

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6082610

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| SUBMISSION TYPE: | NEW ASSIGNMENT | |
| NATURE OF CONVEYANCE: | CHANGE OF NAME | |
| CONVEYING PARTY DATA | | |
| | Name | Execution Date |
| | CERÊVE, INC. | 04/13/2017 |
| RECEIVING PARTY DATA | | |
| Name: | EBB THERAPEUTICS, INC. | |
| Street Address: | 2555 SMALLMAN STREET, SUITE 100 | |
| City: | PITTSBURGH | |
| State/Country: | PENNSYLVANIA | |
| Postal Code: | 15222 | |
| PROPERTY NUMBERS Total: 1 | | |
| Property Type | Number | |
| Application Number: | 16046700 | |
| CORRESPONDENCE DATA | | |
| Fax Number: | (650)212-7562 | |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> | | |
| Phone: | 650-212-1700 | |
| Email: | info@shayglenn.com, jennifer@shayglenn.com | |
| Correspondent Name: | SHAY GLENN LLP | |
| Address Line 1: | 2929 CAMPUS DRIVE, SUITE 225 | |
| Address Line 4: | SAN MATEO, CALIFORNIA 94403 | |
| ATTORNEY DOCKET NUMBER: | 11259-703.300 | |
| NAME OF SUBMITTER: | JENNIFER MARCELINO | |
| SIGNATURE: | /Richard D. Shoop, Reg. No. 45,763/ | |
| DATE SIGNED: | 04/28/2020 | |
| Total Attachments: 3 | | |
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RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

1. Name of conveying party(ies):

(1) CERÊVE, INC.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: **EBB THERAPEUTICS, INC.**
2555 Smallman Street, Suite 100
Pittsburgh, PA 15222

3. Nature of Conveyance:

☐ Assignment ☐ Merger
☐ Security Agreement ☒ Change of Name
☐ Other

EXECUTION DATE(S): (1) 04/13/2017

Name and address of receiving party(ies):

Name:
Street Address:
City: State: Zip:
Country:
Additional name(s) & address(es) attached?
☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s) – **16/046,700**

Title: **SYSTEMS FOR ENHANCING SLEEP**

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Richard D. Shoop
Shay Glenn LLP
2929 Campus Drive, Suite 225
San Mateo, CA 94403

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h)(1)): \$0.00

☒ Please charge any required fees to **Deposit Account No. 50-4050.**

DO NOT USE THIS SPACE

8. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Richard D. Shoop, Reg. No. 45,763

/Richard D. Shoop/

April 28, 2020

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and documents: **3**

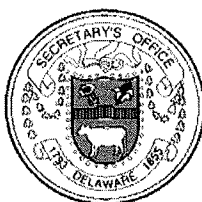
Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "CEREVE, INC.", CHANGING ITS NAME FROM "CEREVE, INC." TO "EBB THERAPEUTICS, INC.", FILED IN THIS OFFICE ON THE THIRTEENTH DAY OF APRIL, A.D. 2017, AT 1:32 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE KENT COUNTY RECORDER OF DEEDS.



4952412 8100
SR# 20172493379

You may verify this certificate online at corp.delaware.gov/authver.shtml

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed.

Jeffrey W. Bullock, Secretary of State

Authentication: 202374734
Date: 04-13-17

PATENT
REEL: 052519 FRAME: 0197

**CERTIFICATE OF AMENDMENT
OF
AMENDED AND RESTATED
CERTIFICATE OF INCORPORATION
OF
CEREVE, INC.**

State of Delaware
Secretary of State
Division of Corporations
Delivered 01:32 PM 04/13/2017
FILED 01:32 PM 04/13/2017
SR 20172493379 - File Number 4952412

The undersigned, Damian Rippole, hereby certifies that:

1. He is the Chief Financial Officer of Cereve, Inc., a Delaware corporation (the "Corporation").
2. The Certificate of Incorporation of this Corporation was originally filed with the Secretary of State of Delaware on April 4, 2011.
3. This Certificate of Amendment of Amended and Restated Certificate of Incorporation amends Article I of this Corporation's Certificate of Incorporation to read in its entirety as follows:

"The name of the corporation is Ebb Therapeutics, Inc. (the "Corporation")."
4. This Certificate of Amendment of the Corporation's Amended and Restated Certificate of Incorporation has been duly adopted by this Corporation's Board of Directors and stockholders in accordance with the provisions of the Corporation's Amended and Restated Certificate of Incorporation and with Section 242 of the General Corporation Law of the State of Delaware.

IN WITNESS WHEREOF, the undersigned has executed this Certificate of Amendment of Amended and Restated Certificate of Incorporation on this 13th day of April, 2017.



Damian Rippole, Chief Financial Officer