

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6045764

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
SLI SYSTEMS LIMITED	01/25/2019
RECEIVING PARTY DATA	
Name:	ESW HOLDINGS, INC.
Street Address:	401 CONGRESS AVENUE
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78701
PROPERTY NUMBERS Total: 3	
Property Type	Number
Patent Number:	9460161
Patent Number:	9990442
Patent Number:	7725422
CORRESPONDENCE DATA	
Fax Number:	(512)345-7225
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	5126588961
Email:	celeste@tcciplaw.com
Correspondent Name:	CELESTE SCARBOROUGH
Address Line 1:	11675 JOLLYVILLE ROAD
Address Line 2:	SUITE 100
Address Line 4:	AUSTIN, TEXAS 78754
ATTORNEY DOCKET NUMBER:	T00504, T00505, T00507
NAME OF SUBMITTER:	KENT B. CHAMBERS
SIGNATURE:	/Kent B. Chambers/
DATE SIGNED:	04/03/2020
Total Attachments: 10	
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From: James Lansdown James.Lansdown@bellgully.com
Subject: RE: SLI - Acquisition date [BG-B.FID1067788]
Date: August 15, 2019 at 7:01 PM
To: Robyn Siers robyn.siers@jonespross.com, Tina Erales tina.erales@jonespross.com
Cc: Chris Gordon chris.gordon@bellgully.com



That is fine Robyn. See attached.

As this was a public offer there was limited documentation relating to the final transfers of shares / options. Attached are the two emails with relevant documentation confirming that, on 25 January 2019:

1. ESW provided SLI with transfer forms for the final shares / options to be acquired; and
2. Link transferred those shares and options to ESW and ESW became the 100% owner of SLI.

Please let us know if you need anything else.

James

From: Robyn Siers <robyn.siers@jonespross.com>
Sent: Friday, 16 August 2019 11:53 AM
To: Tina Erales <tina.erales@jonespross.com>
Cc: Lansdown, James JIL <James.Lansdown@bellgully.com>
Subject: Re: SLI - Acquisition date

James - Would you please send Tina a copy of all closing documents for SLI? Many thanks,
Robyn

On Thu, Aug 15, 2019 at 2:31 PM Tina Erales <tina.erales@jonespross.com> wrote:

Thanks Robyn! Glad I asked!

Everyone, I will ask Hilary to correct this date on the org charts.

Best,

Tina

On Aug 15, 2019, at 4:04 PM, Robyn Siers <robyn.siers@jonespross.com> wrote:

Hi - According to NZ counsel, the final date for completion of the acquisition was 25 January 2019. That was the day on which 100% of the shares and options in SLI were acquired by ESW.

RS

On Thu, Aug 15, 2019 at 2:01 PM Tina Erales <tina.erales@jonespross.com> wrote:

INSTRUMENT OF TRANSFER (PURSUANT TO RULE 61 OF THE TAKEOVERS CODE)

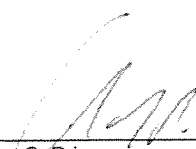
ESW HOLDINGS, INC. COMPULSORY ACQUISITION OF SHARES IN SLI SYSTEMS LIMITED

Pursuant to Rule 61 of the takeovers code approved by the Takeovers Regulations 2000 (SR 2000/210) (the **Takeovers Code**), each shareholder in SLI Systems Limited (the **Company**) listed in the Schedule to this Instrument of Transfer (each a **Transferor**) hereby transfers to ESW Holdings, Inc. (the **Transferee**) all of the shares in the Company now held by each Transferor as specified alongside each Transferor's name in the Schedule, for the consideration stated below:

Full name of issuer of Securities	SLI SYSTEMS LIMITED
Full description of Securities, including number to be transferred	The number of ordinary shares specified alongside each Transferor's name in the Schedule, being 858,477 ordinary shares in aggregate
TRANSFER FROM	The Transferors listed in the Schedule
	HOLDER NUMBER: See Schedule
CONSIDERATION (Words and Figures)	\$0.65 per ordinary share, an aggregate of NZ\$558,010.05
TRANSFER TO	ESW HOLDINGS, INC.
	HOLDER NUMBER (if known):

DATE OF EXECUTION the 25th January 2019

Executed on behalf of each Transferor in accordance with Rule 61 of the Takeovers Code by **ESW HOLDINGS, INC.**
by:



Andrew S. Price
Director

SCHEDULE OF TRANSFERORS

Patent No.	Applicant	Applicant 2	Applicant 3	Applicant 4	Applicant 5	Applicant 6	Patent Class	Country Code	SI	Consideration
31929881	MARTIN O'LEARY	SUSAN O'LEARY	<THE PATHOS A/C>	49 BELLEVUE AVENUE	PAPARUA	CHRISTCHURCH 8753	8053	NZL	171,209	\$ 111,286.00
330414011	PHILIP JOSEPH COOK &	MARGAN JANE HOLLAND	<NOFOLK A/C>	69A KIPAKA ROAD	TIKAPUA	WHANGAREI 0112	112	NZL	60,000	\$ 39,000.00
330867510	DAVID JAMES QUINN	PO BOX 3035	WELLINGTON 6140				6140	NZL	51,000	\$ 33,150.00
330342595	ALEXANDER DAVID MAXWELL	MANGAPAPA STATION	PRIVATE BNG	MOHAKI 4350			4350	NZL	30,000	\$ 19,500.00
330796116	ANTHONY MALCOLM GRAY	376 OLD WEST COAST ROAD	RD 1	WEST MELTON 7671			7671	NZL	24,870	\$ 16,133.00
334130665	ROBERT JAMES DALTON &	RICHARD PAUL MANNSELL	<ROBERT JAMES TESTAMENTARY A/C>		8 HEVAT ROAD KARAKA BAYS	WELLINGTON 6022	6022	NZL	18,000	\$ 11,700.00
332809338	HUGH DRUMMOND LAMBIE &	CECILIA ERBA LEBING LAMBIE	<C & H LAMBIE A/C>	209 ST HELENS BAY ROAD	ST HELENS	AUCKLAND 1071	1071	NZL	16,600	\$ 10,790.00
332245432	NEA INVESTMENTS LIMITED	SUITE 4C	WESTMINSTER COURT	5 PARLIAMENT STREET	AUCKLAND CENTRAL	AUCKLAND 1010	1010	NZL	36,200	\$ 19,580.00
333287509	MEL WAGY CHISEE LEE &	BRUCE ANTHONY STEWART	<TARLEY A/C>	120 POLSON HILL DRIVE	RD 2	PORIRUA 4471	4471	NZL	15,000	\$ 9,750.00
334459454	ANDREW THOMAS DEAS	177 KIPAK PI	RICARTON	CHRISTCHURCH 8011			8011	NZL	14,300	\$ 9,250.00
334764843	STEPHEN DAVID BRIDLE	<ADRIEUS A/C>	12 RIMAU ROAD	NEUBURN	WELLINGTON 6012		6012	NZL	13,600	\$ 8,840.00
332700979	IAN WILLIAM BROWN &	LYNETTE JOY BROWER	1083 AUBREY ROAD	ALBERT TOWN	WHAKAMA 9405		9405	NZL	11,900	\$ 7,735.00
334676046	MICHAEL PAUL KAMPRES &	TANIA KAMPRES	<THE KAMPRES FAMILY A/C>	79 OLD LAKE ROAD	NARROW NECK	AUCKLAND 9624	624	NZL	11,000	\$ 7,250.00
33511203	GEORGE CALLUM MACMILLAN	429 MANGATAHI ROAD	RD 1	HASTINGS 4171			4171	NZL	10,623	\$ 6,804.95
332438642	NGA FUNOS LIMITED	A/C/S PARLIAMENT STREET	AUCKLAND CENTRAL	AUCKLAND 1010			1010	NZL	10,600	\$ 6,920.00
332486841	ARNOLD PAULSH BANCHORD	145 ONEPU ROAD	LYALLBAY	WELLINGTON 6022			6022	NZL	10,000	\$ 6,500.00
331317152	AULAN MORRIS HOSKIEP	12 AUBREY ROAD	TAKAPUNA	AUCKLAND 0620			620	NZL	10,000	\$ 6,500.00
33432295	ASB NOMINEES LIMITED	<98693 A/C>	PO BOX 35	SHORTLAND STREET	AUCKLAND 1140		1140	NZL	10,000	\$ 6,500.00
334765032	LEIGHEN	2 RED OAK PLACE	SCHNAPPE ROCK	AUCKLAND 0632			632	NZL	10,000	\$ 6,500.00
332619340	MORGAN MATUSCHKA	PO BOX 300120	ALBANY	AUCKLAND 0752			752	NZL	10,000	\$ 6,500.00
329352858	YI CHEUNG	PO BOX 523	DRURY 2247				2247	NZL	10,000	\$ 6,500.00
33110381	TODD ANDREW GODFREY LOCK	SUITE 4C	WESTMINSTER COURT	5 PARLIAMENT STREET	AUCKLAND CENTRAL AUCKLAND		1010	NZL	10,000	\$ 6,500.00
335296940	BERNARD LINDLEY	80 MAUI AVENUE	MANGERE BRIDGE	AUCKLAND 2022			2022	NZL	10,000	\$ 6,500.00
334072711	MELIE JOHN STOKES	BRIAN DETEROG WHEELBARR	<SYRON BRIGGS FAMILY A/C>	PO BOX 20625	GLEN EDER	AUCKLAND 0643	643	NZL	9,000	\$ 5,850.00
331104479	ROBERT LECHIE	4 THICKET CLOSE	REDWOOD	CHRISTCHURCH 8054			8054	NZL	8,550	\$ 5,557.50
8691692040	HELVY WAGY CHISEE LEE &	BRUCE ANTHONY STEWART	<CLARIE A/C>	5 ALAN BODIO PLACE	MANGFIELD B59 2122	AUSTRIA	AUS	R 000	\$ 5,200.00	
334110011	DOUG JOSEPH CAMPBELL 3	AMARIE LIND CAMPBELL	<GABRIEL FAMILY A/C>	225 MT PLEASANT ROAD	MOUNT PLEASANT	CHRISTCHURCH 8081	8081	NZL	7,000	\$ 4,550.00
331076741	BISHA RAJVI	802/88 JESSIE STREET	TE AHO	WELLINGTON 6011			6011	NZL	6,500	\$ 4,485.00
320414130	BISHA RAJVI	62 AUSTIN STREET	MOUNT VICTORIA	WELLINGTON 6011			6011	NZL	6,800	\$ 4,420.00

310026351	MASSAM AILI	41 MATARONA ROAD	MOUNT WELLINGTON	AUCKLAND 1062			1062	NZL	6,620	\$	4,319.00
314425198	WILLIAM MAILES HENDERSON	KOKOMONG	20 BLACKHEAD ROAD	RD 4	WAIPIKURAU 4284		4284	NZL	6,600	\$	4,246.00
310019051	CAMERON JAMES BUCKIE	PO BOX 28996	BECKHAM	CHRISTCHURCH 8242			8242	NZL	6,200	\$	4,060.00
310791853	TRADE PROMOTIONS (1984)	LIMITED	67 F BARON	63 BRIGGSMAN LANE	WELLINGTON 6011		6011	NZL	6,000	\$	3,900.00
312925113	LAWRENCE PAUL GUBBS	8 BAYVIEW TERRACE	ORIENTAL BAY	WELLINGTON 6011			6011	NZL	6,000	\$	3,900.00
31001251465	STUART HAMISH FOOTIE	12 LOBIS ROAD	FENDALTON	CHRISTCHURCH 8057			8057	NZL	6,000	\$	3,900.00
315147979	MATHEW JAMES MICHAEL COCHRANE	5/905 MOUNT EBER ROAD	MOUNT EDEN	AUCKLAND 1024			1024	NZL	6,000	\$	3,900.00
314671739	AARON CARL BURGESS	PO BOX 47159	PONSONBY	AUCKLAND 1144			1144	NZL	5,700	\$	3,705.00
314135465	RICHARD JAMES BRISTED	PO BOX 19	SEDDON 7247				7247	NZL	5,400	\$	3,519.00
313138596	ANNE MARY HAVILL	10 HARDY STREET	GOODWOOD	ADELAIDE SA 5014 AUSTRALIA			2679	NZL	5,350	\$	3,477.50
311718912	ROBERT LINDSAY CRAIG B.	MARIE ELLEN CRAIG	646 GLENBROOK ROAD	RD 4	PUKEKOHE 7679		2679	NZL	5,000	\$	3,290.00
313483394	DANIEL JOSEPH RICHMOND	1106 PONATAHI ROAD	RD 2	CARTERTON 5792			5792	NZL	5,000	\$	3,290.00
314806481	EDDYR JAY THOMAS	87A ATRIA AVENUE	MISSION BAY	AUCKLAND 1071			1071	NZL	5,000	\$	3,290.00
314874818	ZANE GARRICK KENNEDY B.	GEOFFREY AMER SANDTUN	SHUNTY A/C	AUCKLAND 1071	CAMPBELLS BAY		650	NZL	5,000	\$	3,290.00
320114641	KOBYE YU ZHU	36 CASHMAN DRIVE	FLAT BUSH	AUCKLAND 2016			2016	NZL	5,000	\$	3,290.00
311986576	STEPHEN ARIANIE BALCHAM &	MERYL LEIGH BALCHAM	725 FRENCH PASS ROAD	RD 4	CAMPBRIDGE 1486		1486	NZL	4,800	\$	3,185.50
312118596	DAVID JOHN WILSON &	TAMARA ANN WILSON	584 REARY ROAD	RD 7	GDRE 9777		9777	NZL	4,500	\$	2,915.00
321145507	COLIMATED	PO BOX 105717	AUCKLAND CITY	AUCKLAND 1143			1143	NZL	4,440	\$	2,889.50
313792724	PHILOPHE JAME WATSON	2/66 PADRE STREET	EDGEWARE	CHRISTCHURCH 8013			8013	NZL	4,250	\$	2,762.50
310154953	ANNA SALEX	50A WHITBY CRESCENT	MARANGI BAY	AUCKLAND 6630			660	NZL	4,000	\$	2,650.00
311906034	RICHARD DAVEY SUDJERLAND	24 LEERS ROAD	RD 2	MARANGI 7692			7692	NZL	4,000	\$	2,650.00
313703612	MRS HERSTHEA ANNE FACKENHIE	113 SCHOLAR ROAD SOUTH	RD 2	MOSGILL 9092			9092	NZL	4,000	\$	2,650.00
312952059	GRANITA ROBERT SHIRLEY &	CHRISTINE JOY SHIRLEY	SHIRLEY LIVING A/C	PO BOX 19085	MARLTON 3244		3244	NZL	3,750	\$	2,437.50
311269234	JOHN WATSON RITCHIE	PO BOX 10946	THE TERRACE	WELLINGTON 6143			6143	NZL	3,500	\$	2,275.00
313617671	QINGPING WU B.	MARLTON	3/28 PADRE STREET	MOUNT ROSBELL	AUCKLAND 1063		1063	NZL	3,500	\$	2,275.00
314749681	LEONARD GUY THOMAS	87A ATRIA AVENUE	MISSION BAY	AUCKLAND 1071			1071	NZL	3,500	\$	2,275.00
312423088	PAUL ERNEST ROBERTS	PO BOX 32096	LINWOOD	CHRISTCHURCH 8147			8147	NZL	3,500	\$	2,275.00
310051491	BRETT KEITH ANDERSON	PO BOX 566	TAMARITIAI CENTRE	HEW PLYMOUTH 4140			4140	NZL	3,300	\$	2,080.00
311946956	PHILIP JOHN BOJLAND	14 THE HALE MALL	BREDSHILL	ALEXANDRIA 5330			5330	NZL	3,000	\$	1,950.00
310078670	ANTHONY MICHAEL GRACE	35 FRANKLIN AVENUE	HOKOWAITU	PALMERSTON NORTH 4410			4410	NZL	3,000	\$	1,950.00
314453371	YANG ZHAO	36A KENTWOOD DRIVE	WOODBRIDGE	WELLINGTON 6037			6037	NZL	3,000	\$	1,950.00
3102131515	CHRISTOPHER JOHN STARK &	LYNNE MARGARET STARK &	HC TRUSTEES (2010) LIMITED	<C> R. IM STARK FAMILY A/C	60 BEVERLEY ROAD		2910	NZL	3,000	\$	1,950.00
314483981	TIMOTHY JULIAN ROGERS &	LINDA SUSAN ROGERS	7 NGATIAMA STREET	NELSON SOUTH	NELSON 7010		7010	NZL	3,000	\$	1,950.00

Patent No.	Applicant	Address	City	Region	Country	IPC Class	Pub No.	Pub Date	Pub Loc	Pub Type	Pub Date	Pub Loc	Pub Type	Pub Date	Pub Loc	Pub Type
33425692	ANGELA OLIVE JONES	59 KOA STREET	GORE 9710													
33425693	ROSEMARY RHONDA POPE	2149A TAURERERUAU ROAD	GREY TOWN													
33443005	ASH NOMINEES LIMITED	<803483A/C>	PO BOX 35													
33445314	RAHEL AN OULVER	PO BOX 91951	WAIMATU													
33482715	STUART ROSS HALL & COMPANY	USA MARIE DWARNO	60 TE AWAKURA TERRACE													
33117694	DESPRE, ISOBEL, INDIRA LORAND	58 CHAMBERS SCHOOL ROAD	PANUPANGA													
33402584	DANVS EDMETT BOFIELD LEWIS	10 GEORGINA STREET	FREMANTLE BAY													
33471292	ROSEMARY NOLAN	PO BOX 29041	RICCARTON													
33452786	ELIK MICHAEL SEAVULA	11 BELLEVUE AVENUE	PAPAKURA													
33461947	ALAN HAO LIEN LIU	57/166 QUEEN STREET	AUCKLAND 1010													
33465715	NICOLAI PETER KOJAS	45 BLACKHEATH ROAD	HULLSONS CREEK													
33084798	JOHN BEVAN CRONBIE	PO BOX 186	DAMARU 9444													
331121410	MURRAY JOHN DWYER	112A ROSALEE ROAD	MOTURUA													
330148755	MARTIN HENRY LAWES	PO BOX 3799	TAKAPUNA													
331067067	ADRIANA JOSEPH GANZEVES	PO BOX 104	METHVEN 7245													
331646881	MARGARET ABELE YOUNG & COMPANY	801 GLAS BEULAMIN HAWKINGS	<PTE A/C>													
333574991	GLEN MICHAEL JOSEPH PROCTOR & COMPANY	186 MAATA REA PROCTOR	PO BOX 27834													
334705311	RICHARD MARK ALTY	105 MITCHELL ROAD	RD 3													
320187650	ALEXANDER JAMES GUERN	5 TIKO STREET	RICCARTON													
334801306	DAVID ALAN LLOYD	ALEX JAMES BRAY LLOYD & COMPANY	EILA MARIE BRAY LLOYD													
335014103	ASH NOMINEES LIMITED	<570798A/C>	PO BOX 15													
332494621	JOHN RODERICK WALLINGTON	116 GOOD 2 BARKALAM	WELLINGTON 6140													
320834571	MAGGIE MCKENZIE QUIGG	PO BOX 3045	SANSAI LUANG													
3622265480	DAVID JOHN MICHELLE & COMPANY	JOANNA MICHELLE & COMPANY	FR TRUSTEE COMPANY ID LIMITED													
334610490	GIDEON LEVICK	PO BOX 8711	NEW TOWN													
333553649	FLYNN THOMAS KAVANAGH SAITER	55 BURNHAM STREET	SEATON													
334570960	TEVOR VINCENT HALL	69 MATUKU ROAD	HUAWEI													
334424455	JEREMY ROBERT CLAYTON	3 BEATRICE PLACE	AVONHEAD													
333214671	BROCKHAM SIAM MAURAY	THE WOODS STATION	PRIVATE BAG													
332499959	LINETTE AIRNERS	124 MACCOE STREET	TAWA													
334058479	PETER JOHN DRYDEN & COMPANY	MICHELE DRYDEN	25 PATTERSON ROAD													
331566650	RANDAL WILLIAM MACALISTER	48A GILLS ROAD	BUCKLANDS BEACH													
320081513	DANNY YOUNG	82 HOBSON STREET	THORNTON													

330470429	ANNA MARIE RISK	LEVELS	NO 4 RD	TIARA RD 9621		NZL	1,300	\$	845,000
331704528	SIMON MANSON	58 MARTIN AVENUE	RECKHAM	CHRISTCHURCH 8013		NZL	1,200	\$	814,000
334720837	RYAN ARTHUR EVANS	16 FOLKESTONE STREET	MURRAYS BAY	AUCKLAND 0610		NZL	1,250	\$	811,500
334548511	ROSALIE ELIZABETH BROWN &	GUY BENNETT NOBLE	22 GLENELG SPUR	HILLSBOROUGH	CHRISTCHURCH 8022	NZL	1,100	\$	715,000
335067070	SAMUEL LEE FAHY	15 CABOT PLACE	FRANKLIN PARK	REWLEYBROOK 4110		NZL	1,080	\$	702,000
334748555	CAMPBELL JOHN LE HERON &	EMMA LOUISE LE HERON	27A THORNTON STREET	FENDRICK	CHRISTCHURCH 8052	NZL	1,050	\$	682,500
330547091	WHESSA AMIE HARRISON	403A TE MATA ROAD	HAVELOCK NORTH 4130			NZL	1,050	\$	650,000
331470511	DAVID JOHN YOUNG &	CHRISTINA KATHLEEN YOUNG	25 BEAUMARIS STREET	OUTRAM 9019		NZL	1,000	\$	650,000
332043069	ARYS PAMELA MARGARET CURTIS	102 LOREIS ROAD	BRANDON	CHRISTCHURCH 8052		NZL	1,000	\$	650,000
332405870	BRIDGET MARY GOODMAN &	DAVID STANLEY GOODMAN	49 PLYMOUTH ROAD	STROMAN	CHRISTCHURCH 8052	NZL	1,000	\$	650,000
330926568	LORNA ALEXIA SMITH	20 THELWAY	SUNNYHILLS	AUCKLAND 2010		NZL	1,000	\$	650,000
334026567	ALAN HENRY THOMPSON &	RUTH ELIZABETH HUMEY	51 CHAMBERLAIN STREET	FAHURANGI	NELSON 7011	NZL	1,000	\$	650,000
334272214	ANDREW CORRIE REID	THE O'BARRY ROAD	RD 1	COROMANDEL 3581		NZL	1,000	\$	650,000
334436462	BRENDA SERGIJOS CASIRO BRUIER	GARRY FRASER BEVIN	54 MEVIE ROAD	TARAHA	NAPER 4112	NZL	1,000	\$	650,000
333951414	CRINA JOHN VAN STON	PO BOX 15246	MARANBAR	WELLINGTON 6243		NZL	1,000	\$	650,000
334849576	CHRISTINE ANNE GORE	21 COBERG ROAD	BLUFF HILL	NAPER 4110		NZL	1,000	\$	650,000
334756947	JASON CHARLES BURGESS	21 MOUNTING AVENUE	WIGGIBRA	CHRISTCHURCH	NEW ZEALAND 8042	NZL	1,000	\$	650,000
334782161	MICHAEL JAMES GREEN	1/AT 2 RICHARDS COURT	10 GREY STREET	LONDON 2 8408	UNITED KINGDOM	GBR	1,000	\$	650,000
334619851	RICK PHILIP STEFEO	11 BANC ROAD	MOUNT MARGARET 3116			NZL	1,000	\$	650,000
334810992	BRENDON DAVID CRAIG WALLACE	25A GREYMOORE PLACE URSWORTH	HIGHTS AUCKLAND 0632			NZL	1,000	\$	650,000
334334647	KEVIN DAVID LONG	4 PULHAR AVENUE	DARGAVANES	DUNEDIN 9083		NZL	1,000	\$	650,000
335410246	ALLAN WILLIAM REID	158 WOODLANDS ROAD	RD 2	CARTERS 5702		NZL	1,000	\$	650,000
333395444	AARON THOMAS HARRISON	407 OPTIO BAY ROAD	RD1	REBERG 0284		NZL	900	\$	617,500
332828410	SHAUN ROYAL SANDFORD	86 WARAARA TERRACE	MIRVALE	CHRISTCHURCH 8014		NZL	880	\$	572,000
333460831	BEVAN ALAN LAURDOCH	78 WILLIAM BRITAIN AVENUE	HASWELL	CHRISTCHURCH 8025		NZL	850	\$	567,500
334465473	ROMULO MERCEDES DE ARADIO	3 A BURY GROVE	STRATHMORE PARK	WELLINGTON 6022		NZL	810	\$	526,500
334433366	DAVID JEREMY OUESTED	60 SHELDON STREET	NELSON 2010			NZL	800	\$	520,000
335121281	RICHARD CHARLES EDWARDS	PO BOX 46267	HERNE BAY	AUCKLAND 1147		NZL	750	\$	487,500
334882352	ELIAR KURT DAVY	6 MARIE STREET	HEALMOUTH	PALMERSTON NORTH 4410		NZL	725	\$	471,250
332708431	ANDREW JEWELYN WATSON	PO BOX 17687	SUMNER	CHRISTCHURCH 8040		NZL	500	\$	325,000
332744216	SIMON JAMES JONES	10 KENSINGTON STREET	TEARO	WELLINGTON 6011		NZL	500	\$	325,000
332956126	ROBERT STEPHEN BROOKER	5 HUNWOOD AVENUE	MOJIBT AUBERT	AUCKLAND 1025		NZL	500	\$	325,000
334176193	RYAN ANDERSON	PO BOX 15920	NEW LYNN	AUCKLAND 0640		NZL	500	\$	325,000

33477219	SOMAU YOUNG	59 ABBEY CRESCENT	ST ALBANS	CHRISTCHURCH RD12	8014	NZL	500	\$	325.00
33512723	SARDEEP SHARMA	35/36 KOHIMARUA ROAD	ST HELENS	AUCKLAND D171	1071	NZL	500	\$	274.00
335241690	MAI JARAMELA FLORES	138 WALTER MACDONALD STREET	HOWICK	AUCKLAND 2014	2034	NZL	500	\$	325.00
334614221	DOMINICK JAY URTEBERGER	171 MAIN ROAD HOPI	HOPE	RICHMOND 2020	2020	NZL	400	\$	312.00
33271841	EST ROBERT GEORGE COURRIEY	PO BOX 25173	ST HELENS	AUCKLAND 1740	1740	NZL	450	\$	292.50
333249146	DAVID JOHN CARTWRIGHT	19 HARTLEY AVENUE	STROMAN	CHRISTCHURCH 8052	8052	NZL	450	\$	292.50
33456651	ASHLEY RAYMOND OWERS	PO BOX 9234	MARION SQUARE	WELLINGTON 6141	6141	NZL	425	\$	276.25
334566913	ALAN DOUGLAS NICHOLSON	2 GALAHAD COURT	ROTORUA NORTH	HAMILTON 3710	1210	NZL	400	\$	260.00
333158515	RYAN DAVID PENNINGTON	184 MEADWAY ROAD	RD 3	CHASING 3883	3883	NZL	370	\$	240.50
334654230	FOIR THOMAS FARRELL	30 PAPAIAI TERRACE	MOUNT COOK	WELLINGTON 6021	6021	NZL	365	\$	239.20
332433628	XU LU	26 LEON STREET	RIVERDALE	GIBBERNE 4030	4010	NZL	360	\$	234.00
33477475	KUBAWU INVESTMENTS LIMITED	33 RAUFABI STREET	GRAKE	AUCKLAND 1071	1071	NZL	350	\$	227.50
335090390	EMMA H.A. SULLIVAN	CHARGER GABBY BILLYMARA/C2	137 ELSTREE AVENUE	POINT FRELAND	1072	NZL	350	\$	227.50
333967952	KATHERINE WALLINGFORD	27 SMALE STREET	POINT CHEVALIER	AUCKLAND 1072	1022	NZL	300	\$	195.00
335378893	HANISH CHARLES JACKSON	78 HASTINGS STREET	NAPIER SOUTH	NAPIER 4110	4110	NZL	300	\$	195.00
333911668	EDWARD PHELIP ROBERT LAU	118 CHAMPION ROAD	RICHMOND 7020		7020	NZL	290	\$	184.50
330552010	SARIE LURIE SHARBECK	275 EVELYN PLACE	PHILLOST	AUCKLAND 6027	627	NZL	250	\$	167.50
334634926	ROBERT JOHN SILEY	35 WATT LIVINGSTONE ROAD	RD 4	WHANGAREI 4574	4574	NZL	250	\$	162.50
334820050	IANE LANE STONE	41 WALWORTH AVENUE PAKURANGA	HEATHS AUCKLAND 2010		2010	NZL	240	\$	136.50
330780032	GRACIE VAUGHAN KENNEDY	66113 MANU HIGHWAY	ELERSIE	AUCKLAND 1051	1051	NZL	200	\$	130.00
320503854	JEFFREY CURT WARD	20 HORTON PLACE	AVONDALE	CHRISTCHURCH 8061	8061	NZL	200	\$	130.00
334815455	ROBERT PINE CRUMP	73 MOARA ROAD	KEHUEN	WELLINGTON 6011	6012	NZL	200	\$	130.00
320303436	AU ALJAWAD	15 ARAS STRONG FARM DRIVE	EAST TANGAKI HEIGHTS	AUCKLAND 2016	2016	NZL	180	\$	117.00
331465942	JONATHAN WILLIAM BEADIE	69 KASTEMA PARADE	PAPAROA BEACH	PAPAROA 3118	3118	NZL	126	\$	83.20
334060288	ANN FAMELA DEAMIS	PO BOX 1110	QUEENSTOWN 9348		9348	NZL	100	\$	65.00

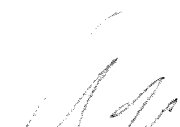
858,477 \$ 558,010.05

INSTRUMENT OF TRANSFER (PURSUANT TO RULE 61 OF THE TAKEOVERS CODE)

ESW HOLDINGS, INC. COMPULSORY ACQUISITION OF OPTIONS IN SLI SYSTEMS LIMITED

Pursuant to Rule 61 of the takeovers code approved by the Takeovers Regulations 2000 (SR 2000/210) (the **Takeovers Code**), each option holder in SLI Systems Limited (the **Company**) listed in the Schedule to this Instrument of Transfer (each a **Transferor**) hereby transfers to ESW Holdings, Inc. (the **Transferee**) all of the options to acquire shares in the Company now held by each Transferor as specified alongside each Transferor's name in the Schedule, for the consideration stated below:

Full name of issuer of Securities	SLI SYSTEMS LIMITED
Full description of Securities, including number to be transferred	The number of options specified alongside each Transferor's name in the Schedule, being 27,600 options in aggregate
TRANSFER FROM	The Transferors listed in the Schedule
	HOLDER NUMBER: See Schedule
CONSIDERATION (Words and Figures)	The consideration for each class of option as set out in the schedule per option, an aggregate of NZ\$1,794.00
TRANSFERTO	ESW HOLDINGS, INC.
	HOLDER NUMBER (if known):

DATE OF EXECUTION the <u>25th</u> January 2019
Executed on behalf of each Transferor in accordance with Rule 61 of the Takeovers Code by ESW HOLDINGS, INC. by:  _____ Andrew S. Price Director

SCHEDULE OF TRANSFERORS

Holding No	Reg Line 1	Reg Line 2	Reg Line 3	Reg Line 4	Postcode	Country Code	SLOPT	Consideration
R60222343 4	JEFF HOLT	C/- SUI SYSTEMS	78-106 MANCHESTER STREET	CHRISTCHURCH 8011	8011	NZL	13,800	\$ 552.00
R60222372 8	SHIGERU OHIRA	C/- SUI SYSTEMS	78-106 MANCHESTER STREET	CHRISTCHURCH 8011	8011	NZL	13,800	\$ 1,242.00
							27,600	\$ 1,794.00

SECURITIES TRANSACTION STATEMENT



ESW HOLDINGS INC
401 CONGRESS AVENUE
SUITE 2650
AUSTIN
TEXAS 78701
UNITED STATES

CSN/Holder No: **R602272834**
Register: NEW ZEALAND
Statement No: 0
Page No: 1 of 1
Statement Date: 25 January 2019

Name on Register:

ESW HOLDINGS INC

This statement shows details of your holding in those securities in which there has been a transaction or change in your holding. If you have had no change in the last six months, this statement is a summary of your holdings.

Security Code	Issuer/Security	Date	Reference	Transaction Type	Quantity	Balance at 25 Jan 2019
SLI	SLI SYSTEMS LIMITED FULLY PAID ORDINARY SHARES			Balance		62,260,816
SLIOPT	SLI SYSTEMS LIMITED SHARE OPTIONS PLAN			Balance		5,643,184

This statement, although based on information taken from the register/s of securities, is not evidence of title to the securities above, nor is it evidence of the other matters set out in it. It is the entry in each issuer's register of securities that is prima facie evidence of the ownership of these securities. If you believe that the information set out in this statement is inaccurate in any way, please contact Link Market Services Limited without delay. You are advised to retain this statement as a record of your holding in the above securities.

YOU CAN UPDATE YOUR DETAILS ELECTRONICALLY ON OUR WEBSITE, WWW.LINKMARKETSERVICES.CO.NZ

PLEASE QUOTE YOUR CSN/HOLDER NUMBER IN ALL COMMUNICATIONS WITH YOUR BROKER OR REGISTRY.

Link has made requesting an Annual Taxation and Balance Summary a whole lot easier and faster for Investors! You can request, pay and download this instantly online at <https://ats.linkinvestorservices.co.nz>.