

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6065781

|   |  |
|---|--|
| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                             |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                                 |
| <b>CONVEYING PARTY DATA</b>   |  |
| <b>Name</b>   | <b>Execution Date</b>                      |
| JUN SATO  | 08/03/2018                                 |
| ALLEN BORONKAY  | 08/13/2018                                 |
| YASER SHANJANI  | 08/09/2018                                 |
| REZA SHIRAZI AGHJARI  | 08/09/2018                                 |
| AVI KOPELMAN  | 08/15/2018                                 |
| ANDREW JANG   | 08/01/2018                                 |
| JIHUA CHENG   | 08/09/2018                                 |
| ERIC KUO  | 08/01/2018                                 |
| VLADIMIR SHELBAKH   | 08/09/2018                                 |
| BASTIEN PESENTI   | 08/09/2018                                 |
| <b>RECEIVING PARTY DATA</b>   |  |
| <b>Name:</b>  | ALIGN TECHNOLOGY, INC.                     |
| <b>Street Address:</b>  | 2820 ORCHARD PARKWAY                       |
| <b>City:</b>  | SAN JOSE                                   |
| <b>State/Country:</b>   | CALIFORNIA                                 |
| <b>Postal Code:</b>   | 95134                                      |
| <b>PROPERTY NUMBERS Total: 1</b>  |  |
| <b>Property Type</b>  | <b>Number</b>                              |
| Application Number:   | 16683255                                   |
| <b>CORRESPONDENCE DATA</b>  |  |
| <b>Fax Number:</b>  | (650)212-7562                              |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |  |
| <b>Phone:</b>   | 650-212-1700                               |
| <b>Email:</b>   | info@shayglenn.com, jennifer@shayglenn.com |
| <b>Correspondent Name:</b>  | SHAY GLENN LLP                             |
| <b>Address Line 1:</b>  | 2929 CAMPUS DRIVE, SUITE 225               |
| <b>Address Line 4:</b>  | SAN MATEO, CALIFORNIA 94403                |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 14187-719.300/1423.US.C1                   |

|   |                                     |
|---|-------------------------------------|
| <b>NAME OF SUBMITTER:</b>   | JENNIFER MARCELINO                  |
| <b>SIGNATURE:</b>   | /Richard D. Shoop, Reg. No. 45,763/ |
| <b>DATE SIGNED:</b>   | 04/16/2020                          |
| <b>Total Attachments: 12</b><br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page1.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page2.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page3.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page4.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page5.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page6.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page7.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page8.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page9.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page10.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page11.tif<br>source=1423-US-C1_14187-719-300_Assignment_04-16-20#page12.tif |                                     |

RECORDATION FORM COVER SHEET  
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

- (1) Jun SATO (08/03/2018)
- (2) Allen BORONKAY (08/13/2018)
- (3) Yaser SHANJANI (08/09/2018)
- (4) Reza SHIRAZI AGHJARI (08/09/2018)
- (5) Avi KOPELMAN (08/15/2018)
- (6) Andrew JANG (08/01/2018)
- (7) Jihua CHENG (08/09/2018)
- (8) Eric KUO (08/01/2018)
- (9) Vladimir SHELBAKH (08/09/2018)
- (10) Bastien PESENTI (08/09/2018)

Additional name(s) of conveying party(ies) attached?

Yes  No

2. Name and address of receiving party(ies):

Name: **ALIGN TECHNOLOGY, INC.**  
**2820 Orchard Parkway**  
**San Jose, CA 95134**

3. Nature of Conveyance:

- Assignment       Merger  
 Security Agreement       Change of Name  
 Other

Name and address of receiving party(ies):

Name:  
Street Address:  
City: State: Zip:  
Country:  
Additional name(s) & address(es) attached?  
 Yes  No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **16/683,255**

Title: **OPTICAL COHERENCE TOMOGRAPHY FOR  
ORTHODONTIC ALIGNERS**

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

**Richard D. Shoop**  
**Shay Glenn LLP**  
**2755 Campus Drive, Suite 210**  
**San Mateo, CA 94403**

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

Please charge any required fees to **Deposit Account No. 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Richard D. Shoop, Reg. No. 45,763**

**/Richard D. Shoop/**

April 16, 2020

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **12**

**PATENT**  
**REEL: 052423 FRAME: 0359**

This Assignment of Patent Application is between:

**Jun SATO** of San Jose, CA;

**Allen BORONKAY** of San Jose, CA;

**Yaser SHANJANI** of Sunnyvale, CA;

**Reza SHIRAZI AGHJARI** of San Jose, CA;

**Avi KOPELMAN** of Palo Alto, CA;

**Andrew JANG** of San Mateo, CA;

**Jihua CHENG** of San Jose, CA;

**Eric KUO** of San Jose, CA;

**Vladimir SHELBAKH** of Hayward, CA;

**Bastien PESENTI** of San Jose, CA

(hereinafter referred to as “Inventor”) and

**ALIGN TECHNOLOGY, INC.**, a corporation of the State of Delaware, having a place of business at 2820 Orchard Parkway, San Jose, CA 95134 (hereinafter referred to as “Assignee”).

WHEREAS Inventor has invented certain new and useful improvements in:

**“OPTICAL COHERENCE TOMOGRAPHY FOR ORTHODONTIC ALIGNERS”**

for which an application for a United States Patent was filed on **July 27, 2018** and assigned Application No. **16/048,054**.

WHEREAS, Assignee is desirous of acquiring the entire right, title and interest of each inventor in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered by said Inventor (all collectively hereinafter termed “said invention”), and in and to any and all patents, inventor’s certificates and other forms of protection (hereinafter termed “patents”) thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventor to have been received in full from said Assignee:

1. Said Inventor does hereby sell, assign, transfer and convey unto said Assignee the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a divisional, substitution,

continuation, or continuation-in-part of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.


2. Said Inventor hereby covenants and agrees to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of the said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation reissues and reexaminations, opposition proceedings, cancellation proceedings, priority contest, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventor, his heirs, legal representatives and assigns.

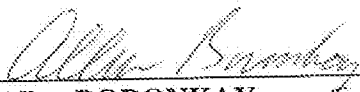
4. Said Inventor hereby warrants and represents that he has not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, said Inventor has executed and delivered this instrument to said Assignee:


8/3/2018  
Date

  
Jun SATO

08/13/2018  
Date

  
Allen BORONKAY

08/09/2018  
Date

  
Yaser SHANJANI

08/09/2018  
Date

  
Reza SHIRAZI AGHJARI

ASSIGNMENT OF PATENT APPLICATION

SG Docket No.: 14187-719.200 / 1423.US.P

8-15-2018

Date



Avi KOPELMAN

Date

Andrew JANG

08/09/2018

Date

Jihua CHENG

8-1-18

Date

Eric KUO

08/05/2018

Date

Vladimir SHELBAKH

8-7-2018

Date

Bastien PESENTI

**ASSIGNMENT OF PATENT APPLICATION**

**SG Docket No.: 14187-719.200 / 1423.US.P**

Date

8/1/2018

Date

Avi KOPELMAN

Andrew JANG

Date

Jihua CHENG

Date

Eric KUO

Date

Vladimir SHELBAKH

Date

Bastien PESENTI

# ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California  
County of Santa Clara )

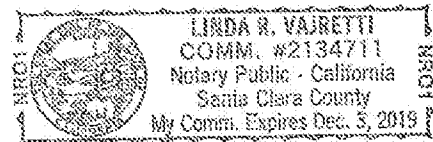
On August 3, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared Jun SATO, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



DOCUMENT NAME:

1423.US.P



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State of California  
County of Santa Clara

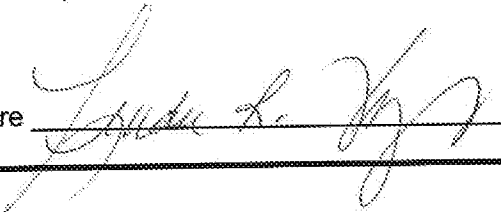
On August 13, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared Allen BORONKAY, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

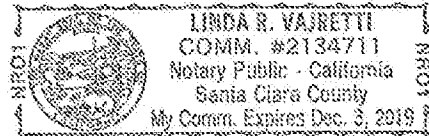
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

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Signature



(Seal)



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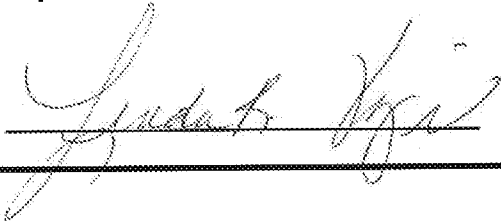
On August 9, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared Bastien PESENTI and Yaser SHANJANI, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~/are subscribed to the within instrument and acknowledged to me that ~~he~~/she/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

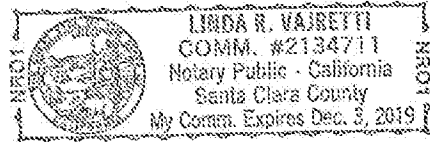
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature



(Seal)



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State of California  
County of Santa Clara)

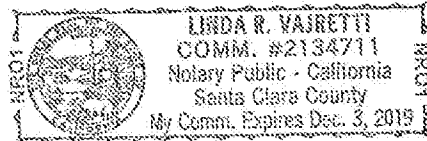
On August 9, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared REZA SHIRAZI AGHJARI, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Linda R. Vajretti* (Seal)



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State of California  
County of Santa Clara )

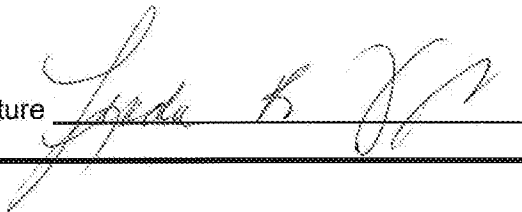
On August 15, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared Avi KOPELMAN, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

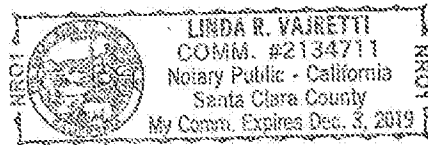
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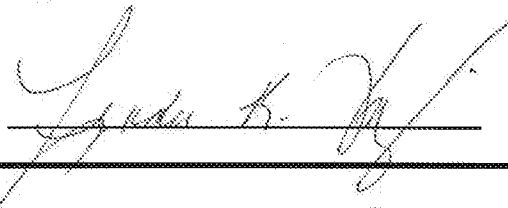
On August 1, 2018 before me, Linda R. Vajretti, Notary Public  
(insert name and title of the officer)

personally appeared Eric KUO, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/~~she~~/they executed the same in his/~~her~~/their authorized capacity(ies), and that by his/~~her~~/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

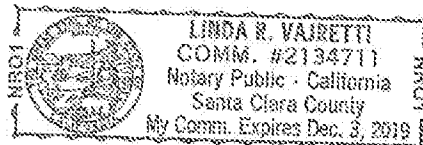
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

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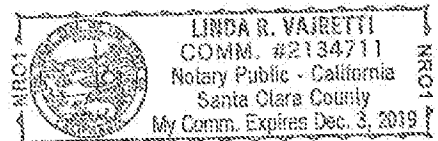
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personally appeared Jihua CHENG and Vladimir SHELBAKH, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~/are subscribed to the within instrument and acknowledged to me that ~~he~~/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

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