#### 505988772 03/27/2020

### PATENT ASSIGNMENT COVER SHEET

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**SUBMISSION TYPE: NEW ASSIGNMENT NATURE OF CONVEYANCE: ASSIGNMENT** 

### **CONVEYING PARTY DATA**

Name	Execution Date
BRIAN STERN	07/09/2018
XINGCHEN JI	07/12/2018
MICHAL LIPSON	07/09/2018

### **RECEIVING PARTY DATA**

Name:	THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK
Street Address:	535 WEST 116TH STREET
Internal Address:	412 LOW MEMORIAL LIBRARY
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10027

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16651673

### CORRESPONDENCE DATA

Fax Number: (215)568-3439

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 215-568-3100

Email: assignments@woodcock.com

**Correspondent Name:** BAKERHOSTETLER

Address Line 1: CIRA CENTRE 12TH FLOOR

Address Line 2: 2929 ARCH STREET

Address Line 4: PHILADELPHIA, PENNSYLVANIA 19104-2891

ATTORNEY DOCKET NUMBER: 101879.000118N/ CU18045 **NAME OF SUBMITTER:** VALERIE CHICCHI **SIGNATURE:** /Valerie Chicchi/ **DATE SIGNED:** 03/27/2020

**Total Attachments: 6** 

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**PATENT** REEL: 052246 FRAME: 0626 source=SIGNED\_ASSIGNMENT#page2.tif
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PATENT REEL: 052246 FRAME: 0627

#### ASSIGNMENT

WHEREAS, I, Brian Stern, a citizen of United States, residing at 195 Claremont Avenue, Apt. 23, New York, New York 10027, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number 101879.000042 / CU18045 ("the invention"), assigned application number 62/565,839 filed on September 29, 2017, entitled COMPACT NARROW-LINDWIDTH INTEGRATED LASER ("the subject application");

AND WHEREAS, The Trustees of Columbia University in the City of New York, ASSIGNEE, having a place of business at 535 West 116<sup>th</sup> Street, 412 Low Memorial Library, New York, New York 10027, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

Signature of Witness

representativ countries.	es, and assigns, to ob	otain and enforce	proper protecti	on for the inve	ntion in all
IN TI my signature	ESTIMONY WHERE(	OF, I have signed i	ny name below	on the date indi	cated above
Executed this	day o	f July	_, year of 20 <u>/&amp;</u>	<u>.</u> .	
Buc Signature – B	an Hongrian Stern	<del></del> -			
*****	**************************************	*****	*******	*****	*****
STATEMEN	T OF WITNESS				
SIGNED at _	New York (City)	NY	<u>US</u>	<u>A</u>	
	(City)	(State)	(C	ountry)	
This da	ay of <u>July</u>	, 20 <u>\%</u> .			
I, <u>Oscar</u> 614 W	Adrian Jinen	22 Gordillo New Yo.	whose fi	ill residence add \0025	ress is
	y present and did see I				
described in s	uch document.				
	Azint				

### ASSIGNMENT

WHEREAS, I, Xingchen Ji, a citizen of China, residing at 540 West 122<sup>nd</sup> Street, Apt. 55A, New York, New York 10027, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number 101879.000042 / CU18045 ("the invention"), assigned application number 62/565,839 filed on September 29, 2017, entitled COMPACT NARROW-LINDWIDTH INTEGRATED LASER ("the subject application");

AND WHEREAS, The Trustees of Columbia University in the City of New York, ASSIGNEE, having a place of business at 535 West 116<sup>th</sup> Street, 412 Low Memorial Library, New York, New York 10027, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

representatives, and assigns, to obtain and enforce proper protection for the invention in all countries.

IN TESTIMONY WHEREOF, I have signed my name below on the date indicated above my signature.

Executed this	12	day of	July	, year of 20/8
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	Xing	chen 2		
Signature - Xingchen Ji				

# STATEMENT OF WITNESS

SIGNED at New York	NY	USA
(City)	(State)	(Country)
This 12th day of July	, 20 <u><b>1</b> (</u> *.	
I, Motus Corato Za	mella_	whose full residence address is
530W 112th bt.	apt 1 b, New yor	K NY 10025
<u> </u>	1	,

was personally present and did see Xingchen Ji execute the above assignment on the 121 day of \_\_\_\_\_\_, 2018, and such assignor is personally known to me to be the person described in such document.

Motine Coroto Zanavilla Signature of Witness

#### ASSIGNMENT

WHEREAS, I, Michal Lipson, a citizen of United States, residing at 452 Riverside Drive, Apt. 62, New York, New York 10027, ASSIGNOR, am an inventor of one or more inventions disclosed, described and/or claimed in the application referred to as Docket Number 101879.000042 / CU18045 ("the invention"), assigned application number 62/565,839 filed on September 29, 2017, entitled COMPACT NARROW-LINDWIDTH INTEGRATED LASER ("the subject application");

AND WHEREAS, The Trustees of Columbia University in the City of New York, ASSIGNEE, having a place of business at 535 West 116<sup>th</sup> Street, 412 Low Memorial Library, New York, New York 10027, is desirous of obtaining my entire right, title, and interest in, to, and under the invention:

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, the ASSIGNOR, hereby sell, assign, transfer, and set over, unto the ASSIGNEE, its successors, legal representatives, and assigns, my entire right, title, and interest in, to, and under the invention, and all applications for industrial property protection, including without limitation, all applications for patents, utility models, inventors' certificates, and designs that have been filed, and that may hereafter be filed, in any country, that disclose, describe, and/or claim the invention, including, without limitation, the subject application and the priority application, and all extensions, renewals, and reissues of such applications, including all divisions and continuations in whole or in part; all patents granted on such applications in any country, and all extensions, renewals, and reissues of such patents, including all divisions and continuations in whole or in part; the right to file such applications in any country; and the right to claim for such applications in any country the priority rights derived from the priority application and the subject application under the International Convention for the Protection of Industrial Property, the Patent Laws of the United States, any other international agreement or protection, or the domestic laws of any country in which any such application is filed, as may be applicable.

AND I HEREBY covenant and agree that I have full right to convey my entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the ASSIGNEE, its successors, legal representatives, and assigns, any facts known to me respecting the invention, and testify in any legal proceeding, sign all lawful papers, execute all disclaimers and all divisional, continuing, reissue, and foreign applications, make all rightful oaths, deliver upon request all papers, documents, affidavits, or other instruments that may be necessary or helpful in the prosecution of any application for industrial property protection describing and/or claiming the invention, and generally do everything possible to aid the ASSIGNEE, its successors, legal

representatives, and assigns, to obtain and enforce countries.	proper protection for the invention in all
IN TESTIMONY WHEREOF, I have signed r my signature.	ny name below on the date indicated above
Executed thisday of	_, year of 20
Signature – Michal Lipson	
*****************	***************
STATEMENT OF WITNESS	
SIGNED at NW YORK NY (City) (State)	USA (Country)
This day of July, 20/8.	
1, Krystal Paulino 3515 Henry Hudson Pkwy, Bronx, Ny 10463	whose full residence address is  Apt 25
was personally present and did see Michal Lipson e	xecute the above assignment on the
day of July , 2018, and such assignor	is personally known to me to be the person
described in such document.	•
Signature of Witness	

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