

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6051010

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CORRECTION PREVIOUSLY RECORDED ASSIGNMENT IMPROPERLY FILED BY 3RD PARTY AGAINST OWNER'S APPLICATION	
CONVEYING PARTY DATA		
	Name	Execution Date
	NORTHERN THERAPEUTICS, INC.	04/26/2007
RECEIVING PARTY DATA		
Name:	NORTHERN THERAPEUTICS, INC.	
Street Address:	1801 MCGILL COLLEGE AVENUE	
Internal Address:	SUITE 1325	
City:	MONTREAL, QUEBEC	
State/Country:	CANADA	
Postal Code:	H3A 2N4	
PROPERTY NUMBERS Total: 1		
	Property Type	Number
	Application Number:	15806539
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	713 426 3923	
Email:	uspto@rameyfirm.com	
Correspondent Name:	RAMEY & SCHWALLER, LLP	
Address Line 1:	5020 MONTROSE BLVD.	
Address Line 2:	SUITE 800	
Address Line 4:	HOUSTON, TEXAS 77006	
ATTORNEY DOCKET NUMBER:	20200.0002.DVUS01	
NAME OF SUBMITTER:	MELISSA D. SCHWALLER	
SIGNATURE:	/Melissa D. Schwaller/	
DATE SIGNED:	04/07/2020	
Total Attachments: 8		
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source=2020-04-07StmtRequestCorrectionImproperAssignee#page2.tif		

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source=Improper assignment-pat-52022-315 16806539#page2.tif
source=Improper assignment-pat-52022-315 16806539#page3.tif
source=Stmt3-73aia0096#page1.tif
source=Stmt3-73aia0096#page2.tif
source=Executed_Parent_Assignment#page1.tif

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Duncan John Stewart	Atty. Docket No. 20200.0002.DVUS01
U.S. Application No. 15/806,539	Confirmation No.: 1275
Filed: November 8, 2017	Examiner: Robert M. Kelly
Title: Cell-Based Therapy For The Pulmonary System	Group Art Unit: 1633
Assignee: Northern Therapeutics, Inc.	

**REQUEST FOR ASSIGNMENT CORRECTION IMPROPERLY FILED AND RECORD
BY ANOTHER PARTY AGAINST OWNER'S APPLICATION
PURSUANT TO MPEP 323.01(C)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sirs:

I do hereby state that:

1. Attached as Exhibit "A" is a copy of the Assignment Cover Sheet which identifies the referenced Application No. 15/806,539 against which the Assignment incorporated by reference herein was improperly recorded. Page one of the Assignment document identifies what is believed to be the patent application number intended to be assigned to Oracle International Corporation, namely 16/809,539.
2. Applicant believes that a typographical error occurred and that the previously recorded document was submitted with erroneous information, namely Applicant's application number referenced above.
3. Applicant asserts that the Assignment document recorded at Reel/Frame 052022/0315 on March 5, 2020 was in error and improperly recorded against Applicant's patent application.
4. The true chain of title is referenced on Assignee's Ownership Statement Under 3.73(c), a copy of which is attached hereto. Ownership has been demonstrated from the Inventor, Duncan John Stewart to Applicant, Northern Therapeutics, Inc. who continues to be the owner of the subject application.

5. Applicant hereby requests correction of the Assignment document improperly filed and recorded by another party against Owner's application pursuant to MPEP 323.01(c).

6. The Commissioner is authorized to charge our Deposit Account No. 60-2539 for any required fees. In order to facilitate the resolution of any issues or questions presented by this paper, the undersigned may be contacted by phone to further the discussion.

Respectfully submitted,

Date: April 6, 2020

/Melissa D. Schwaller/
Registration No. 46,089
Attorney for Applicant
Ramey & Schwaller, LLP
5020 Montrose Blvd, Suite 800
Houston, TX 77006
Tel 713-426-3923
docketing@rameyfirm.com

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT5997824

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
CONVEYING PARTY DATA		
	Name	Execution Date
	MANISH DAGA	02/27/2020
	SHIVARANJAN GURUNANJAPPA	02/27/2020
RECEIVING PARTY DATA		
Name:	ORACLE INTERNATIONAL CORPORATION	
Street Address:	500 ORACLE PARKWAY	
Internal Address:	MAIL STOP 50P7	
City:	REDWOOD SHORES	
State/Country:	CALIFORNIA	
Postal Code:	94065	
PROPERTY NUMBERS Total: 1		
Property Type		Number
Application Number:		15806539
CORRESPONDENCE DATA		
Fax Number:		
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>		
Phone:	703-282-3359	
Email:	patents@potomacclaw.com,smcvean@potomacclaw.com	
Correspondent Name:	POTOMAC LAW GROUP, PLLC	
Address Line 1:	8229 BOONE BOULEVARD	
Address Line 2:	SUITE 430	
Address Line 4:	TYSONS CORNER, VIRGINIA 22182	
ATTORNEY DOCKET NUMBER:	2011-0600US01	
NAME OF SUBMITTER:	SONIA MCVEAN	
SIGNATURE:	/Sonia McVean/	
DATE SIGNED:	03/05/2020	
Total Attachments: 2		
source=2011-0600US01-Assignment#page1.tif		
source=2011-0600US01-Assignment#page2.tif		

ASSIGNMENT OF APPLICATION FOR PATENT

WHEREAS:

- (A) Manish DAGA, residing in Karnataka, India; and
- (B) Shivararjan GURUNANJAPPA, residing in Karnataka, India;

(hereinafter referred to as ASSIGNOR(S)), has made a discovery and/or invention entitled:

Insights for Multi-Dimensional Planning Data

- ☒ for which application for Letters Patent of the United States has been executed on even date herewith,
- ☒ for which application for Letters Patent of the United States has been filed **March 2, 2020**, under Application No. **16/806,539**, and

WHEREAS:

Oracle International Corporation, a corporation of California, having a business address of 500 Oracle Parkway, Mail Stop 50P7, Redwood Shores, CA 94065

and which, together with its successors, assigns, and legal representatives, is hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefore in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN:

Be it known that for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S) hereby sells, assigns and transfers to ASSIGNEE, the full and exclusive right, title and interest to said discovery or invention in the United States and its territorial possessions and in all foreign countries and to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, continuation-in-part, divisional, reexamination, renewal, substitute, reissue and/or any application claiming priority thereof, including any legal equivalent thereof, in a foreign country for the full term or terms for which the same may be granted.

I, SAID ASSIGNOR(S), hereby authorize and request the Commissioner of Patents and Trademarks of the United States of America and any Official of any country or countries foreign to the United States of America whose duty it is to issue Letters Patent on applications as aforesaid, to issue all such Letters Patent for said discovery or invention to the ASSIGNEE, as assignee of the entire right, title and interest in, to and under the same, for the sole use and benefit of the ASSIGNEE in accordance with the terms of this instrument.


I, SAID, ASSIGNOR(S), hereby covenant with ASSIGNEE that no assignment, grant, mortgage, license, or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned and that I have full right to convey the entire right, title and interest

herein sold, assigned, transferred and set over;

AND I, SAID ASSIGNOR(S) hereby further covenant and agree that the ASSIGNEE may apply for foreign Letters Patent on said discovery or invention and claim the benefits of the International Convention, and that I will, at any time, when called upon to do so by the ASSIGNEE communicate to the ASSIGNEE as the case may be, any facts known to me respecting said discovery or invention, and execute and deliver any and all lawful papers that may be necessary or desirable to perfect the title to the said discovery or invention, the said applications and the said Letters Patent in the ASSIGNEE and that if reissues or reexaminations of the said Letters Patent or disclaimers relating thereto, or divisionals, continuations, continuation-in-parts of the said applications shall hereafter be desired by the ASSIGNEE, I will, at any time, when called upon to do so by the ASSIGNEE sign all lawful papers, make all rightful oaths, execute and deliver all such disclaimers and all divisional, continuation, continuation-in-part, reexamination, and reissue applications so desired, and do all lawful acts requisite for the application for such continuations, continuation-in-parts, divisionals, reexaminations, or reissues and the procuring thereof and for the filing of such disclaimers and such applications, and generally do everything possible to aid the ASSIGNEE to obtain and enforce proper patent protection for said invention or discovery in all countries, all without further compensation but at the expense of the ASSIGNEE.

ASSIGNOR hereby authorizes and requests the prosecuting law firm to insert herein above the application number and filing date of said application when known.

Inventor(s): Please Sign and Date Below:

(A) 
Manish DAGA

Date: 27/02/2020

(B) 
Shivarajan GURUNANJAPPA

Date: 27/02/2020

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(c)Applicant/Patent Owner: Northern Therapeutics, Inc.Application No./Patent No.: 15806539Filed/Issue Date: November 8, 2017Titled: Cell-Based Therapy for the Pulmonary SystemNorthern Therapeutics Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that, for the patent application/patent identified above, it is (choose **one** of options 1, 2, 3 or 4 below):

1. ☒ The assignee of the entire right, title, and interest.
2. ☐ An assignee of less than the entire right, title, and interest (check applicable box):
- ☐ The extent (by percentage) of its ownership interest is _____%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for 100% of the ownership interest.
- ☐ There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

3. ☐ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.

4. ☐ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1, 2 or 3 above (not option 4) is evidenced by either (choose **one** of options A or B below):

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 019464, Frame 0010, or for which a copy thereof is attached.
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:
1. From: _____ To: _____
- The document was recorded in the United States Patent and Trademark Office at
- Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
- The document was recorded in the United States Patent and Trademark Office at
- Reel _____, Frame _____, or for which a copy thereof is attached.

[Page 1 of 2]

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT**REEL: 052350 FRAME: 0274**

STATEMENT UNDER 37 CFR 3.73(c)

3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

4. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

5. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

6. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at
 Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).



As required by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/William P. Ramey/

Signature

William P. Ramey

Printed or Typed Name

April 6, 2020

Date

44,295

Title or Registration Number

ASSIGNMENT

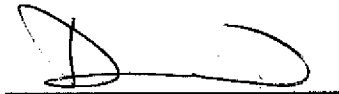
WHEREAS *STEWART, Duncan John*, whose full post office address is *St. Michael's Hospital, 30 Bond Street, Room 6-050k, Queen Wing, Toronto, Ontario M5B 1W8 CANADA*, respectively has made an invention entitled **CELL-BASED GENE THERAPY FOR THE PULMONARY SYSTEM** which is the subject of U.S. Patent Application.No. 11/696,039 filed April 3, 2007;


AND WHEREAS, **Northern Therapeutics Inc.**, whose full post office address is *1801 McGill College Avenue, Suite 1325, Montreal, Quebec H3A 2N4 CANADA*, has acquired from the said *STEWART, Duncan John* the whole of his respective right, title and interest in and to the invention for U.S., and in and to his interest in any Letters Patent of U.S. that may be obtained therefore;

NOW THEREFORE, for the sum of One Dollar and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the said *STEWART, Duncan John* confirms that he has sold, assigned and transferred and by these presents do hereby sell, assign and transfer to *Northern Therapeutics Inc.* their successors and assigns, their whole right, title and interest for U.S., in and to the invention as fully set forth and described in said U.S. patent applications, and in and to any Letters Patent of any country that may be obtained therefore;

The Assignor grants to Ridout & Maybee LLP the power to insert on this assignment any further indication which may be necessary to comply with the requirements of the Patent Office for its recordal of said patent application.

EXECUTED at TORONTO, Canada, this 26th day of APRIL, 2007.


STEWART, Duncan John


Witness