505991905 03/30/2020

# PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JOHN WARDLE	07/16/2010
ANDREW T. SCHIEBER	07/20/2010

#### **RECEIVING PARTY DATA**

Name:	IVANTIS, INC.	
Street Address:	201 TECHNOLOGY DRIVE	
City:	IRVINE	
State/Country:	CALIFORNIA	
Postal Code:	92618	

# **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16668458

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ATTORNEY DOCKET NUMBER:	10502-706.300	
NAME OF SUBMITTER:	JUSTIN P. THOMAS, REG. 63301	
SIGNATURE:	/Justin P. Thomas/	
DATE SIGNED:	03/30/2020	

# **Total Attachments: 3**

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### ATTORNEY DOCKET NO. 10502-706.300

U.S. DEPARTMENT OF COMMERCE

# RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF. 1. Name of conveying party(ies): 2. Name and address of receiving party(ies): (1) John WARDLE (7.16.2010)IVANTIS, INC. Name: (2) Andrew T. SCHIEBER (7.20.2010) 201 Technology Drive Irvine, CA 92618 Additional name(s) of conveying party(ies) attached? Yes No Name and address of receiving party(ies): 3. Nature of Conveyance: Name: Assignment Merger Street Address: Security Agreement Change of Name City: State: Zip: Other Country: Additional name(s) & address(es) attached? Yes 4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: Patent Application No.(s) - 16/668,458 B. Patent No.(s) Title: SINGLE OPERATOR DEVICE FOR **DELIVERING AN OCULAR IMPLANT**  $\boxtimes$ Yes Additional numbers attached? No 5. Name and address of party to whom correspondence 6. Total number of applications and patents involved:\_ concerning document should be mailed: Justin P. Thomas **Shay Glenn LLP** 2929 Campus Drive, Suite 225 San Mateo, CA 94403 7. Total fee (37 CFR 3.41): -N-DO NOT USE THIS SPACE 8. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. March 30, 2020 Justin P. Thomas. # 63.301 /Justin P. Thomas/ Name of Person Signing Total number of pages including cover sheet, attachments, and documents: 3

> **PATENT** REEL: 052265 FRAME: 0093

# ASSIGNMENT OF PATENT APPLICATION

Attorney Docket Number: 10502-706.200

This Assignment of Patent Application is between: **John WARDLE** of San Clemente, CA; and **Andrew T. SCHIEBER** of Irvine, CA (hereinafter referred to as "Inventors") and **IVANTIS**, **INC.**, a corporation of the State of <u>Delaware</u>, having a place of business at 13766 Alton Parkway, Suite 150, Irvine, CA 92618 (hereinafter termed "Assignee").

WHEREAS, Inventors have invented certain new and useful improvements in:

#### "SINGLE OPERATOR DEVICE FOR DELIVERING AN OCULAR IMPLANT"

for which an application for a United States Patent was filed on July 9, 2010 as Application No. 12/833,852.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

- 1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention: (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution, continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.
- 2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.
- 3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.
- 4. Said Inventors hereby warrant and represent that he/they has/have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

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IN WITNESS WHEREOF, said Inventors have	Attorney Docket Number: 10502-706.200 we executed and delivered this instrument to said Assignee:
Dated: $\frac{7/16/2010}{2010}$	John WARDLE  Andrew T. SCHIEBER

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**RECORDED: 03/30/2020**