

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6046175

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PROTHERA BIOLOGICS, LLC	10/30/2007
RECEIVING PARTY DATA	
Name:	PROTHERA BIOLOGICS, INC.
Street Address:	349 EDDY STREET
City:	PROVIDENCE
State/Country:	RHODE ISLAND
Postal Code:	02903
PROPERTY NUMBERS Total: 1	
Property Type	Number
PCT Number:	US0436848
CORRESPONDENCE DATA	
Fax Number:	(617)428-7045
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(617) 428-0200
Email:	patentadmin@clarkelbing.com
Correspondent Name:	CLARK & ELBING LLP
Address Line 1:	101 FEDERAL STREET
Address Line 2:	15TH FLOOR
Address Line 4:	BOSTON, MASSACHUSETTS 02110
ATTORNEY DOCKET NUMBER:	50890-005WO3
NAME OF SUBMITTER:	RICHARD TODD ARMSTRONG, PH.D.
SIGNATURE:	/Richard Todd Armstrong, Ph.D./
DATE SIGNED:	04/03/2020
Total Attachments: 5	
source=ProThera LLC to Corp conversion and 1st Art of Inc 30Oct2017#page1.tif	
source=ProThera LLC to Corp conversion and 1st Art of Inc 30Oct2017#page2.tif	
source=ProThera LLC to Corp conversion and 1st Art of Inc 30Oct2017#page3.tif	
source=ProThera LLC to Corp conversion and 1st Art of Inc 30Oct2017#page4.tif	
source=ProThera LLC to Corp conversion and 1st Art of Inc 30Oct2017#page5.tif	



State of Rhode Island and Providence Plantations

A. Ralph Mollis

Secretary of State

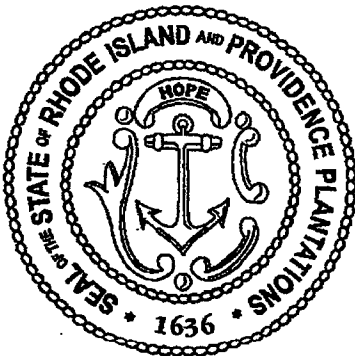
STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

I, A. RALPH MOLLIS, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly
executed in accordance with the provisions of Title 7 of the General Laws
of Rhode Island, as amended, has been filed in this office on this day:
October 30, 2007 3:50 PM

A handwritten signature in black ink that reads "A. Ralph Mollis".

A. RALPH MOLLIS

Secretary of State



No Filing Fee (See Instructions)

ID Number: 119387



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

FILED

OCT 30 2007

2007 OCT 30 PM 3:50

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIV

CERTIFICATE OF CONVERSION

By AMP
11-40904
3:30

ProThera Biologics, Inc.

(Insert full name of the entity following the conversion)

SECTION I: TO BE COMPLETED BY ALL CONVERTING ENTITIES

Pursuant to the applicable provisions of the Rhode Island General Laws, 1956, as amended, the undersigned (check one box only):

- Other entity or Business Corporation or Sole Proprietorship or Partnership (General, Limited, or Limited Liability Partnership) or Limited Liability Company

submits the following Certificate of Conversion for the purpose of converting to a (check one box only):

- Limited Partnership or Limited Liability Company or Business Corporation

- a. The name of the converting entity filing this Certificate of Conversion is: ProThera Biologics, LLC
b. The date on which the converting entity was first created, formed, or otherwise came into being is: July 12, 2001
c. The jurisdiction where the converting entity was first created, formed, or otherwise came into being is: Rhode Island
d. If the jurisdiction of the converting entity has changed since it was first created, state the jurisdiction of the entity immediately prior to the filing of the Certificate of Conversion: no change
e. The name of the limited partnership or limited liability company or business corporation following the conversion is: ProThera Biologics, Inc.
f. The certificate of conversion is filed as an accompanying certificate to the certificate of limited partnership or articles of organization or articles of incorporation (check one box only) of the converting entity.
g. This conversion has been approved in the manner provided for by the document, instrument, agreement or other writing, as the case may be, governing the internal affairs of the entity and the conduct of its business or by applicable law, as appropriate, and the partnership agreement or limited liability company agreement or articles of incorporation (check one box only) shall be approved by the same authorization required to approve the conversion.
h. The future date or time certain of the conversion to a limited partnership or limited liability company or business corporation (check one box only) is to become effective, if later than the date of filing of the certificate of conversion and the certificate of limited partnership or articles of organization of a limited liability company or articles of incorporation (check one box only) is: 11:59 p.m. on October 31, 2007

SECTION II: TO BE COMPLETE BY ALL CONVERTING ENTITIES

Under penalty of perjury, I/we declare and affirm that I/we have examined this Certificate of Conversion, including any accompanying attachments, and that all statements contained herein are true and correct and that the undersigned is authorized to sign this certificate on behalf of the entity set forth in Section 1A.

Date: October 30, 2007

Print Name of other entity

OR

Print Name of the Partnership

By: _____
Signature of Authorized Person

By: _____
Signature of Partner

By: _____
Signature of Authorized Person

By: _____
Signature of Partner

By: _____
Signature of Partner

ProThera Biologics, Inc.

Print Name of Corporation

OR

Print Name of Sole Proprietorship

By: YPLIM
Signature of Authorized Person

By: _____
Signature of Sole Proprietor

Name: Yow-Pin Lim

Title: President

~~Signature of Authorized Person~~

ProThera Biologics, LLC

Print Name of Limited Liability Company

By: YPLIM
Signature of Authorized Person

Name: Yow-Pin Lim

Title: Managing Member

~~Signature of Authorized Person~~

Filing and License Fee: \$230.00 minimum

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

BUSINESS CORPORATION

ARTICLES OF INCORPORATION

The undersigned acting as incorporator(s) of a corporation under Chapter 7-1.2 of the General Laws of Rhode Island, 1956, as amended, adopt(s) the following Articles of Incorporation for such corporation:

1. The name of the corporation is ProThera Biologics, Inc.

(This is a close corporation pursuant to § 7-1.2-1701 of the General Laws, 1956, as amended.) (Strike if inapplicable.)

2. The total number of shares which the corporation has authority to issue is:

(a) *if only one class*: Total number of shares 2,000,000 shares of Common Stock, with each share having \$.001 par value

or

(b) *if more than one class*: Total number of shares of each class _____

A statement of all or any of the designations and the powers, preferences, and rights, including voting rights, and the qualifications, limitations, or restrictions of them, which are permitted by the provisions of Chapter 7-1.2 of the General Laws, 1956, as amended, in respect of any class or classes of shares of the corporation and the fixing of which by the articles of association is desired, and an express grant of the authority as it may then be desired to grant to the board of directors to fix by vote or votes any of them that may be desired but which is not fixed by the articles:

3. The address of the initial registered office of the corporation is _____

c/o Edwards Angell Palmer & Dodge LLP
2800 Financial Plaza

(Street Address, not P.O. Box)

Providence

(City/Town)

, RI

02903

(Zip Code)

and the name of its initial registered agent

at such address is Christopher D. Graham, Esq.

(Name of Agent)

4. The corporation has the purpose of engaging in any lawful business, and shall have perpetual existence until dissolved or terminated in accordance with Chapter 7-1.2.

5. Unless otherwise stated all authorized shares are deemed to have a nominal or par value of \$0.01 per share.

FILED

OCT 30 2007

By _____

2007 OCT 30 PM 3:50

RECEIVED
CORPORATIONS DIV
OCT 30 2007

PATENT

REEL: 052306 FRAME: 0957

6. Additional provisions, if any, not inconsistent with Chapter 7-1.2 which the incorporators elect to have set forth in these Articles of Incorporation:

See Exhibit A attached hereto and made a part hereof.

Multiple horizontal lines for additional provisions.

7. The name and address of each incorporator is:

<u>Name</u>	<u>Address</u>
Yow-Pin Lim	551 Warren Avenue, East Providence, RI 02914

8. These Articles of Incorporation shall be effective upon filing unless a specified date is provided which shall be no later than the 90th day after the date of this filing 11:59 p.m. on October 31, 2007

Under penalty of perjury, I/we declare and affirm that I/we have examined these Articles of Incorporation, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: October 30, 2007

YPLim
Yow-Pin Lim

Signature of each Incorporator