## 506039804 04/30/2020

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6086519

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
HANS ROLAND LONN	02/21/2015
JOHN FRITIOF PONTEN	02/18/2015
CARL-JOHAN AURELL	03/03/2015
STAFFAN PO KARLSSON	03/03/2015

### **RECEIVING PARTY DATA**

Name:	ASTRAZENECA AB	
Street Address:	SE-151 85	
City: SODERTALJE		
State/Country:	SWEDEN	

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16855522

## **CORRESPONDENCE DATA**

**Fax Number:** (202)842-7899

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Correspondent Name: COOLEY LLP ATTN: PATENT GROUP

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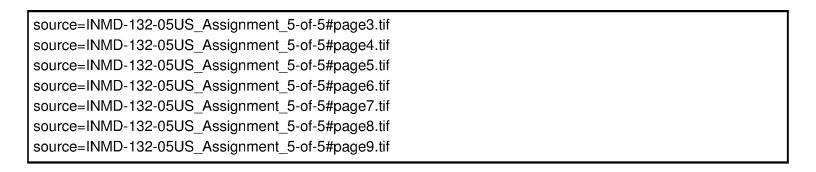
Address Line 4: WASHINGTON, D.C. 20004

ATTORNEY DOCKET NUMBER:	INMD-132/05US 315953-3731
NAME OF SUBMITTER:	JASON VALENTINE
SIGNATURE:	/Jason C. Valentine/
DATE SIGNED:	04/30/2020

## **Total Attachments: 9**

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PATENT 506039804 REEL: 052541 FRAME: 0066



#### ASSIGNMENT OF INVENTION MADE DURING EMPLOYMENT

The undersigned, Hans Ronald LÖNN (SE citizen); Stephen CONNOLLY (GB citizen); and John Fritiof PONTÉN (SE citizen) all c/o AstraZeneca Intellectual Property, AstraZeneca R&D Alderley, Alderley Park, Macclesfield Cheshire, SK10 4TG United Kingdom as of the 23rd day of January 2015 (the "Effective Date") hereby confirm(s) that by virtue of their employment have assigned and do hereby assign to AstraZeneca AB with registered office address at SE-151 85 Södertälje, Sweden (the "Assignee") an invention (as further defined below) made during employment, which invention, in accordance with the rules laid down in the collective bargaining agreement between SAF (the Swedish Employers' Confederation) and PTK (the Swedish Federation of Salaried Employees in Industry and Services) dated 1 April, 1995, has been brought to the attention of the employer and has been categorized as a Class A Invention. The Inventor(s) further acknowledge that insofar as and solely to the extent that the Rights apply to India, the amount paid to the Inventor(s) pursuant to their contract of employment includes nominal consideration of 10 SEK.

This assignment comprises our entire right, title and interest to said invention made by me and to any and all patents that may be granted thereon.

The assignment as stated in §2, above, includes, but is not restricted to, the right without limitation to apply for, to be granted and to assign patents and other means of protection of industrial property that may be granted on said invention, that is included in the patent application(s) specified in the attached Schedule (the "Patent Application(s)") with regard to the invention referred to by this confirmation for a patent, design registration or inventor's certificate or other comparable means of protection of industrial property, and the right to file a patent application anywhere in the world claiming convention priority

I hereby confirm that calculation of the remuneration for the invention assigned to AstraZeneca AB and shall be subject to the rules stated in the directions, dated 10 February, 1984, as to providing incentives for inventors among employees of the AstraZeneca group of companies.

The AstraZeneca group of companies are entitled at any time to waive their rights to the invention in favour of me/us, in which case any remuneration already paid out is not required to be refunded.

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

Signed at:

The above assignment agreement is accepted:

Signed at: Waltham MA USA

Date: 17- Har-2015

Signed/for on behalf of ASTRAZENECA AB (PUBL) Name: Meaghan RICHMOND Title: Authorised Signatory

Witnessed by:

Name: Kalliopi Gantzoudis

# THE SCHEDULE

THE PATENT APPLICATION(S)					
AstraZeneca Case No:	Application Ref:	Country	Filing Type	Application No. and/or Title of Invention	Filing Date
200167-AR-NP	A	Argentina	National	20150100202	23 Jan 2015
200167-BO-NP	В	Bolivia	National	SP000 6-2015	23 Jan 2015
200167-WO-PCT	C	International	PCT	PCT/GB2015/050155	23 Jan 2015
200167-LB-NP	D	Lebanon	National	10549	23 Jan 2015
200167-PY-NP	E	Paraguay	National	2227/2015	27 Jan 2015
200167-PK-NP	F	Pakistan	National	12/2015	8 Jan 2015
200167-TW-NP	G	Taiwan	National	104102345	23 Jan 2015
200167-UY-NP	Н	Uruguay	National	35963	23 Jan 2015
200167-US-NP	I	USA	National	14/601371	21 Jan 2015
200167-VE-NP	J	Venezuela	National	000067-2015	23 Jan 2015
<u>200167-BS-NP</u>	K*	Bahamas	National	CERTAIN (2S)-N- [(1S)-1-CYANO-2- PHENYLETHYL]- 1,4-OXAZEPANE-2- CARBOXAMIDES AS DIPEPTIDYL PEPTIDASE I INHIBITORS	Not available yet
200167-JM-NP	Γ*	Jamaica	Natio nal	18/1/5647	07 Jan 2015

Patent Application(s) referenced A to J inclusive claim priority from the following US Provisional Application(s)

Application No.	Filing Date
61/931090	24 January 2014

Patent Application(s) referenced K\* and L\* do not claim priority

#### ASSIGNMENT OF INVENTION MADE DURING EMPLOYMENT

The undersigned, Hans Roman LÖNN (SE citizen); Stephen CONNOLLY (GB citizen); and John Fritiof PONTÉN (SE citizen) all c/o AstraZeneca Intellectual Property, AstraZeneca R&D Alderley, Alderley Park, Macclesfield Cheshire, SK10 4TG United Kingdom as of the 23rd day of January 2015 (the "Effective Date") hereby confirm(s) that by virtue of their employment have assigned and do hereby assign to AstraZeneca AB with registered office address at SE-151 85 Södertälje, Sweden (the "Assignee") an invention (as further defined below) made during employment, which invention, in accordance with the rules laid down in the collective bargaining agreement between SAF (the Swedish Employers' Confederation) and PTK (the Swedish Federation of Salaried Employees in Industry and Services) dated 1 April, 1995, has been brought to the attention of the employer and has been categorized as a Class A Invention. The Inventor(s) further acknowledge that insofar as and solely to the extent that the Rights apply to India, the amount paid to the Inventor(s) pursuant to their contract of employment includes nominal consideration of 10 SEK.

§2

This assignment comprises our entire right, title and interest to said invention made by me and to any and all patents that may be granted thereon.

§3

The assignment as stated in §2, above, includes, but is not restricted to, the right without limitation to apply for, to be granted and to assign patents and other means of protection of industrial property that may be granted on said invention, that is included in the patent application(s) specified in the attached Schedule (the "Patent Application(s)") with regard to the invention referred to by this confirmation for a patent, design registration or inventor's certificate or other comparable means of protection of industrial property, and the right to file a patent application anywhere in the world claiming convention priority

84

I hereby confirm that calculation of the remuneration for the invention assigned to AstraZeneca AB and shall be subject to the rules stated in the directions, dated 10 February, 1984, as to providing incentives for inventors among employees of the AstraZeneca group of companies.

**§**5

The AstraZeneca group of companies are entitled at any time to waive their rights to the invention in favour of me/us, in which case any remuneration already paid out is not required to be refunded.

Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

Signed at: Harlo'sa

Date: <u>21 February 2</u>

ie: Hans **Honald** LÖNN

Nike Willial

Anders Ahlquist

The above assignment agreement is accepted:

Signed at: Waltham MA USA

Date: 17-Mar-2015

Signed for on behalf of

ASTRAZENECA AB (PUBL)

Name: Meaghan RICHMOND Title: Authorised Signatory

Witnessed by:

Namd Kalliopi Gantzoudis

## THE SCHEDULE

THE PATENT APPLICATION(S)					
AstraZeneca Case No:	Application Ref:	Country	Filing Type	Application No. and/or Title of Invention	Filing Date
200167-AR-NP	A	Argentina	National	20150100202	23 Jan 2015
200167-BO-NP	В	Bolivia	National	SP00006-2015	23 Jan 2015
200167-WO-PCT	С	International	PCT	PCT/GB2015/050155	23 Jan 2015
200167-LB-NP	D	Lebanon	National	10549	23 Jan 2015
200167-PY-NP	E	Paraguay	National	2227/2015	27 Jan 2015
200167-PK-NP	F	Pakistan	National	12/2015	8 Jan 2015
200167-TW-NP	G	Taiwan	National	104102345	23 Jan 2015
200167-UY-NP	Н	Uruguay	National	35963	23 Jan 2015
200167-US-NP	I	USA	National	14/601371	21 Jan 2015
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200167-BS-NP	K*	Bahamas	National	CERTAIN (2S)-N- [(1S)-1-CYANO-2- PHENYLETHYL]- 1,4-OXAZEPANE-2- CARBOXAMIDES AS DIPEPTIDYL PEPTIDASE I INHIBITORS	Not available yet
200167-JM-NP	L*	Jamaica	National	18/1/5647	07 Jan 2015

Patent Application(s) referenced A to J inclusive claim priority from the following US Provisional Application(s)

Application No.	Filing Date
61/931090	24 January 2014

Patent Application(s) referenced K\* and L\* do not claim priority

3

### ASSIGNMENT OF INVENTION MADE DURING EMPLOYMENT

§1

The undersigned, Carl-Johan AURELL (SE citizen) and Staffan PO KARLSSON (SE citizen) both of AstraZeneca R&D Mölndal SE-431 83 Mölndal, Sweden as of the 23rd day of January 2015 (the "Effective Date") hereby confirm(s) that by virtue of their employment have assigned and do hereby assign to AstraZeneca AB with registered office address at SE-151 85 Södertälje, Sweden (the "Assignee") an invention (as further defined below) made during employment, which invention, in accordance with the rules laid down in the collective bargaining agreement between SAF (the Swedish Employers' Confederation) and PTK (the Swedish Federation of Salaried Employees in Industry and Services) dated 1 April, 1995, has been brought to the attention of the employer and has been categorized as a Class A Invention. The Inventor(s) further acknowledge that insofar as and solely to the extent that the Rights apply to India, the amount paid to the Inventor(s) pursuant to their contract of employment includes nominal consideration of 10 SEK.

 $\S 2$ 

This assignment comprises our entire right, title and interest to said invention made by us and to any and all patents that may be granted thereon.

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\$5

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Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment any further information necessary for recordation of this document.

Signed at: Mölndal, Sweden

 $_{\mathrm{Date:}}$  20/5-03-

Name: Carl-Johan AURELL

Witnessed by:

Name:

Christina Fregle

Date: 2015-03-03

Saffan PO KARLSSON

Witnessed by:

Name:

Christina Fregler

The above assignment agreement is accepted:

Signed at: Waltham MA USA

Date: 17-March-2015

Signed for on behalf of

ASTRAZENECA AB (PUBL)

Name: Meaghan RICHMOND Title: Authorised Signatory

Witnessed by:

Name Kalliopi Gantzoudis.

# THE SCHEDULE

THE PATENT APPLICATION(S)					
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200167-BO-N_P	В	Bolivia	National	SP00006-2015	23 Jan 2015
200167-ET-NP	C	Ethiopia	National	CERTAIN (2S)-N- [(1S)-1-CYANO-2- PHENYLETHYL]- 1,4-OXAZEPANE-2- CARBOXAMIDES AS DIPEPTIDYL PEPTIDASE I INHIBITORS	Not available yet
200167-WO-PCT	D	International	PCT	PCT/GB2015/050155	23 Jan 2015
200167-LB-NP	E	Lebanon	National	10549	23 Jan 2015
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200167-TW-NP	Н	Taiwan	National	104102345	23 Jan 2015
200167-UY-NP	I	Uruguay	National	35963	23 Jan 2015
200167-US-NP	J	USA	National	14/601371	21 Jan 2015
200167-VE-NP	K	Venezuela	National	000067-2015	23 Jan 2015
200167-BS-NP	L*	Bahamas	National	CERTAIN (2S)-N- [(1S)-1-CYANO-2- PHENYLETHYL]- 1,4-OXAZEPANE-2- CARBOXAMIDES AS DIPEPTIDYL PEPTIDASE I INHIBITORS	Not available yet
200 167-JM-NP	M*	Jamaica	National	18/1/5647	07 Jan 2015

Patent Application(s) referenced A to K inclusive claim priority from the following US Provisional Application(s)

Application No.	Filing Date
61/931090	24 January 2014

RECORDED: 04/30/2020

Patent Application(s) referenced L\* and M\* do not claim priority