

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6053538

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	
SEQUENCE:	2	
CONVEYING PARTY DATA		
Name		Execution Date
NICO PUFF CORPORATION		01/31/2018
RECEIVING PARTY DATA		
Name:	PHILIP MORRIS PRODUCTS S.A.	
Street Address:	QUAI JEANRENAUD 3	
City:	NEUCHATEL	
State/Country:	SWITZERLAND	
Postal Code:	2000	
PROPERTY NUMBERS Total: 22		
Property Type	Number	
Application Number:	14681859	
Application Number:	61976712	
Application Number:	15566372	
Application Number:	62147798	
Application Number:	15566351	
Application Number:	62147803	
Application Number:	14593633	
Application Number:	14856116	
Application Number:	16521913	
Application Number:	15566412	
Application Number:	62147808	
Application Number:	14856129	
Application Number:	15566426	
Application Number:	62148030	
Application Number:	14856102	
Application Number:	15452133	
Application Number:	16214754	
Application Number:	15646205	
Application Number:	62365201	

PATENT

Property Type	Number
Application Number:	62450327
Application Number:	16636743
Application Number:	62544163

CORRESPONDENCE DATA

Fax Number: (612)305-1228
Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 612-305-1873
Email: swigant@mrsgs.com
Correspondent Name: BRIAN C WHIPPS
Address Line 1: PO BOX 581336
Address Line 4: MINNEAPOLIS, MINNESOTA 55458

ATTORNEY DOCKET NUMBER:	0442.A00000US00
NAME OF SUBMITTER:	BRIAN C WHIPPS
SIGNATURE:	/Brian C Whipps/
DATE SIGNED:	04/09/2020

Total Attachments: 13
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ASSIGNMENT

THIS ASSIGNMENT, is made and entered into on February 1, 2018 by **NICO PUFF Corporation**, a corporation existing under the *Canada Business Corporations Act* (pursuant to Articles of Arrangement and as the result of the amalgamation of **NICO PUFF Corporation and SANSA Corporation**) with offices at 181 Bay Street, Suite 4400, Toronto, Ontario, Canada M5J 2T3 (hereinafter referred to as "Assignor"), and **Philip Morris Products S.A.**, a company incorporated in Switzerland, with offices at Quai Jean Renaud, 3 Neuchâtel, Switzerland (hereinafter referred to together with its successors, heirs, and assigns, as the "Assignee").

REDACTED

WHEREAS, Assignor is desirous of transferring, and Assignee is desirous of acquiring, including, without limitation, all rights, title, and interests in and to the scheduled patent assets and inventions, in accordance with the terms and conditions set forth herein and in the Agreement.

NOW, THEREFORE, in consideration of the foregoing, the purchase price paid in accordance with the Agreement and the sum of One Dollar (\$1.00) paid by Assignee to Assignor, and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor and Assignee, intending to be legally bound, hereby agree as follows:

1. Assignor hereby sells, assigns, transfers and delivers to Assignee, and Assignee hereby accepts, all rights, titles and interests throughout the world, to and under the scheduled patent assets and inventions.
2. Assignor hereby agrees to promptly at any time and from time to time, upon a request by or on behalf of Assignee, execute all papers and documents, take all lawful oaths, and undertake any and all other acts necessary or desirable to enable the Assignee to procure, maintain, enforce and defend any and all of the assigned rights and otherwise enjoy the full rights of this Assignment.
3. Assignor hereby authorizes and requests officials throughout the world whose duty it is to register and record ownership in intellectual property rights, to record Assignee as the sole and exclusive assignee and owner of any and all of the assigned rights.

[Signature Pages Follow]

IN WITNESS WHEREOF, each of the parties have caused this Assignment to be duly executed and delivered as of the date specified.

ASSIGNOR

NICO PUFF Corporation

By: [Signature]

Name: Steven Ellis

Title: President

Date: January 31, 2018

SWORN TO AND SUBSCRIBED before me this 31st day of January, 2018

[Signature]
Notary Public

My commission expires: _____

ASSIGNEE

Philip Morris Products S.A.

By: _____

Name: _____

Title: _____

Date: _____

SWORN TO AND SUBSCRIBED before me this ____ day of _____, 2018

Notary Public

My commission expires: _____

PATENT

REEL: 052353 FRAME: 0385

ASSIGNEE

Philip Morris Products S.A.

By: _____

Name: _____

Title: _____

Date: _____

SWORN TO AND SUBSCRIBED before me this ____ day of _____, 2018

Notary Public

My commission expires: _____

IN WITNESS WHEREOF, each of the parties have caused this Assignment to be duly executed and delivered as of the date specified.

ASSIGNOR

NICO PUFF Corporation

By: _____

Name: Steven Ellis

Title: President

Date: _____

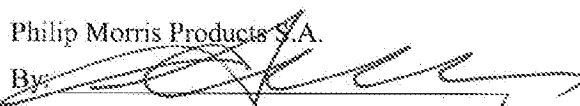
SWORN TO AND SUBSCRIBED before me this ____ day of _____, 2018

Notary Public

My commission expires: _____

ASSIGNEE

Philip Morris Products S.A.

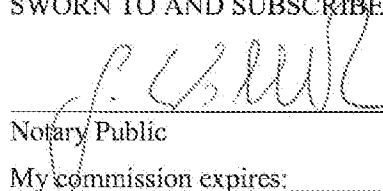
By: 

Name: EDWARD KIERNAN

Title: DIRECTOR, IP

Date: 31 Jan 2018

SWORN TO AND SUBSCRIBED before me this 7th day of March, 2018


Notary Public

My commission expires: _____



ASSIGNEE

Philip Morris Products S.A.

By: [Signature]

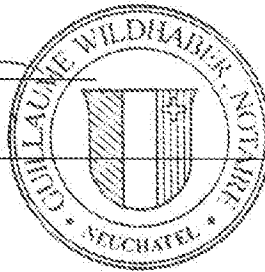
Name: LUCAS ROSSI

Title: DIRECTOR R&D

Date: 21 Jan 2018

SWORN TO AND SUBSCRIBED before me this 21st day of March, 2018

[Signature]
Notary Public
My commission expires: _____



AUTHENTICATION -----

I, Guillaume WILDHABER, notary public in Neuchâtel (Switzerland), authenticate the signatures set before me, on the attached document, of: -----

- Mister **Edward Philip KIERNAN**, born on 18.08.1974, from the United States of America, holder of US passport nb 437479372, living in Lausanne/VD (Switzerland), -----
- Mister **Luca ROSSI**, born on 29.01.1969, from Switzerland, holder of swiss passport nb X4026521, living in Corseaux. -----

Neuchâtel (Switzerland), the seventh of March two thousand and eighteen (07.03.2018). ---

ACTE EN MINUTE. Rép.Gén.Vol. 18, N° 146 II-----



SCHEDULE

Docket No.	Country	Type	Application No.	Registration No.	Title	Status
	US	Utility	09/126223	6,102,036	Breath activated inhaler	Expired
REDACTED						
	US	Utility	09/759304	—	Method of producing a nicotine medicament and a medicament made by the method	Abandoned
	US	Utility	10/320479	—	Method of producing a nicotine medicament and a medicament made by the method	Abandoned
	US	Utility	11/673813	—	Method of producing a nicotine medicament and a medicament made by the method	Abandoned
P34827US04	US	Utility	13/270682	—	Method of producing a nicotine medicament and a medicament made by the method	Abandoned
REDACTED						

REDACTED

Pr972US00

US

Utility

09/134538

US 6,234,169
B1

Inhaler

Granted

REDACTED

206076-0001-
P1-US.602947

US

Provisional

61/976,712

--

Nicotine Formulations and
Methods of Making the Same

Expired

206076-0001-
00-US.604370

US

Utility

14/681,859

--

Nicotine Formulations and
Methods of Making the Same

Pending

REDACTED

REDACTED

206076-0006-
P1-US.603980

US

Provisional

62/147,798

--

Dry Powder Inhalers with
Partial Dosage Delivery

Expired

REDACTED

206076-0006-
00-US.605234

US

Utility

15/566,372

--

Dry Powder Inhalers with
Partial Dosage Delivery

Pending

206076-0008-
00-P1.603982

US

Provisional

62/147,803

--

Dual Resistance Dry Powder
Inhaler

Expired

REDACTED

REDACTED

206076-0008- 00-US 603236	US	Utility	15/566,351	--	Dual Resistance Dry Powder Inhaler	Pending
206076-0009- 00-US 603983	US	Utility	14/593,633	--	Nicotine - Diketopiperazine Microparticle Formulations and Methods of Making the Same	Pending
Nicotine - Diketopiperazine						

REDACTED

206076-0010- 00-US 603984	US	Utility	14/856,116	--	System and Method for Controlling the Harshness of Nicotine-Based Dry Powder Formulations	Pending
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REDACTED

206076-0011- P1-US 604050	US	Utility	62/147,806	--	Pressure Actuated Elastomeric Valve	Expired
206076-0012- P1-US 604193	US	Provisional	62/147,808	--	Dry Powder Inhaler and Method of Use	Expired

REDACTED

206076-0012-00-US.605240	US	Utility	15/566,412	--	Dry powder inhaler and method of use	Pending
REDACTED						
206076-0013-00-US.604203	US	Utility	14/836,129	--	Nicotine Formulations and Methods of Making and Using the Same	Pending
REDACTED						
206076-0015-00-P1.604386	US	Provisional	62/148,030	--	Flavoring element for an inhalation device	Expired
REDACTED						
206076-0015-00-US.605242	US	Utility	15/566,426	--	Flavoring element for an inhalation device	Pending
REDACTED						
206076-0016-00-US.604748	US	Utility	14/836,102	US 9,585,835 B1	Inhalable Nicotine Formulations, and Methods of Making and Using Thereof	Granted
206076-0016-01-US.606030	US	Utility	15/452,133	--	Inhalable Nicotine Formulations, and Methods of Making and Using Thereof	Pending
REDACTED						

REDACTED

206076-0022- P1-US.605467	US	Provisional	62/365,201	--	Dry Powder Inhaler	Expired
206076-0022- P2-US.605872	US	Provisional	62/450,327	--	Dry Powder Inhaler	Pending
206076-0022- 00-US.606498	US	Utility	15/646,205	--	Dry Powder Inhaler	Pending

REDACTED

REDACTED

206076-0025- PI-US 606675	US	Provisional	62/544,163	--	Vapor Insert	Pending
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