

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
KAI MA	09/13/2018
ULRICH B. WIESNER	08/30/2018
RECEIVING PARTY DATA	
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PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16614975
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DATE SIGNED:	04/27/2020
Total Attachments: 3	
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Cornell University ASSIGNMENT

WHEREAS, We, **Kai Ma**, a citizen of China, residing at 76 Roanoke Road, Belle Mead, New Jersey 08502; and **Ulrich B. Wiesner**, a citizen of Germany, residing at 105 White Park Road, Ithaca, New York 14850, have invented certain new and useful improvements in **FUNCTIONALIZED NANOPARTICLES AND METHODS OF MAKING AND USING SAME** for which an application for Letters Patent in the United States was filed as International Application No. **PCT/US2018/033755**, filed on **May 21, 2018**; claiming priority to U.S. Provisional Application No. **62/508,703**, filed on **May 19, 2017**;

AND WHEREAS, **Cornell University**, with its principal place of business at the **Center for Technology Licensing ("CTL") at Cornell University, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA**, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

Kai Ma
Kai Ma

9/13/18
Date

Witness Signature Option

Fei Wu
First Witness Signature

9/13/18
Date

Fei Wu
First Witness Printed Name

hug
Second Witness Signature

09.13.18
Date

Zhanna Hakhoordyan
Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 201__

STATE OF _____:

COUNTY OF _____:

This _____ day of _____, 201__, before me personally came the above-named **Kai Ma**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____.

Ulrich B. Wiesner
Ulrich B. Wiesner

8/30/18
Date

Witness Signature Option

Brenda Stevens
First Witness Signature

8/30/18
Date

Brenda Stevens
First Witness Printed Name

Lorene B. Walker
Second Witness Signature

8/31/18
Date

LORENE B. WALKER
Second Witness Printed Name

Notary Option

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this _____ day of _____, 201_____

STATE OF _____;
COUNTY OF _____;

This _____ day of _____, 201_____, before me personally came the above-named Ulrich B. Wiesner, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.

Notary Public

Commission expires on _____