### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6040511

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
WILLIAM AUGUST STAHLHUT	03/30/2020
JESSE S. NEWSOM III	03/31/2020
ELENA KVOCHKO	03/30/2020

#### **RECEIVING PARTY DATA**

Name:	BANK OF AMERICA CORPORATION	
Street Address:	MAIL CODE NC 1-027-20-05	
Internal Address:	214 N. TRYON ST.	
City:	CHARLOTTE	
State/Country:	NORTH CAROLINA	
Postal Code:	28255	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16836317

#### **CORRESPONDENCE DATA**

**Fax Number:** (202)824-3001

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (312) 463-5000

**Email:** bwptopat@bannerwitcoff.com, aanderson@bannerwitcoff.com

Correspondent Name: BANNER & WITCOFF, LTD.

Address Line 1: 1100 13TH ST. NW

Address Line 2: STE 1200

Address Line 4: WASHINGTON, D.C. 20005

ATTORNEY DOCKET NUMBER: 007131.02252		
NAME OF SUBMITTER: ELIZABETH A. ALMETER		
SIGNATURE:	/Elizabeth A. Almeter/	
<b>DATE SIGNED:</b> 03/31/2020		
	This document serves as an Oath/Declaration (37 CFR 1.63).	

Total Attachments: 6

PATENT REEL: 052276 FRAME: 0532

505993801

PATENT REEL: 052276 FRAME: 0533

9634 US1

## COMBINED DECLARATION (37 CFR 1.63) AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Cognitive Automation Platform for Dynamic Unauthorized Event Detection and Processing	
As the below	named inventor, I hereby declare that:	
This declarati	LATE ALLAURED application, or	
	United States application or PCT international application number	
The above-ide	entified application was made or authorized to be made by me.	
I believe that	I am the original inventor or an original joint inventor of a claimed invention in the application.	
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or t of not more than five (5) years, or both.	
000000000000000000000000000000000000000	ASSIGNMENT	
WHEREAS, I, William August Stahlhut ("Assignor"), a citizen of United States of America (Country), residing in The Colony, TX (City, State/Province) have invented the above invention. If the following lines are blank, the above application was filed on even date herewith, otherwise, to comply with 37 C.F.R. §3.21 for recordal of this assignment, I, an assignor below, hereby authorize the attorneys for Bank of America to insert the filing date and application number below after they become known and prior to filing the recordation.		
	U.S. Application No. <u>16/836,317</u> , filed <u>March 31, 2020</u> .	
WHEREAS, BANK OF AMERICA CORPORATION ("Assignee"), a Corporation duly organized under the laws of the State of Delaware, and having its offices and place of business at Charlotte, North Carolina, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to all applications and Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto:		
United States of America, and in any and all countries foreign thereto:  NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, I said Assignor, by these presents do sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto, and throughout the world and in space, (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to said inventions and in and to all applications and Letters Patent granted therefor, and all non-provisionals, divisionals, reissues, reexaminations, continuations, continuation-in-parts and extensions thereof, and in and to all copyrights, trademarks with their associated goodwill, trade secrets, confidential information, and compilations, necessary or useful to make, use, or sell the inventions. I hereby authorize and request the Commissioner of Patents, and any official whose duty it is to issue patents, to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, or its successors and assigns, as assignee of the entire right, title and interest. I represent and warrant that I have full right to agree to, execute, and deliver this assignment. I agree that I will without further consideration, but at the expense of Assignee, communicate to said Assignee, or its successors, assigns, or nominees, any and all facts known to me regarding said inventions whenever requested, and that I will execute all non-provisional, divisional, reissue, reexamination, continuation and continuation-in-part applications, testify in any legal procee		

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#### **WARNING:**

Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available

parposes ar	e not retained in the application me and therefore	are not publicly a	ivanabic.
LEGAL NAM	E OF INVENTOR		
Inventor: _	William August Stahlhut	Date:	
Signature:	SUMMO A SAMO	******************************	/ 03/30/2020

9634 US1

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Title of Invention	Cognitive Automation Platform for Dynamic Unauthorized Event Detection and Processing		
As the below	As the below named inventor, I hereby declare that:		
This declaration is directed to:	This declaration The attached application, or s directed to:		
	United States application or PCT international application number		
The above-ide	entified application was made or authorized to be made by me.		
I believe that	I am the original inventor or an original joint inventor of a claimed invention in the application.		
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or tof not more than five (5) years, or both.		
000000000000000000000000000000000000000	ASSIGNMENT		
WHEREAS, I, <u>Jesse S. Newsom III</u> ("Assignor"), a citizen of <u>United States of America</u> (Country), residing in <u>Charlotte, NC</u> (City, State/Province) have invented the above invention. If the following lines are blank, the above application was filed on even date herewith, otherwise, to comply with 37 C.F.R. §3.21 for recordal of this assignment, I, an assignor below, hereby authorize the attorneys for Bank of America to insert the filing date and application number below after they become known and prior to filing the recordation.			
	U.S. Application No. <u>16/836,317</u> filed <u>March 31, 2020</u> .		
WHEREAS, BANK OF AMERICA CORPORATION ("Assignee"), a Corporation duly organized under the laws of the State of Delaware, and having its offices and place of business at Charlotte, North Carolina, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to all applications and Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto:			
NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, I said Assignor, by these presents do sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto, and throughout the world and in space, (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to said inventions and in and to all applications and Letters Patent granted therefor, and all non-provisionals, divisionals, reissues, reexaminations, continuations, continuation-in-parts and extensions thereof, and in and to all copyrights, trademarks with their associated goodwill, trade secrets, confidential information, and compilations, necessary or useful to make, use, or sell the inventions. I hereby authorize and request the Commissioner of Patents, and any official whose duty it is to issue patents, to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, or its successors and assigns, as assignee of the entire right, title and interest. I represent and warrant that I have full right to agree to, execute, and deliver this assignment. I agree that I will without further consideration, but at the expense of Assignee, communicate to said Assignee, or its successors, assigns, or nominees, any and all facts known to me regarding said inventions whenever requested, and that I will execute all non-provisional, divisional, reissue, reexamination, continuation and continuation-in-part applications, testify in any legal proceedings, sign all lawful papers, make all rightful oaths, and generally do			

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purposes are not retained in the application me and therefore a	re not publicly available.
LEGAL NAME OF INVENTOR	
Inventor: Jesse S. Newsom III	Date: 3/31/2020
Signature: / Jesse S. Newsom Ado	<u>/</u>

9634 US1

# COMBINED DECLARATION (37 CFR 1.63) AND ASSIGNMENT FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	Cognitive Automation Platform for Dynamic Unauthorized Event Detection and Processing		
As the below	named inventor, I hereby declare that:		
This declaration is directed to:	This declaration The attached application, or s directed to:		
	United States application or PCT international application number		
The above-ide	entified application was made or authorized to be made by me.		
I believe that	I am the original inventor or an original joint inventor of a claimed invention in the application.		
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or tof not more than five (5) years, or both.		
	ASSIGNMENT		
WHEREAS, I, <u>Elena Kvochko</u> ("Assignor"), residing in <u>New York, New York</u> (City, State/Province) have invented the above invention. If the following lines are blank, the above application was filed on even date herewith, otherwise, to comply with 37 C.F.R. §3.21 for recordal of this assignment, I, an assignor below, hereby authorize the attorneys for Bank of America to insert the filing date and application number below after they become known and prior to filing the recordation.			
	U.S. Application No. <u>16/836,317</u> filed <u>March 31, 2020</u>		
WHEREAS, BANK OF AMERICA CORPORATION ("Assignee"), a Corporation duly organized under the laws of the State of Delaware, and having its offices and place of business at Charlotte, North Carolina, is desirous of acquiring the entire right, title and interest in and to the aforesaid inventions and in and to all applications and Letters Patent therefor granted in the United States of America, and in any and all countries foreign thereto:			
NOW, THEREFORE, TO WHOM IT MAY CONCERN, be it known that, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt and sufficiency of which is hereby acknowledged, I said Assignor, by these presents do sell, assign and transfer unto said Assignee, its successors, assigns and legal representatives, the full and exclusive right, title and interest for the territory of the United States of America and all countries foreign thereto, and throughout the world and in space, (including the right to apply for Letters Patent in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under international conventions, treaties, or otherwise), in and to said inventions and in and to all applications and Letters Patent granted therefor, and all non-provisionals, divisionals, reissues, reexaminations, continuations, continuation-in-parts and extensions thereof, and in and to all copyrights, trademarks with their associated goodwill, trade secrets, confidential information, and compilations, necessary or useful to make, use, or sell the inventions. I hereby authorize and request the Commissioner of Patents, and any official whose duty it is to issue patents, to issue all Letters Patent on said inventions or resulting therefrom to said Assignee, or its successors and assigns, as assignee of the entire right, title and interest. I represent and warrant that I have full right to agree to, execute, and deliver this assignment. I agree that I will without further consideration, but at the expense of Assignee, communicate to said Assignee, or its successors, assigns, or nominees, any and all facts known to me regarding said inventions whenever requested, and that I will execute all non-provisional, divisional, reissue, reexamination, continuation and continuation-in-part applications, testify in any legal proceedings, sign all lawful papers, make all rightful oaths, and generally do			

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LEGAL NAME OF INVENTOR	
Inventor: Elena Kvochko	Date: 03/30/2020
Signature:/	/

**PATENT REEL: 052276 FRAME: 0539** 

RECORDED: 03/31/2020