

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6033281

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
GUILLERMO C. BAZAN	03/17/2015
BRENT S. GAYLORD	06/12/2015
SHU WANG	06/11/2015
BIN LIU	07/22/2015
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
<b>Street Address:</b>	1111 FRANKLIN STREET
<b>Internal Address:</b>	TWELFTH FLOOR
<b>City:</b>	OAKLAND
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	94607-5200
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16802327
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)327-3231
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6503273400
<b>Email:</b>	turner@bozpat.com
<b>Correspondent Name:</b>	BRET E. FIELD
<b>Address Line 1:</b>	201 REDWOOD SHORES PARKWAY
<b>Address Line 2:</b>	SUITE 200
<b>Address Line 4:</b>	REDWOOD CITY, CALIFORNIA 94065
<b>ATTORNEY DOCKET NUMBER:</b>	UCSB-537CON2CIPCON5
<b>NAME OF SUBMITTER:</b>	BRET E. FIELD
<b>SIGNATURE:</b>	/Bret E. Field, Reg. No. 37,620/
<b>DATE SIGNED:</b>	03/26/2020
<b>Total Attachments: 9</b>	

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source=UCSB-537CON2CIPCON4 Executed Assignment from Parent#page9.tif

## ASSIGNMENT

Atty Docket No.: UCSB-537CON2CIP  
UC Case No.: 2002-451-5

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

- |                              |                            |
|------------------------------|----------------------------|
| 1.       Bazan, Guillermo C. | 2.       Gaylord, Brent S. |
| 3.       Wang, Shu           | 4.       Liu, Bin          |

hereby sells, assigns and transfers to ASSIGNEE, **The Regents of the University of California**, a California Corporation, having its statewide administrative offices located **1111 Franklin Street, Twelfth Floor, Oakland, CA 94607-5200**, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

"Light Harvesting Multichromophore Compositions and Methods of Using the Same"

and which is found in

- (a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors
- (b) \_\_\_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors
- (c)  U.S. application serial no. 14/624,466, filed on February 17, 2015
- (d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_
- (e) \_\_\_\_\_ PCT Patent Application No. \_\_\_\_\_, filed on \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby agrees that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

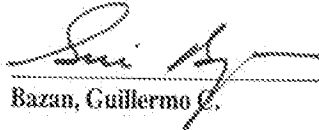
ASSIGNOR(S) further agrees that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, post grant review, inter partes review, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

Signature of Inventor(s)

17 day of March, 2015

  
Bazan, Guillermo E.

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Gaylord, Brent S.

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Wang, Shu

\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Liu, Bin

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UC Case No.: 2002-451-5

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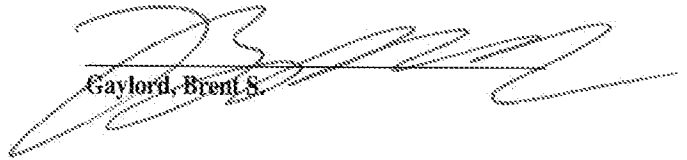
Executed this

Signature of Inventor(s)

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Bazan, Guillermo C.

12 day of June, 2015

  
\_\_\_\_\_  
Gaylord, Brent S.

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Wang, Shu

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Liu, Bin

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| 3. | <b>Wang, Shu</b>           | 4. | <b>Liu, Bin</b>          |

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**ASSIGNMENT**

Atty Docket No.: UCSB-537CON2CIP

UC Case No.: 2002-451-5

Executed this

Signature of Inventor(s)


\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Bazan, Guillermo C.

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Gaylord, Brent S.

11 day of June, 2015

  
\_\_\_\_\_  
Wang, Shu

\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Liu, Bin



ASSIGNMENT

Any Docket No.: UCSB-537CON2CIP  
UC Case No.: 2002-451-5

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| 3. <u>Wang, Shu</u>           | 4. <u>Liu, Bin</u>          |

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- (d) \_\_\_\_\_ U.S. Patent No., \_\_\_\_\_, issued \_\_\_\_\_
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ASSIGNMENT  
Atty Docket No.: UCSE-53700/201P  
DC Case No.: 2022-4112

Executed this

Signature of Inventor(s)

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Hazan, Guillermo C.

\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Gayford, Brent S.

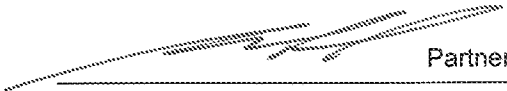
\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_

\_\_\_\_\_  
Wang, Shu

22 day of July, 2015

\_\_\_\_\_  
Liu, Bin

Assignee hereby accepts this Assignment:

	Partner	July 23, 2015
Name Bret E. Field	Title	Date