506041007 05/01/2020

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6087722

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES	12/08/2011

RECEIVING PARTY DATA

Name:	THE GOVERNMENT OF THE UNITED STATES, AS REPRESENTED BY THE SECRETARY OF THE ARMY
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PROPERTY NUMBERS Total: 4

Property Type	Number
Application Number:	61171782
Application Number:	61327252
Application Number:	13637239
PCT Number:	US2011033542

CORRESPONDENCE DATA

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SIGNATURE:	/Duane Hylton/
DATE SIGNED:	05/01/2020

PATENT 506041007 REEL: 052547 FRAME: 0208

Total Attachments: 1

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PATENT REEL: 052547 FRAME: 0209



DEPARTMENT OF DEFENSE

UNIFORMED SERVICES UNIVERSITY OF THE HEALTH SCIENCES 4301 JONES BRIDGE ROAD BETHESDA, MARYLAND 20814-4799 December 9, 2011

December 8, 2011

MEMORANDUM FOR MAJOR GENERAL JAMES K. GILMAN, COMMANDING GENERAL, U.S. ARMY MEDICAL RESEARCH AND MATERIEL COMMAND

SUBJECT: HJF Invention Disclosure No. HJF 210-09 including U.S. Provisional Patent Applications No. 61/171,782 and No. 61/327,252 entitled, "Intravenous Omega-3 Fatty Acids for use in Trauma Situations" and International Patent Application No. PCT/US2011/033542 entitled, "Intravenous Omega-3 Fatty Acid Compositions & Method of Use" and all domestic and international applications claiming priority to U.S. Provisional Patent Application No. 61/327,252

- 1. COL Michael Lewis, while assigned to the Uniformed Services University of the Health Sciences (USU), made the above referenced invention in the course of his official duties. Pursuant to Executive Order 10096 COL Lewis assigned his interest in the invention to USU and efforts to secure patent protection were initiated by the USU and Henry M. Jackson Foundation (HJF) Joint Office of Technology Transfer (JOTT). COL Lewis was subsequently reassigned to the Defense and Veterans Brain Injury Center. The U.S. Army Medical Research and Materiel Command (USAMRMC) and JOTT patent groups work closely together and concluded that transfer of the inventions from USU to USAMRMC would enhance efforts to commercialize the technology. I now offer to transfer the entire right, title, and interest in the above referenced invention and pending patent application to USAMRMC for further action.
- 2. My understanding is that upon transfer of the invention, royalties will be distributed annually according to the following scheme (in descending order of precedence):
 - a. The inventor will receive \$2,000 annually.
 - b. Twenty percent of the remaining royalties each year will be distributed to the inventor (subject to the statutory cap in 15 USC § 3710c (a)(3)).
 - c. Ten percent of the remaining royalties to HJF (HJF will distribute a share to USU pursuant to the USU-HJF JOTT agreement).
 - d. USAMRMC will receive the remainder.

RECORDED: 05/01/2020

- e. USAMRMC will endeavor to recoup patent expenses from any licensee(s) through a specific line item in any license or licenses and HJF will be reimbursed for its patent costs from that line item.
- 3. I am confident that transfer of this invention will be mutually beneficial to USU and USAMRMC. Transfer of custody and administration of the entire right, title, and interest in this invention from USU to USAMRMC is authorized by section 207(a)(4) of title 35, U.S. Code. Supporting documentation for the invention will be sent upon acceptance. Should any additional information be needed, my point of contact is Mr. John Baker, General Counsel, USU. He can be reached at 301-295-3028.

Charles L. Rice, M.D.

President

PATENT

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