### 506006022 04/08/2020

### PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6052733

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JOSHUA KA-WING LEE	03/30/2020
GREGORY WORNELL	04/03/2020

#### **RECEIVING PARTY DATA**

Name:	MASSACHUSETTS INSTITUTE OF TECHNOLOGY	
Street Address:	77 MASSACHUSETTS AVENUE	
City:	CAMBRIDGE	
State/Country:	MASSACHUSETTS	
Postal Code:	02139	

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16843173

#### **CORRESPONDENCE DATA**

**Fax Number:** (860)286-0115

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** (860)286-2929

Email: USPTOPATENTMAIL@CANTORCOLBURN.COM

Correspondent Name: CANTOR COLBURN LLP
Address Line 1: 20 CHURCH STREET

Address Line 2: 22ND FLOOR

Address Line 4: HARTFORD, CONNECTICUT 06103

ATTORNEY DOCKET NUMBER:	P201908313US01
NAME OF SUBMITTER:	DAVID K. KINCAID
SIGNATURE:	/dkk/
DATE SIGNED:	04/08/2020
	This document serves as an Oath/Declaration (37 CFR 1.63).

**Total Attachments: 4** 

source=8IS1844#page1.tif source=8IS1844#page2.tif source=8IS1844#page3.tif

PATENT 506006022 REEL: 052348 FRAME: 0360

source=8IS1844#page4.tif

PATENT REEL: 052348 FRAME: 0361

# DECLARATION (37 C.F.R. § 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. § 1.76) AND ASSIGNMENT

## Title of Invention: MULTI-SOURCE TRANSFER LEARNING FROM PRE-TRAINED NETWORKS

As a below named and undersigned inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
[X] United States application or PCT international application number 16/843,173 filed on April 8, 2020 .
The above-identified application was made or authorized to be made by me.
I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
I have reviewed and understand the contents of the application, including the claims.
I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the MIT Docket Number provided above in the header of this document;

Whereas, Massachusetts Institute of Technology (herein referred to as the "ASSIGNEE"), an Institute of Massachusetts having a place of business at Cambridge, Massachusetts, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns.

Page 1 of 2

whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns,

Assignment to the ASSKINEE and an unaware of any reason why I may not have the full and uncucumovered fivil to sen, assign, and transfer my figure notes out assignment to the ASSKINEE and an unaware of any reason why I may not have the full and uncucumovered fivil to sen, assign, and transfer my figure notes noted some assignment, and transfer of any more executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNMEE, its successors, icear representances, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or destrance for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of Massachuseus, and any disputes will be resolved in a Massachuseus state court or federal court sited in Massachuseus.

I neredy acknowledge that any withful talse statement made in this declaration is ministrable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

Signature:	Date: 30/63/20
(i) Legal Name of Inventor: Joshua Ka-Wing Lee	
Winness (1):	Date:
Witness (2):	Date
Signature:	Dare:
(2) Legal Name of inventor: Gregory Wornell	
Witness (1):	Date:
Witness (2):	Date:

# DECLARATION (37 C.F.R. § 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. § 1.76) AND ASSIGNMENT

## Title of Invention: MULTI-SOURCE TRANSFER LEARNING FROM PRE-TRAINED NETWORKS

As a below named and undersigned inventor, I hereby declare that:
This declaration is directed to the attached application, or (if following box is checked):
X United States application or PCT international application number 16/843,173  filed on April 8, 2020
The above-identified application was made or authorized to be made by me.
believe that I am the original inventor or an original joint inventor of a claimed invention in the application.
have reviewed and understand the contents of the application, including the claims.
am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

Whereas, I ("ASSIGNOR") have made certain inventions, improvements, and discoveries (herein referred to as the "Invention") disclosed in the above-identified patent application and further identified by the MIT Docket Number provided above in the header of this document;

Whereas, Massachusetts Institute of Technology (herein referred to as the "ASSIGNEE"), an Institute of Massachusetts having a place of business at Cambridge, Massachusetts, desires to acquire, and I desire to grant to the ASSIGNEE, my entire worldwide right, title, and interest in and to the Invention and in and to any and all patent applications and patents directed thereto;

Now, therefore, for good and valuable consideration, the receipt and sufficiency thereof being hereby acknowledged, I hereby sell or have sold, assign or have assigned, and otherwise transfer or have transferred to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the Invention, the above-identified United States patent application, and any and all other patent applications and patents for the Invention which may be applied for or granted therefor in the United States and in all foreign countries and jurisdictions, including all divisions, continuations, reissues, reexaminations, renewals, extensions, counterparts, substitutes, and extensions thereof, and all rights of priority resulting from the filing of such applications and granting of such patents. In addition, I hereby authorize and request the Director of the United States Patent and Trademark Office to issue any United States Patent, and foreign patent authorities to issue any foreign patent, granted for the Invention, to the ASSIGNEE, its successors, legal representatives, and assigns, my entire worldwide right, title, and interest in and to the same to be held and enjoyed by the ASSIGNEE, its successors, legal representatives, and assigns to the full end of the terms for which any and all such patents may be granted, as fully and entirely as would have been held and enjoyed by me had this Assignment not been made; and I agree to execute any and all documents and instruments and perform all lawful acts reasonably related to recording this Assignment or perfecting title to the Invention and all related patents and applications, in the ASSIGNEE, its successors, legal representatives, and assigns,

Page 1 of 2

MIT DOCKET NUMBER: 22121J

whenever requested by the ASSIGNEE, its successors, legal representatives, or assigns.

I acknowledge my prior and ongoing obligations to sell, assign, and transfer my rights under this Assignment to the ASSIGNEE and am unaware of any reason why I may not have the full and unencumbered right to sell, assign, and transfer my rights hereby sold, assigned, and transferred, and have not executed, and will not execute, any document or instrument in conflict herewith. I also hereby grant the ASSIGNEE, its successors, legal representatives, and assigns, the right to insert in this Assignment any further identification (including, but not limited to, patent Application Number) which may be necessary or desirable for recordation of this Assignment. This Assignment is governed by the substantive laws of the State of Massachusetts, and any disputes will be resolved in a Massachusetts state court or federal court sited in Massachusetts.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. § 1001 by fine or imprisonment of not more than five (5) years, or both.

Signature:	Date:
(1) Legal Name of Inventor: Joshua Ka-Wing Lee	
Witness (1):	Date:
Witness (2):	Date:
Signature:	Date: 4/3/2020
(2) Legal Name of Inventor: Gregory Wornell	
Witness (1):	Date:
Witness (2):	Date: