

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
 Stylesheet Version v1.2

EPAS ID: PAT6089400

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
WATERLINE DATA SCIENCE, INC.	01/27/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	HITACHI VANTARA LLC
<b>Street Address:</b>	2535 AUGUSTINE DRIVE
<b>City:</b>	SANTA CLARA
<b>State/Country:</b>	CALIFORNIA
<b>Postal Code:</b>	95054
<b>PROPERTY NUMBERS Total: 4</b>	
<b>Property Type</b>	<b>Number</b>
<b>Patent Number:</b>	10346358
<b>Patent Number:</b>	10198460
<b>Patent Number:</b>	10242016
<b>Application Number:</b>	16415269
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(703)684-1157
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	7036841120
<b>Email:</b>	EJANS@MMIPLAW.COM, docket@mmiplaw.com
<b>Correspondent Name:</b>	MATTINGLY & MALUR, PC
<b>Address Line 1:</b>	1800 DIAGONAL ROAD
<b>Address Line 2:</b>	SUITE 210
<b>Address Line 4:</b>	ALEXANDRIA, VIRGINIA 22314
<b>ATTORNEY DOCKET NUMBER:</b>	HTA-12616, 02, 03, 12617
<b>NAME OF SUBMITTER:</b>	SHRINATH MALUR
<b>SIGNATURE:</b>	/SHRINATH MALUR/
<b>DATE SIGNED:</b>	04/20/2020
<b>Total Attachments: 6</b>	
source=Assignment from Waterline to Hitachi Vantara LLC#page1.tif	
source=Assignment from Waterline to Hitachi Vantara LLC#page2.tif	

source=Assignment from Waterline to Hitachi Vantara LLC#page3.tif

source=Assignment from Waterline to Hitachi Vantara LLC#page4.tif

source=Assignment from Waterline to Hitachi Vantara LLC#page5.tif

source=Assignment from Waterline to Hitachi Vantara LLC#page6.tif

PATENT ASSIGNMENT AGREEMENT

This PATENT ASSIGNMENT AGREEMENT (this "Assignment"), dated as of January 27, 2020, is made and entered into by and between Waterline Data Science, Inc., a Delaware corporation ("Assignor"), and Hitachi Vantara LLC, a Delaware limited liability company ("Assignee") (collectively, the "Parties").

WHEREAS, Assignee and Assignor have entered into an Asset Purchase Agreement, dated December 4, 2019 (the "APA"), pursuant to which, among other things, Assignor has agreed to sell, transfer, assign, and deliver to Assignee, and Assignee has agreed to purchase, acquire, and assume from Assignor, all of Assignor's right, title and interest in and to the Patents set forth on Schedule A hereto (together referred to as the "Acquired Patents");

WHEREAS, in connection with the APA and pursuant to this Assignment, Assignor agrees to assign all of Assignor's right, title and interest in and to the Acquired Patents set forth on Schedule A to Assignee; and

WHEREAS, Assignor and Assignee are desirous of making this Assignment a matter of record.

NOW THEREFORE, in consideration of the foregoing and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, and pursuant to the terms and conditions of the APA, the Parties hereby agree as follows:

1. **Definitions.** Any capitalized item used but not defined herein will have the meaning set forth in the APA.

2. **Patent Assignment.** In accordance with and subject to the terms and conditions of the APA, effective at the Closing, Assignor hereby irrevocably assigns, conveys, transfers and delivers to Assignee, all of Assignor's right, title and interest in and to the Acquired Patents, including, without limitation, all right, title and interest, in any jurisdiction, in all , together with all reissuances, divisionals, continuations, continuations-in-part, revisions, renewals, extensions, and reexaminations thereof, any identified invention disclosures, and any and all rights to enforce and collect damages for all past, present and future infringements, misappropriations or other violations of any of the foregoing.

3. **Due Authorization.** Assignor hereby authorizes and requests that the Commissioner of Patents of the United States and any official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to Assignee, its successors and assigns, and to record Assignee as the sole assignee and owner of the Acquired Patents.

4. **Additional Actions.** At any time after the date of this Assignment, at Assignee's request and expense, Assignor will execute and deliver to Assignee such other instruments and documents, and take such other actions as Assignee may reasonably deem necessary or desirable to effect, evidence, record and perfect the transfer and assignment contemplated by this Assignment.

5. **Successors and Assigns.** This Assignment will be fully binding upon, inure to the benefit of and be enforceable by the parties and their respective successors and assigns.

6. **Entire Agreement.** This Assignment and the APA, together with the documents referenced herein and therein, and the attached Schedule A constitute the entire agreement, and supersede any and all prior agreements, whether written or oral, with regard to the Acquired Patents. No amendment,


modification or waiver of any of the provisions of this Assignment will be valid unless set forth in a written instrument signed by the party to be bound. This Assignment is being entered into pursuant to, and subject to, the APA and incorporates all of the terms and conditions of the APA. In the event of a conflict between the terms and conditions of this Assignment and the terms and conditions of the APA, the terms and conditions of the APA shall govern, supersede and prevail. An executed copy of this Assignment may be delivered by means of a facsimile machine or other electronic transmission (including .pdf, .tif, .gif, .jpeg or similar attachment to electronic mail files), and shall be treated in all manner and respects and for all purposes as an original agreement or instrument and shall be considered to have the same binding legal effect as if it were the original signed version thereof delivered in person. Neither Assignor nor any party claiming through Assignor shall raise the use of a facsimile machine or other electronic transmission to deliver a signature or the fact that any signature or agreement or instrument was transmitted or communicated through the use of a facsimile machine or other electronic transmission as a defense to the formation or enforceability of a contract and Assignors forever waive any such defense.

*[Signature pages follow.]*

IN WITNESS WHEREOF, the Parties have executed this Assignment as of the date first above written.

**ASSIGNEE:**

Hitachi Vantara LLC

By:  \_\_\_\_\_

Name: Christopher H. Leslie

Its: Chief Legal Officer

**ASSIGNORS:**

Waterline Data Science, Inc.

By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

IN WITNESS WHEREOF, the Parties have executed this Assignment as of the date first above written.

**ASSIGNEE:**

Hitachi Vantara LLC


By: \_\_\_\_\_

Name: \_\_\_\_\_

Its: \_\_\_\_\_

**ASSIGNORS:**

Waterline Data Science, Inc.

By:  \_\_\_\_\_  
Kailash Ambwani (Jan 18, 2020)

Name: Kailash Ambwani

Its: Chief Executive Officer

Schedule A

List of Acquired Patents

Patent for automated format discovery and parsing of files in various formats	Waterline Data Science, Inc.	10346358	United States	2019-07-09
Patent for automating data quality and transformations by comparing mapped fields across different files; Using stats about data content to load balance map-reduce jobs; A multi-screen GUI that shows data on one screen and metadata on the other and synchronizes the two	Waterline Data Science, Inc.	10198460	United States	2019-02-05
Patent for content-based lineage discovery	Waterline Data Science, Inc.	10242016	United States	2019-03-26
Patent Application titled "Systems and Methods for Management of Data Platforms"	Waterline Data Science, Inc.	16/415,269	United States	2019-05-17
Patent Application titled "Systems and Methods for	Waterline Data Science, Inc.	PCT/US2014/040895	United States as Receiving Office	2014-06-04

Management of Data Platforms”	(Applicant)			
Patent Application titled “Systems and Methods for Management of Data Platforms”	Waterline Data Science, Inc.	14736129.9	Europe	2014-06-04