506009796 04/10/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6056506

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
DANIEL DAVID MCCARTHY	04/07/2020

RECEIVING PARTY DATA

Name:	NETAPP, INC.
Street Address:	1395 CROSSMAN AVE.
City:	SUNNYVALE,
State/Country:	CALIFORNIA
Postal Code:	94089

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16845786

CORRESPONDENCE DATA

Fax Number: (617)951-3927

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 617-951-2500 Email: docket@c-m.com

Correspondent Name: CESARI AND MCKENNA, LLP/NETAPP, INC.

Address Line 1: ONE LIBERTY SQUARE

Address Line 2: **SUITE 310**

Address Line 4: **BOSTON, MASSACHUSETTS 02109**

ATTORNEY DOCKET NUMBER:	112056-0693
NAME OF SUBMITTER:	ANTHONY C. TOPPER
SIGNATURE:	/Anthony C. Topper/
DATE SIGNED:	04/10/2020

Total Attachments: 4

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> **PATENT REEL: 052367 FRAME: 0613** 506009796

ASSIGNMENT

Whereas I, Daniel David McCarthy, whose residence address is Erie, CO, has made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled UPDATING NO SYNC TECHNIQUE FOR ENSURING CONTINUOUS STORAGE SERVICE IN EVENT OF DEGRADED CLUSTER STATE, identified by Cesari and McKenna File No. 112056–0693 / P= 011796-US, which application was enclosed on even date; and

Whereas NetApp, Inc., whose address is 1395 Crossman Ave., Sunnyvale, CA, 94089, and which, together with its successors and assigns, is hereinafter called "Assignee," is desirous of acquiring the title, rights, benefits, and privileges hereinafter recited;

Now, Therefore, for valuable consideration furnished by Assignee to me, receipt and sufficiency of which I hereby acknowledge, I, hereby, without reservation:

- 1. Assign, transfer and convey to Assignee my entire right, title, and interest in and to said inventions and discoveries, said application for Letters Patent of the United States of America, any and all other applications for Letters Patent on said inventions and discoveries in whatsoever countries, including all provisional, divisional, renewal, substitute, continuation, continuation-in-part, and Convention applications based in whole or in part upon said inventions or discoveries, or upon said applications, and any and all Letters Patent, reissues, reexaminations, and extensions of Letters Patent granted for said inventions and discoveries or upon said applications, and every priority right that is or may be predicated upon or arise from said inventions, said discoveries, said applications, and said Letters Patent;
- 2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise:
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignce, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
- 5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made;

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and particularly to execute and deliver to Assignee all lawful application documents including petitions, specifications, and oaths, and all assignments, disclaimers, and lawful affidavits in form and substance as may be requested by Assignee; to communicate to Assignee all facts known to me relating to said inventions and discoveries or the history thereof; and to furnish Assignee with any and all documents, photographs, models, samples, and other physical exhibits in my control or in the control of my heirs, legal representatives or assigns which may be useful for establishing the facts of my conceptions, disclosures, and reduction to practice of said inventions and discoveries.

In testimony of which I have executed this Assignment on the date indicated next to my name.

Apr 7, 2020	Daniel McCarthy Vaniel McCarthy (Apr 1, 2028)
Date	Daniel David McCarthy, Inventor
Date	NetApp, Inc., Assignee

ASSIGNMENT

Whereas I, Daniel David McCarthy, whose residence address is Erie, CO, has made certain inventions or discoveries (or both) set forth in an application for Letters Patent of the United States of America entitled UPDATING NO SYNC TECHNIQUE FOR ENSURING CONTINUOUS STORAGE SERVICE IN EVENT OF DEGRADED CLUSTER STATE, identified by Cesari and McKenna File No. 112056–0693 / P= 011796-US, which application was enclosed on even date; and

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- 2. Authorize Assignee to file patent applications in any or all countries on any or all of said inventions and discoveries in my name or in the name of Assignee or otherwise as Assignee may deem advisable, under the International Convention or otherwise:
- 3. Authorize and request the Commissioner of Patents and Trademarks of the United States of America and the empowered officials of all other governments to issue or transfer all said Letters Patent to Assignee, as assignee of the entire right, title, and interest therein or otherwise as Assignee may direct;
- 4. Warrant that I have not knowingly conveyed to others any right in said inventions, discoveries, applications, or patents or any license to use the same or to make, use, or sell anything embodying or utilizing any of said inventions or discoveries; and that I have good right to assign the same to Assignee without encumbrance;
- 5. Bind my heirs, legal representatives and assigns, as well as myself, to do, upon Assignee's request and at Assignee's expense, but without additional consideration to me or them, all acts reasonably serving to assure that the said inventions and discoveries, the said patent applications, and the said Letters Patent shall be held and enjoyed by Assignee as fully and entirely as the same could have been held and enjoyed by me, my heirs, legal representatives, and assigns if this assignment had not been made;

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In testimony of which I have executed this Assignment on the date indicated next to my name.

Date	Daniel David McCarthy, Inventor	
Apr 6, 2020	[Adam C. Fowles] /Adan: C. Powler (1908 B. 1020)	
Date	NetApp, Inc., Assignee	******