PALIT	06/26/2018
ATANU CHAKRABORTY	06/26/2018
HARDIK VOHRA	06/25/2018
ABHAY MITRA	06/27/2018
SAURABH GOYAL	07/16/2018
SANJARI SRIVASTAVA	07/16/2018
SWAPNIL AGARWAL	06/27/2018
RAHIL SHAH	06/25/2018
MUDIT MALPANI	06/25/2018
JANMEJAY SINGH	06/25/2018
AJAY ARVIND BHAVE	06/25/2018
PRATEEK PANDEY	06/27/2018

# **RECEIVING PARTY DATA**

Name:	RUBRIK, INC.
Street Address:	1001 PAGE MILL RD
Internal Address:	BUILDING 2
City:	PALO ALTO
State/Country:	CALIFORNIA
Postal Code:	94304

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16660262

# **CORRESPONDENCE DATA**

#### **Fax Number:**

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

PATENT

505984653 REEL: 052228 FRAME: 0131

Email: request@slwip.com

Correspondent Name: SCHWEGMAN LUNDBERG & WOESSNER, P.A.

Address Line 1: P.O. BOX 2938

Address Line 4: MINNEAPOLIS, MINNESOTA 55402

ATTORNEY DOCKET NUMBER:	5178.044US2	
NAME OF SUBMITTER:	TYLYN MOORE	
SIGNATURE:	/tylyn moore/	
DATE SIGNED:	03/25/2020	

# **Total Attachments: 8**

source=5178044US2\_CorrectASSN#page1.tif source=5178044US2\_CorrectASSN#page2.tif source=5178044US2\_CorrectASSN#page3.tif source=5178044US2\_CorrectASSN#page4.tif source=5178044US2\_CorrectASSN#page5.tif source=5178044US2\_CorrectASSN#page6.tif source=5178044US2\_CorrectASSN#page7.tif

source=5178044US2 CorrectASSN#page8.tif

# RECORDATION FORM COVER SHEET PATENTS ONLY

Atty Ref/Docket No.: 5178.044US2 Patent and Trademark Office To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof. 2. Name and address of receiving party(ies): 1. Name of conveying party(ies): Name: Rubrik, Inc. Zhicong Wang, Benjamin Meadowcroft, Biswaroop Palit, Atanu Chakraborty, Hardik Vohra, Abhay Mitra, Saurabh Street Address: 1001 Page Mill Rd Goyal, Sanjari Srivastava, Swapnil Agarwal, Rahil Shah, Building 2 Mudit Malpani, Janmejay Singh, Ajay Arvind Bhave, Prateek City: Palo Alto State: CA Zip: Country: United States of America Zip: 94304 Additional name(s) of conveying party(ies) attached? Additional name(s) & address(es) attached? []Yes [ ]Yes [X]No [X]No 3. Nature of conveyance: [] Merger [ ] Assignment [ ] Security Agreement [ ] Change of Name [X] Other: Corrective Assignment to correct the execution date of Biswaroop Palit to "06/26/2018", previously recorded incorrectly at Reel 051921, Frame 0657. Execution Date: June 25, 2018, June 26, 2018, June 26, 2018, June 26, 2018, June 25, 2018, June 27, 2018, July 16, 2018, July 16, 2018, June 25, 2018 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) B. Patent No.(s) Serial No. 16/660,262 Additional numbers attached? [ ]Yes [X]No 6. Total number of applications and patents involved: 1 5. Name and address of party to whom correspondence concerning document should be mailed: 7. Total fee (37 CFR 3.41):\$ 0.00 Name: Piers A. Blewett [[Enclosed] [Authorized to be charged to deposit account Address: 19-0743 Schwegman Lundberg & Woessner, P.A. P.O. Box 2938 8. Please charge any additional fees or credit any over Minneapolis, Minnesota 55402 payments to our Deposit Account No.: 19-0743 DO NOT USE THIS SPACE 9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. 1 /bein A. Blewett 03/25/2020 Piers A. Blewett/Reg. No. 68,464 Name of Person Signing Date Total number of pages including cover sheet: 8 Mail documents to be recorded with required cover sheet information to: **Commissioner of Patents and Trademarks** Mail Stop Assignment Recordation Services P.O. Box 1450

**Alexandria, VA 22313-1450** 

# 505934360 02/25/2020

# **PATENT ASSIGNMENT COVER SHEET**

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT5981079

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
ZHICONG WANG	06/25/2018
BENJAMIN MEADOWCRAFT	06/26/2018
BISWAROOP PALIT	06/28/2018
ATANU CHAKRABORTY	06/26/2018
HARDIK VOHRA	06/25/2018
ABHAY MITRA	06/27/2018
SAURABH GOYAL	07/16/2018
SANJARI SRIVASTAVA	07/16/2018
SWAPNIL AGARWAL	06/27/2018
RAHIL SHAH	06/25/2018
MUDIT MALPANI	06/25/2018
JANMEJAY SINGH	06/25/2018
AJAY ARVIND BHAVE	06/25/2018
PRATEEK PANDEY	06/27/2018

#### **RECEIVING PARTY DATA**

Name:	RUBRIK, INC.
Street Address:	1001 PAGE MILL RD
Internal Address:	BUILDING 2
City:	PALO ALTO
State/Country:	CALIFORNIA
Postal Code:	94304

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16660262

#### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Email: request@slwip.com

# <u>ASSIGNMENT</u>

For good and valuable consideration, the receipt of which is hereby acknowledged, the person(s) named below (referred to as "INVENTOR" whether singular or plural) has sold, assigned, and transferred and does hereby sell, assign, and transfer to Rubrik Inc., a Delaware Corporation, having a place of business at 1001 Page Mill Rd, Bldg 2, Palo Alto, CA 94304 ("ASSIGNEE"), for itself and its successors, transferees, and assignees, the following:

- 1. The entire worldwide right, title, and interest in all inventions and improvements ("SUBJECT MATTER") that are disclosed in the following provisional or non-provisional application filed under 35 U.S.C. § 111, design application filed under 35 U.S.C. § 171, Registered Community Designs, international application filed according to the Patent Cooperation Treaty (PCT), or U.S. national phase application filed under 35 U.S.C. § 371 ("APPLICATION"), and any patents issuing thereon ("PATENT RIGHTS"):
  - Application No. <u>16/018,013</u>, entitled "APPLICATION MIGRATION BETWEEN ENVIRONMENTS," filed on June 25, 2018.
  - 2. The entire worldwide right, title, and interest in and to:
- (a) the APPLICATION; (b) all applications claiming priority from the APPLICATION; (c) all provisional, utility, divisional, continuation, substitute, renewal, reissue, and other applications related thereto that have been or may be filed in the United States or elsewhere in the world; (d) all patents (including reissues and re-examinations) that may be granted on the applications set forth in (a), (b), and (c) above; and (e) all right of priority in the APPLICATION and in any underlying provisional or foreign application, together with all rights to recover damages for infringement of provisional rights.

INVENTOR agrees that ASSIGNEE may apply for and receive patents for SUBJECT MATTER in ASSIGNEE's own name.

INVENTOR agrees to do the following, when requested, and without further consideration, in order to carry out the intent of this Assignment: (1) execute all oaths, assignments, powers of attorney, applications, and other papers necessary or desirable to fully secure to ASSIGNEE the rights, titles and interests herein conveyed; (2) communicate to ASSIGNEE all known facts relating to the SUBJECT MATTER; and (3) generally do all lawful acts that ASSIGNEE shall consider desirable for securing, maintaining, and enforcing worldwide patent protection relating to the SUBJECT MATTER and for vesting in ASSIGNEE the rights, titles, and interests herein conveyed. INVENTOR further agrees to provide any successor, assign, or legal representative of ASSIGNEE with the benefits and assistance provided to ASSIGNEE hereunder.

INVENTOR represents that INVENTOR has the rights, titles, and interests to convey as set forth herein, and covenants with ASSIGNEE that the INVENTOR has not made and will not hereafter make any assignment, grant, mortgage, license, or other agreement affecting the rights, titles, and interests herein conveyed.

l of 5

Title:	APPLICATION MIGRATION BETWEEN ENVIRONMENTS		
Filed:	June 25, 2018	Attorney Docket #:	34349-40186/US
Application #:	16/018.013		

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Name and Signature	Date of Signature (REQUIRED)
Docusigned by: Building Wang	6/25/2018
Zhicong Wang	
Name and Signature	Date of Signature (REQUIRED)
DocuSigned by:  Je Lowlowft  Extended TASSED AND	6/26/2018
Ben Meadowcroft	
Name and Signature	Date of Signature (REQUIRED)
DocuSigned by:	
Biswaroop Palit Biswaroop Palit	6/26/2018
MINVATOOD FAUL	

Saurabh Goyal

tle: led:	APPLICATION MIGRATION B June 25, 2018	Attorney Docket #:	34349-40186/US
oplication #:	16/018,013		
Name a	and Signature	Date of Signature (REQUIRED)	
4	i Chakraborty	6/26/2018	
Atanu	Chakraborty		
Name a	and Signature	Date of Signature (REQUIRED)	
Hardik Vohra  Hardik Vohra  Hardik Vohra		6/25/2018	
Name and Signature		Date of Signature (REQUIRED)	
Occusion Abhay	Y3-	6/27/2018	
Name and Signature		Date of Signature (REQUIRED)	
	gned by: Ude Goyal	7/16/2018	

Mudit Malpani

	June 25, 2018	Attorney Docket #:	34349-40186/US
ication #:	16/018,013		
Name an	d Signature	Date of Signature	
		(REQUIRED)	
DocuSigned t بسير	ne.		
Saujani Srivastava		7/16/2018	
Sanjari	Šrivastava		
Name and Signature		Date of Signature	
		(REQUIRED)	***************************************
Swapuil Agarwal		6/27/2018	
	Agarwal		
Name an	d Signature	Date of Signature	
***************************************		(REQUIRED)	
BocuSigned		6/25/2018	
Rahit St		0) 23/ 2020	***************************************
N.T.		D	
Name and Signature		Date of Signature (REQUIRED)	***************************************
OocuSignerر	d bv:		

Title:	APPLICATION MIGRATION BETWEEN ENVIRONMENTS		
Filed:	June 25, 2018	Attorney Docket #:	34349-40186/US
Application #:	16/018,013		

Name and Signature

Date of Signature

(REQUIRED)

6/25/2018

Name and Signature

Date of Signature

(REQUIRED)

Date of Signature

(REQUIRED)

Date of Signature

(REQUIRED)

Docusianed by:

6/25/2018

Date of Signature

(REQUIRED)

Date of Signature

(REQUIRED)

Date of Signature

(REQUIRED)

Title:	APPLICATION MIGRATION BETWEEN ENVIRONMENTS		
Filed:	June 25, 2018	Attorney Docket #:	34349-40186/US
Application #:	16/018,013		

INVENTOR grants the attorney of record the power to insert on this Assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or other authority for recordation of this document.

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which may be taken together as one and the same Assignment.

Name and Signature	Date of Signature (REQUIRED)	
Zhicong Wang		
Name and Signature	Date of Signature (REQUIRED)	
—Docusigned by:  Ben Mod G  Benijannin Meadowcroft	6/26/2018	
Name and Signature	Date of Signature (REQUIRED)	
Biswaroon Palit	***************************************	

2 of 5