# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6046891

| SUBMISSION TYPE:      | NEW ASSIGNMENT    |
|-----------------------|-------------------|
| NATURE OF CONVEYANCE: | SECURITY INTEREST |

#### **CONVEYING PARTY DATA**

| Name                | Execution Date |
|---------------------|----------------|
| CARIS MPI, INC.     | 04/02/2020     |
| CARIS SCIENCE, INC. | 04/02/2020     |

#### **RECEIVING PARTY DATA**

| Name:             | TPG SPECIALTY LENDING, INC. |  |  |
|-------------------|-----------------------------|--|--|
| Street Address:   | 888 7TH AVENUE              |  |  |
| Internal Address: | 35TH FLOOR                  |  |  |
| City:             | NEW YORK                    |  |  |
| State/Country:    | NEW YORK                    |  |  |
| Postal Code:      | 10106                       |  |  |

#### **PROPERTY NUMBERS Total: 120**

| Application Number: 16560999  Application Number: 16675488  Application Number: 16495690  Application Number: 62731518  Application Number: 62901681  Application Number: 62736331  Application Number: 62906325  Application Number: 62774082  Application Number: 62788689  PCT Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540  Application Number: 62843204   | Property Type       | Number       |
|--|---------------------|--------------|
| Application Number:         16193912           Application Number:         16675488           Application Number:         16495690           Application Number:         62731518           Application Number:         62901681           Application Number:         62736331           Application Number:         62906325           Application Number:         62788689           PCT Number:         US2019064078           Application Number:         62789393           Application Number:         62789884           Application Number:         62964272           Application Number:         62789929           Application Number:         62835999           Application Number:         62836540 | . , , , , , , ,     |              |
| Application Number: 16495690  Application Number: 62731518  Application Number: 62901681  Application Number: 62736331  Application Number: 62906325  Application Number: 62774082  Application Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   |                     | 16193912     |
| Application Number: 62731518  Application Number: 62901681  Application Number: 62736331  Application Number: 62906325  Application Number: 62774082  Application Number: 62788689  PCT Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | Application Number: | 16675488     |
| Application Number: 62901681  Application Number: 62736331  Application Number: 62906325  Application Number: 62774082  Application Number: 62788689  PCT Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | Application Number: | 16495690     |
| Application Number: 62736331  Application Number: 62906325  Application Number: 62774082  Application Number: 62788689  PCT Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | Application Number: | 62731518     |
| Application Number: 62906325  Application Number: 62774082  Application Number: 62788689  PCT Number: US2019064078  Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | Application Number: | 62901681     |
| Application Number:         62774082           Application Number:         62788689           PCT Number:         US2019064078           Application Number:         62789393           Application Number:         62789884           Application Number:         62964272           Application Number:         62789929           Application Number:         62835999           Application Number:         62836540   | Application Number: | 62736331     |
| Application Number:         62788689           PCT Number:         US2019064078           Application Number:         62789393           Application Number:         62789884           Application Number:         62964272           Application Number:         62789929           Application Number:         62835999           Application Number:         62836540  | Application Number: | 62906325     |
| PCT Number:         US2019064078           Application Number:         62789393           Application Number:         62789884           Application Number:         62964272           Application Number:         62789929           Application Number:         62835999           Application Number:         62836540   | Application Number: | 62774082     |
| Application Number: 62789393  Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | Application Number: | 62788689     |
| Application Number: 62789884  Application Number: 62964272  Application Number: 62789929  Application Number: 62835999  Application Number: 62836540   | PCT Number:         | US2019064078 |
| Application Number: 62964272 Application Number: 62789929 Application Number: 62835999 Application Number: 62836540  | Application Number: | 62789393     |
| Application Number:62789929Application Number:62835999Application Number:62836540  | Application Number: | 62789884     |
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| Application Number: 62836540   | Application Number: | 62789929     |
|  | Application Number: | 62835999     |
| Application Number: 62843204   | Application Number: | 62836540     |
| ·  | Application Number: | 62843204     |

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| Property Type       | Number       |
|---------------------|--------------|
| Application Number: | 62855623     |
| Application Number: | 62871530     |
| PCT Number:         | US2020012815 |
| Application Number: | 62789495     |
| Application Number: | 62819503     |
| Application Number: | 62933964     |
| Application Number: | 62965601     |
| Application Number: | 62977015     |
| Application Number: | 16195274     |
| Application Number: | 16783412     |
| Application Number: | 16482161     |
| Application Number: | 16694851     |
| Application Number: | 16497024     |
| Application Number: | 16304389     |
| Application Number: | 16337367     |
| Application Number: | 16467151     |
| Application Number: | 16084504     |
| Application Number: | 62755921     |
| PCT Number:         | US2019021491 |
| Application Number: | 62774710     |
| Application Number: | 16376851     |
| Application Number: | 62808204     |
| Application Number: | 62827676     |
| Application Number: | 62867826     |
| Patent Number:      | 8700335      |
| Patent Number:      | 8831890      |
| Patent Number:      | 8914239      |
| Patent Number:      | 8880350      |
| Patent Number:      | 9383365      |
| Patent Number:      | 9372193      |
| Patent Number:      | 8768629      |
| Patent Number:      | 9053224      |
| Patent Number:      | 9092392      |
| Patent Number:      | 9064045      |
| Patent Number:      | 9058418      |
| Patent Number:      | 9292660      |
| Patent Number:      | 9322067      |
| Patent Number:      | 9389234      |

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 14687831 |
| Application Number: | 15093634 |
| Application Number: | 15093653 |
| Application Number: | 15093668 |
| Application Number: | 15094434 |
| Application Number: | 15094457 |
| Application Number: | 15094470 |
| Application Number: | 15094486 |
| Application Number: | 15094507 |
| Application Number: | 15094520 |
| Application Number: | 15099422 |
| Application Number: | 15099442 |
| Application Number: | 15099454 |
| Application Number: | 15099466 |
| Application Number: | 15099512 |
| Application Number: | 15099517 |
| Application Number: | 15099530 |
| Application Number: | 15192792 |
| Application Number: | 15494018 |
| Application Number: | 15294493 |
| Application Number: | 15115617 |
| Application Number: | 15762932 |
| Application Number: | 15555378 |
| Application Number: | 62622679 |
| Application Number: | 62631381 |
| Application Number: | 62682444 |
| Patent Number:      | 8216784  |
| Patent Number:      | 8637254  |
| Patent Number:      | 9758811  |
| Patent Number:      | 9315804  |
| Application Number: | 15381668 |
| Application Number: | 15913772 |
| Application Number: | 15913415 |
| Application Number: | 14915249 |
| Application Number: | 15528417 |
| Application Number: | 15871313 |
| Application Number: | 15669782 |
| Application Number: | 15738564 |

| Property Type       | Number   |
|---------------------|----------|
| Application Number: | 62595954 |
| Application Number: | 15745407 |
| Application Number: | 15557010 |
| Application Number: | 62559238 |
| Application Number: | 62561145 |
| Application Number: | 62640503 |
| Application Number: | 62665361 |
| Application Number: | 62700696 |
| Application Number: | 62571188 |
| Application Number: | 62650522 |
| Application Number: | 62682018 |
| Application Number: | 62725876 |
| Application Number: | 62653445 |
| Patent Number:      | 7897356  |
| Patent Number:      | 7888035  |
| Patent Number:      | 8192954  |
| Patent Number:      | 8211653  |
| Patent Number:      | 8278059  |
| Patent Number:      | 9128101  |
| Patent Number:      | 9469876  |
| Application Number: | 15905247 |
| Application Number: | 15905285 |
| Application Number: | 62860080 |
| Patent Number:      | 9958448  |
| Patent Number:      | 9939443  |
|                     |          |

#### **CORRESPONDENCE DATA**

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**ATTORNEY DOCKET NUMBER:** 048697-917

NAME OF SUBMITTER: JOHN B. FORREST

| SIGNATURE:                           | /John B. Forrest/             |
|--------------------------------------|-------------------------------|
| DATE SIGNED:                         | 04/03/2020                    |
| Total Attachments: 16                |                               |
| source=Caris Amendment No. 1 - Pater | nt IPSA [Executed]#page1.tif  |
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#### PATENT SECURITY AGREEMENT

This PATENT SECURITY AGREEMENT (this "Agreement"), dated as of April 2, 2020, is entered into by and among Caris MPI, Inc., a Texas corporation ("MPI"), Caris Science, Inc., a Texas corporation ("CSI" and, together with MPI, the "Grantors" and each, a "Grantor") and TPG SPECIALTY LENDING, INC. (the "Assignee"), as Administrative Agent pursuant to (i) that certain Pledge and Security Agreement, dated as of September 21, 2018, among the Assignee, the Grantors and the other grantors party thereto (as amended, restated, supplemented or otherwise modified from time to time, the "Security Agreement"), and (ii) that certain Financing Agreement, dated as of September 21, 2018 (as amended, restated, supplemented or otherwise modified from time to time, the "Financing Agreement"), between, among others, certain of the Grantors' affiliates, the Assignee, and certain Lenders party thereto.

Capitalized terms not otherwise defined herein have the respective meanings ascribed to them in the Security Agreement or the Financing Agreement, as applicable. For purposes of this Agreement, "Patents" shall mean all of the following now owned or hereafter acquired by each Grantor: (a) all United States and foreign patents and certificates of invention, or similar industrial property rights, and applications for any of the foregoing, including, without limitation, the applications and registrations listed on Schedule A hereto and (b) with respect to any and all of the foregoing: (i) all reissues, divisions, continuations, continuations-in-part, extensions, renewals, and reexaminations thereof, (ii) all rights corresponding thereto throughout the world, (iii) all inventions and improvements described therein, (iv) all rights to sue for past, present and future infringements thereof, (v) all licenses, claims, damages, and proceeds of suit arising therefrom, and (vi) all Proceeds of the foregoing, including, without limitation, licenses, royalties, income, payments, claims, damages, and proceeds of suit.

WHEREAS, pursuant to the Security Agreement, each Grantor has granted in favor of the Assignee a security interest in certain Collateral of such Grantor, including such Grantor's Patents.

NOW, THEREFORE, in consideration of the foregoing and for other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, each Grantor and the Assignee hereby agree as follows:

#### 1. Grant of Security Interest

- (a) Subject to the terms and conditions of the Security Agreement, to evidence further the security interest granted by each Grantor to the Assignee pursuant to the Security Agreement, as collateral security for the prompt and complete payment or performance in full when due, whether at stated maturity, by required prepayment, declaration, acceleration, demand or otherwise, of such Grantor's Secured Obligations, each Grantor hereby grants to the Assignee a security interest in and continuing Lien on all of such Grantor's right, title and interest in, to and under the Patents, whether now owned or existing or hereafter acquired or arising and wherever located.
- (b) Notwithstanding anything to the contrary contained in clause (a), the security interest created by this Agreement shall not extend to any Excluded Assets.

(c) The security interest granted hereby is granted concurrently and in conjunction with the security interest granted to the Assignee under the Security Agreement. In the event that any of the provisions of this Agreement are in conflict with the Security Agreement, the provisions of the Security Agreement shall govern. This Agreement shall be coterminous with the term of the Security Agreement.

#### 2. Modifications

This Agreement or any provision hereof may not be changed, waived, or terminated except in accordance with the amendment provisions of the Financing Agreement.

#### 3. Applicable Law

THIS AGREEMENT AND THE RIGHTS AND OBLIGATIONS OF THE PARTIES HEREUNDER SHALL BE GOVERNED BY, AND SHALL BE CONSTRUED AND INTERPRETED IN ACCORDANCE WITH, THE LAWS OF THE STATE OF NEW YORK.

#### 4. <u>Counterparts</u>

This Agreement may be executed by one or more of the parties to this Agreement on any number of separate counterparts (including by telecopy), and all of said counterparts taken together shall be deemed to constitute one and the same instrument.

IN WITNESS WHEREOF, each of the undersigned has caused this Agreement to be duly executed and delivered as of the date first above written.

#### ASSIGNEE:

TPG SPECIALTY LENDING, INC.,

as Administrative Agent

Norma: Bataset et ac

Name: Robert Staning Title: Projection

Address of Assignee:

866 7" Armue, 35" Abor 864 7014, NY 101010

[Signature Page to Patent Security Agreement]

#### GRANTOR:

CARIS SCIENCE, INC.

Vanna Dana E

Title: Secretary and Treasurer

Address of Grantor: 750 West John Carpenter Freeway, Suite 800, Irving, Texas 75039

CARIS MPI, INC.

Nama Ruse Farr

Title: Secretary and Treasurer

Address of Grantor: 750 West John Carpenter Freeway, Suite 800, Irving, Texas 75039

# SCHEDULE A TO PATENT SECURITY AGREEMENT

### Schedule A to PATENT SECURITY AGREEMENT

## U.S. Registered Patents

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction  | Patent<br>Number | Registration<br>Date |
|---------|---|-----------------------|----------------|---------|---------------|------------------|----------------------|
| MPI     | SYSTEM AND<br>METHOD FOR<br>DETERMINING<br>INDIVIDUALIZE<br>D MEDICAL<br>INTERVENTION<br>FOR A DISEASE<br>STATE | 2020200186            | 5/18/2007      | Pending | Australia     |                  |                      |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 266164                | 5/18/2007      | Pending | Israel        |                  |                      |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 2019-142983           | 5/18/2007      | Pending | Japan         |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 16560999              | 9/4/2019       | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 201818033060          | 2/11/2010      | Pending | India         |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 2019250106            | 12/4/2013      | Pending | Australia     |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>IMMUNE<br>MODULATORS   | 16193912              | 11/16/201<br>8 | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER  | 16/675,488            | 11/6/2019      | Pending | United States |                  |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction                    | Patent<br>Number | Registration<br>Date |
|---------|---|-----------------------|----------------|---------|---------------------------------|------------------|----------------------|
| MPI     | GENOMIC<br>STABILITY<br>PROFILING             | 16/495,690            | 9/19/2019      | Pending | United States                   |                  |                      |
| MPI     | GENOMIC<br>STABILITY<br>PROFILING             | 18 771248.4           | 3/20/2018      | Pending | European Patent Convention      |                  |                      |
| MPI     | GENOMIC<br>STABILITY<br>PROFILING             | 2018240195            | 3/20/2018      | Pending | Australia                       |                  |                      |
| MPI     | GENOMIC<br>STABILITY<br>PROFILING             | 3,056,896             | 3/20/2018      | Pending | Canada                          |                  |                      |
| MPI     | GENOMIC<br>STABILITY<br>PROFILING             | 269456                | 3/20/2018      | Pending | Israel                          |                  |                      |
| MPI     | MOLECULAR<br>PROFILING                        | 62731518              | 9/14/2018      | Expired | United States                   |                  |                      |
| MPI     | MOLECULAR<br>PROFILING                        | 62/901,681            | 9/17/2019      | Expired | United States                   |                  |                      |
| MPI     | MOLECULAR<br>PROFILING                        | 62736331              | 9/25/2018      | Expired | United States                   |                  |                      |
| MPI     | MOLECULAR<br>PROFILING                        | 62/906,325            | 9/26/2019      | Expired | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | 62/774,082            | 11/30/201<br>8 | Expired | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | 62/788,689            | 1/4/2019       | Expired | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | PCT/US2019/0<br>64078 | 12/2/2019      | Pending | Patent<br>Cooperation<br>Treaty |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | 62/789,393            | 1/7/2019       | Expired | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | 62/789,884            | 1/8/2019       | Expired | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION<br>MOLECULAR<br>PROFILING | 62/964,272            | 1/22/2020      | Pending | United States                   |                  |                      |
| MPI     | NEXT-<br>GENERATION                           | 62/789,929            | 1/8/2019       | Expired | United States                   |                  |                      |

| Grantor | Title  | Application<br>Number | Filing<br>Date | Status  | Jurisdiction                    | Patent<br>Number | Registration<br>Date |
|---------|--|-----------------------|----------------|---------|---------------------------------|------------------|----------------------|
|         | MOLECULAR<br>PROFILING   |                       |                |         |                                 |                  |                      |
| MPI     | MOLECULAR<br>DISEASE<br>CLASSIFIER   | 62835999              | 4/18/2019      | Pending | United States                   |                  |                      |
| MPI     | MOLECULAR<br>DISEASE<br>CLASSIFIER   | 62836540              | 4/19/2019      | Pending | United States                   |                  |                      |
| MPI     | MOLECULAR<br>DISEASE<br>CLASSIFIER   | 62843204              | 5/3/2019       | Pending | United States                   |                  |                      |
| MPI     | MOLECULAR<br>DISEASE<br>CLASSIFIER   | 62855623              | 5/31/2019      | Pending | United States                   |                  |                      |
| MPI     | MOLECULAR<br>DISEASE<br>CLASSIFIER   | 62871530              | 7/8/2019       | Pending | United States                   |                  |                      |
| MPI     | GENOMIC<br>PROFILING<br>SIMILARITY   | PCT/US2020/0<br>12815 | 1/8/2020       | Pending | Patent<br>Cooperation<br>Treaty |                  |                      |
| MPI     | DATA PROCESING APPARATUS FOR GENERATING TRAINING DATA STRUCTURES FOR USE IN TRAINING A MACHINE LEARNING MODEL TO PREDICT TREATMENT RESPONSIVENE SS | 62/789,495            | 1/7/2019       | Expired | United States                   |                  |                      |
| MPI     | GENOMIC<br>ALTERATIONS   | 62819503              | 3/15/2019      | Pending | United States                   |                  |                      |
| MPI     | SYSTEM AND METHOD FOR REDUCING POPULATION BIAS IN MICROSATELLI TE INSTABILITY STATUS PREDICTIONS   | 62/933,964            | 11/11/201<br>9 | Pending | United States                   |                  |                      |
| MPI     | SYSTEM AND<br>METHOD FOR   | 62/965,601            | 1/24/2020      | Pending | United States                   |                  |                      |

| Grantor | Title  | Application<br>Number | Filing<br>Date | Status  | Jurisdiction               | Patent<br>Number | Registration<br>Date |
|---------|--|-----------------------|----------------|---------|----------------------------|------------------|----------------------|
|         | REDUCING POPULATION BIAS IN MICROSATELLI TE INSTABILITY STATUS PREDICTIONS |                       |                |         |                            |                  |                      |
| MPI     | PANOMIC<br>GENOMIC<br>PROFILING<br>SIMILARITY                              | 62977015              | 2/14/2020      | Pending | United States              |                  |                      |
| CSI     | EXOSOME-<br>ASSOCIATED<br>MICRORNA AS<br>A DIAGNOSTIC<br>MARKER            | 16/195,274            | 11/19/201<br>8 | Pending | United States              |                  |                      |
| CSI     | APTAMERS<br>AND USES<br>THEREOF  | 2019208237            | 10/23/201      | Pending | Australia                  |                  |                      |
| CSI     | APTAMERS<br>AND USES<br>THEREOF  | 269045                | 10/23/201      | Pending | Israel                     |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF                          | AU<br>2019200115      | 8/28/2014      | Pending | Australia                  |                  |                      |
| CSI     | THERAPEUTIC<br>OLIGONUCLEO<br>TIDES  | 16/783,412            | 2/6/2020       | Pending | United States              |                  |                      |
| CSI     | TARGETED<br>OLIGONUCLEO<br>TIDES   | 16/482,161            | 7/30/2019      | Pending | United States              |                  |                      |
| CSI     | TARGETED<br>OLIGONUCLEO<br>TIDES   | 18748672.5            | 2/2/2018       | Pending | European Patent Convention |                  |                      |
| CSI     | TARGETED<br>OLIGONUCLEO<br>TIDES   | AU<br>2018214601      | 2/2/2018       | Pending | Australia                  |                  |                      |
| CSI     | TARGETED<br>OLIGONUCLEO<br>TIDES   | 3,052,297             | 2/2/2018       | Pending | Canada                     |                  |                      |
| CSI     | TARGETED<br>OLIGONUCLEO<br>TIDES   | 268422                | 2/2/2018       | Pending | Israel                     |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF                          | 16/694,851            | 11/25/201<br>9 | Pending | United States              |                  |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction                     | Patent<br>Number | Registration<br>Date |
|---------|---|-----------------------|----------------|---------|----------------------------------|------------------|----------------------|
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 16/497,024            | 9/24/2019      | Pending | United States                    |                  |                      |
| CSI     | OLIGONUCLEO TIDE PROBES AND USES THEREOF          | 18 775804.0           | 3/27/2018      | Pending | European Patent Convention       |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 2018244320            | 3/27/2018      | Pending | Australia                        |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 3,057,368             | 3/27/2018      | Pending | Canada                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 269714                | 3/27/2018      | Pending | Israel                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 16304389              | 5/25/2017      | Pending | United States                    |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 17803629.9            | 5/25/2017      | Pending | European Patent Convention       |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | AU<br>2017271579      | 5/25/2017      | Pending | Australia                        |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 3,025,486             | 5/25/2017      | Pending | Canada                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 263170                | 5/25/2017      | Pending | Israel                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | 16/337,367            | 3/27/2019      | Pending | United States                    |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF | EP17 857369.7         | 9/27/2017      | Pending | European<br>Patent<br>Convention |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES                        | 2017336562            | 9/27/2017      | Pending | Australia                        |                  |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction                     | Patent<br>Number | Registration<br>Date |
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|         | AND USES<br>THEREOF                                       |                       |                |         |                                  |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | 3038502               | 9/27/2017      | Pending | Canada                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | 265643                | 9/27/2017      | Pending | Israel                           |                  |                      |
| CSI     | NUCLEIC ACID-<br>BASED<br>ASSEMBLY<br>AND USES<br>THEREOF | 16/467,151            | 6/6/2019       | Pending | United States                    |                  |                      |
| CSI     | NUCLEIC ACID-<br>BASED<br>ASSEMBLY<br>AND USES<br>THEREOF | EP17829613.3          | 12/7/2017      | Pending | European<br>Patent<br>Convention |                  |                      |
| CSI     | NUCLEIC ACID-<br>BASED<br>ASSEMBLY<br>AND USES<br>THEREOF | 2017371416            | 12/7/2017      | Pending | Australia                        |                  |                      |
| CSI     | NUCLEIC ACID-<br>BASED<br>ASSEMBLY<br>AND USES<br>THEREOF | 3046280               | 12/7/2017      | Pending | Canada                           |                  |                      |
| CSI     | NUCLEIC ACID-<br>BASED<br>ASSEMBLY<br>AND USES<br>THEREOF | 267155                | 12/7/2017      | Pending | Israel                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | 16084504              | 9/12/2018      | Pending | United States                    |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | 17767690.5            | 10/4/2018      | Pending | European Patent Convention       |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | AU<br>2017235661      | 9/16/2018      | Pending | Australia                        |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF         | 3,018,066             | 9/17/2018      | Pending | Canada                           |                  |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction                    | Patent<br>Number | Registration<br>Date |
|---------|---|-----------------------|----------------|---------|---------------------------------|------------------|----------------------|
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | 261832                | 9/17/2018      | Pending | Israel                          |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | 201780027966.         | 9/17/2018      | Pending | China<br>(People's<br>Republic) |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | 2018-568182           | 9/17/2018      | Pending | Japan                           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | 10-2018-<br>7029624   | 10/15/201<br>8 | Pending | Korea,<br>Republic of           |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | 62755921              | 11/5/2018      | Expired | United States                   |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES<br>THEREOF               | PCT/US2019/0<br>21491 | 3/8/2019       | Pending | Patent<br>Cooperation<br>Treaty |                  |                      |
| CSI     | APTAMERS FOR TARGETED ACTIVATON OF T CELL- MEDIATED IMMUNITY    | PCT/IB2019/0<br>52641 | 3/29/2019      | Pending | Patent<br>Cooperation<br>Treaty |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES<br>AND USES IN<br>PROSTATE<br>CANCER | 62774710              | 12/3/2018      | Pending | United States                   |                  |                      |
| CSI     | PROFILING<br>EXTRACELLUL<br>AR VESICLES                         | 16376851              | 4/5/2019       | Pending | United States                   |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES,<br>TARGETS, AND<br>USES THEREOF     | 62808204              | 2/20/2019      | Pending | United States                   |                  |                      |
| CSI     | OLIGONUCLEO<br>TIDE PROBES,<br>TARGETS, AND<br>USES THEREOF     | 62827676              | 4/1/2019       | Pending | United States                   |                  |                      |
| CSI     | RAPID<br>APTAMER<br>ENRICHMENT<br>AND TARGET<br>ANALYSIS        | 62867826              | 6/27/2019      | Pending | United States                   |                  |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status | Jurisdiction  | Patent<br>Number    | Registration<br>Date |
|---------|---|-----------------------|----------------|--------|---------------|---------------------|----------------------|
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 11/750,721            | 5/18/2007      | Issued | United States | US<br>8,700,33<br>5 | 4/15/2014            |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 14052503              | 3              | Issued | United States | US<br>8,831,89<br>0 | 9/9/2014             |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 14143959              | 12/30/201      | Issued | United States | US<br>8,914,23<br>9 | 12/16/2014           |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 14170466              | 1/31/2014      | Issued | United States | US<br>8,880,35<br>0 | 11/4/2014            |
| MPI     | SYSTEM AND METHOD FOR DETERMINING INDIVIDUALIZE D MEDICAL INTERVENTION FOR A DISEASE STATE                      | 14473871              | 8/29/2014      | Issued | United States | US<br>9,383,36<br>5 | 7/5/2016             |
| MPI     | SYSTEM AND<br>METHOD FOR<br>DETERMINING<br>INDIVIDUALIZE<br>D MEDICAL<br>INTERVENTION<br>FOR A DISEASE<br>STATE | 14473881              | 8/29/2014      | Issued | United States | US<br>9,372,19<br>3 | 6/21/2016            |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 12/658,770            | 2/12/2010      | Issued | United States | US<br>8,768,62<br>9 | 7/1/2014             |

| Grantor | Title                                | Application<br>Number | Filing<br>Date | Status  | Jurisdiction  | Patent<br>Number    | Registration<br>Date |
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| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER | 14175728              | 2/7/2014       | Issued  | United States | US<br>9,053,22<br>4 | 6/9/2015             |
| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER | 14175781              | 2/7/2014       | Issued  | United States | US<br>9,092,39<br>2 | 7/28/2015            |
| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER | 14175800              | 2/7/2014       | Issued  | United States | US<br>9,064,04<br>5 | 6/3/2015             |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 14187008              | 2/21/2014      | Issued  | United States | US<br>9,058,41<br>8 | 6/16/2015            |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 14187015              | 2/21/2014      | Issued  | United States | US<br>9,292,66<br>0 | 3/22/2016            |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 14249261              | 4/9/2014       | Issued  | United States | US<br>9,322,06<br>7 | 4/26/2016            |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 14551364              | 11/24/201<br>4 | Issued  | United States | US<br>9,389,23<br>4 | 7/12/2016            |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 14687831              | 4/15/2015      | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15093634              | 4/7/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15093653              | 4/7/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15093668              | 4/7/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15094434              | 4/8/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15094457              | 4/8/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15094470              | 4/8/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15094486              | 4/8/2016       | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS  | 15094507              | 4/8/2016       | Pending | United States |                     |                      |

| Grantor | Title   | Application<br>Number | Filing<br>Date | Status  | Jurisdiction  | Patent<br>Number | Registration<br>Date |
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| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15094520              | 4/8/2016       | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099422              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099442              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099454              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099466              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099512              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099517              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15099530              | 4/14/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15192792              | 6/24/2016      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>TUMORS   | 15494018              | 4/21/2017      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER  | 15294493              | 10/14/201<br>6 | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING OF<br>IMMUNE<br>MODULATORS                             | 15115617              | 7/29/2016      | Pending | United States |                  |                      |
| CSI     | METHOD, APPARATUS, AND COMPUTER PROGRAM PRODUCT FOR ANALYZING BIOLOGICAL DATA | 15762932              | 3/23/2018      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING FOR<br>CANCER  | 15555378              | 9/1/2017       | Pending | United States |                  |                      |

| Grantor | Title                          | Application<br>Number | Filing<br>Date | Status  | Jurisdiction  | Patent<br>Number    | Registration<br>Date |
|---------|--------------------------------|-----------------------|----------------|---------|---------------|---------------------|----------------------|
| MPI     | TUMOR<br>MUTATION<br>PROFILING | 62622679              | 1/26/2018      | Pending | United States |                     |                      |
| MPI     | TUMOR<br>MUTATION<br>PROFILING | 62631381              | 2/15/2018      | Pending | United States |                     |                      |
| MPI     | MOLECULAR<br>PROFILING         | 62682444              | 6/8/2018       | Pending | United States |                     |                      |
| CSI     |                                | 12/524,462            | 7/25/2008      | Issued  | United States | 8,216,78<br>4       | 7/10/2012            |
| CSI     |                                | 12/711,499            | 2/24/2010      | Issued  | United States | 8,637,25<br>4       | 1/28/2014            |
| CSI     |                                | 14/477,907            | 10/10/200      | Issued  | United States | 9758811             | 9/12/2017            |
| CSI     |                                | 12/739,087            | 10/22/200      | Issued  | United States | US<br>9,315,80<br>4 | 4/19/2016            |
| CSI     |                                | 15/381,668            | 12/16/201<br>6 | Pending | United States |                     |                      |
| CSI     |                                | 14438172              | 10/23/201      | Allowed | United States | 9,958,44<br>8       | 5/1/2018             |
| CSI     |                                | 15913772              | 3/6/2018       | Pending | United States |                     |                      |
| CSI     |                                | 14652728              | 6/16/2015      | Allowed | United States | 9939443             | 4/10/2018            |
| CSI     |                                | 15913415              | 3/6/2018       | Pending | United States |                     |                      |
| CSI     |                                | 14915249              | 8/28/2014      | Pending | United States |                     |                      |
| CSI     |                                | 15528417              | 11/23/201 5    | Pending | United States |                     |                      |
| CSI     |                                | 15258962              | 9/7/2016       | Allowed | United States | 9910045             | 3/6/2018             |
| CSI     |                                | 15871313              | 1/15/2018      | Pending | United States |                     |                      |
| CSI     |                                | 15669782              | 10/10/200      | Pending | United States |                     |                      |
| CSI     |                                | 15738564              | 12/20/201<br>7 | Pending | United States |                     |                      |
| CSI     |                                | 62595954              | 12/7/2017      | Pending | United States |                     |                      |
| CSI     |                                | 15745407              | 1/16/2018      | Pending | United States |                     |                      |
| CSI     |                                | 15557010              | 9/8/2017       | Pending | United States |                     |                      |
| CSI     |                                | 62559238              | 9/15/2017      | Pending | United States |                     |                      |
| CSI     |                                | 62561145              | 9/20/2017      | Pending | United States |                     |                      |
| CSI     |                                | 62640503              | 3/8/2018       | Pending | United States |                     |                      |
| CSI     |                                | 62665361              | 5/1/2018       | Pending | United States |                     |                      |
| CSI     |                                | 62700696              | 7/19/2018      | Pending | United States |                     |                      |
| CSI     |                                | 62571188              | 10/11/201<br>7 | Pending | United States |                     |                      |
| CSI     |                                | 62650522              | 3/30/2018      | Pending | United States |                     |                      |
| CSI     |                                | 62682018              | 6/7/2018       | Pending | United States |                     |                      |
| CSI     |                                | 62725876              | 8/31/2018      | Pending | United States |                     |                      |
| CSI     |                                | 62653445              | 4/5/2018       | Pending | United States |                     |                      |

| Grantor | Title  | Application<br>Number | Filing<br>Date | Status  | Jurisdiction  | Patent<br>Number | Registration<br>Date |
|---------|--|-----------------------|----------------|---------|---------------|------------------|----------------------|
| CSI     | Methods and<br>systems of using<br>exosomes for<br>determining<br>phenotypes | 12591226              | 11/12/200      | Issued  | United States | 7897356          | 3/1/2011             |
| CSI     | Methods for<br>assessing RNA<br>patterns                                     | 12609847              | 10/30/200      | Issued  | United States | 7888035          | 2/15/2011            |
| CSI     | Methods for<br>assessing RNA<br>patterns                                     | 12963468              | 12/8/2010      | Issued  | United States | 8192954          | 6/5/2012             |
| CSI     | Methods and<br>systems of using<br>exosomes for<br>determining<br>phenotypes | 13009285              | 1/19/2011      | Issued  | United States | 8211653          | 7/3/2012             |
| CSI     | METHODS AND SYSTEMS OF USING EXOSOMES FOR DETERMINING PHENOTYPES             | 13009393              | 1/19/2011      | Issued  | United States | 8278059          | 10/2/2012            |
| CSI     | Biomarkers for theranostics  | 13581795              | 3/1/2011       | Issued  | United States | 9128101          | 9/8/2015             |
| CSI     | CIRCULATING<br>BIOMARKERS<br>FOR<br>METASTATIC<br>PROSTATE<br>CANCER         | 13639756              | 4/24/2013      | Issued  | United States | 9469876          | 10/18/2016           |
| CSI     | APTAMERS<br>AND USES<br>THEREOF  | 15905247              | 2/26/2018      | Pending | United States |                  |                      |
| CSI     | COMPOSITIONS<br>AND METHODS<br>FOR APTAMER<br>SCREENING                      | 15905285              | 2/26/2018      | Pending | United States |                  |                      |
| MPI     | MOLECULAR<br>PROFILING   | 62860080              | 6/18/2019      | Pending | United States |                  |                      |

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