

PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT6092293

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
JOHN MIMS	04/04/2017
CHARLES DEAN	03/30/2017
MITCHELL GILKEY	03/30/2017
VLADIMIR MITELBERG	03/30/2017
DONALD K. JONES	03/30/2017
NICOLE PINTO	04/06/2017
RECEIVING PARTY DATA	
Name:	APOLLO ENDOSURGERY US, INC.
Street Address:	1120 SOUTH CAPITAL OF TEXAS HIGHWAY, BUILDING 1, SUITE 300
City:	AUSTIN
State/Country:	TEXAS
Postal Code:	78746
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16867072
CORRESPONDENCE DATA	
Fax Number:	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(512) 279-5152
Email:	USIPDocketing@apolloendo.com
Correspondent Name:	APOLLO ENDOSURGERY US, INC.
Address Line 1:	1120 S. CAPITAL OF TEXAS HWY
Address Line 2:	BLDG 1, SUITE 300
Address Line 4:	AUSTIN, TEXAS 78746
ATTORNEY DOCKET NUMBER:	APO-027D1
NAME OF SUBMITTER:	NICCI FOWLER
SIGNATURE:	/Nicci Fowler/
DATE SIGNED:	05/05/2020

Total Attachments: 9

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ASSIGNMENT

WHEREAS, we, John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mittelberg and Donald K. Jones, hereinafter referred to as the "ASSIGNORS", whose post office addresses and citizenships are, respectively,

- 1) 8820 Tiombe Bend, Austin, TX 78749 (US Citizen)
- 2) 716 Crestone Stream Drive, Austin, TX 78738 (US Citizen)
- 3) 5608 Apple Orchard Lane, Austin, TX 78744 (US Citizen)
- 4) 12616 Scofield Farms Drive, Austin, TX 78727 (US Citizen)
- 5) 705 N. Canyonwood Drive, Dripping Springs, TX 78620 (US Citizen)

have invented certain new and useful improvements in

Endoscopic Suture Loop Anchors and Methods

as described and set forth in an application for Letters Patent of the United States of America, filed by us on October 27, 2016, as serial number 15/336,024;

AND WHEREAS, Apollo Endosurgery US, Inc. (formerly Apollo Endosurgery, Inc.) hereinafter referred to as the "ASSIGNEE", a company existing under the laws of Delaware and having a place of business at 1120 South Capital of Texas Highway, Building 1, Suite 300, Austin, TX 78746, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the **ASSIGNORS**, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto the **ASSIGNEE**, its successors and assigns, the entire right, title and interest throughout the world, in and to our invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any provisional or non-provisional application of which said application claims the benefit, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND we do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to the **ASSIGNEE**, its successors and assigns, as the assignees of the entire right, title and interest in and to the same, for the sole use and benefit of the **ASSIGNEE**, its successors and assigns.

AND we do hereby covenant and warrant that we have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that we have not executed and will not execute any instruments in conflict herewith.

AND we, for the conditions aforesaid, do hereby covenant and agree to and with the ASSIGNEE, its successors and assigns, that we, our executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for the ASSIGNEE, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in the ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., Jay P. Sbrollini, Esq., and Christian Mannino, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

ASSIGNORS:

IN WITNESS WHEREOF, we, John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mitelberg and Donald K. Jones, have hereunto set our hands and seals this 4th day of

April, 2017.

John Mims

Charles Dean

Mitchell Gilkey

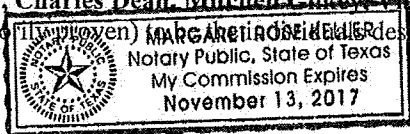
Vladimir Mitelberg

Donald K. Jones

State of Texas)
County of Travis) ss:

BE IT KNOWN, that on this 4th day of April, 2017, personally appeared John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mitelberg and Donald K. Jones, known to me (or satisfactory to me) as the persons whose names are subscribed in and who executed the foregoing assignment.

SEAL



Margaret Lee Keller
Notary Public

My commission expires: 11/13/17

ACCEPTED BY THE ASSIGNEE:

IN WITNESS WHEREOF, the ASSIGNEE has caused this ASSIGNMENT to be accepted by its duly authorized officer named below.

Date: 04/04/17

Apollo Endosurgery US, Inc.

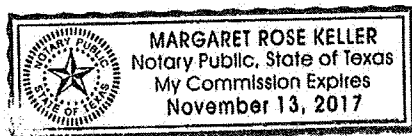
By: Donald K. Jones

Print Name: Donald K. Jones

Title: Director of Intellectual Property

State of Texas)
County of Tarrant) ss:

BE IT KNOWN, that on this 4 day of April, 2017 personally appeared **Donald K. Jones**, known to me (or satisfactorily proven) to be the person named above who executed the foregoing ASSIGNMENT on behalf of the ASSIGNEE described in the foregoing ASSIGNMENT, and who is duly authorized to execute the foregoing ASSIGNMENT on behalf of the ASSIGNEE.



SEAL

Margaret Rose Keller
Notary PublicMy commission expires: 11/13/17

ASSIGNMENT

WHEREAS, we, **John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mittelberg and Donald K. Jones**, hereinafter referred to as the "**ASSIGNORS**", whose post office addresses and citizenships are, respectively,

- 1) 8820 Tiombe Bend, Austin, TX 78749 (US Citizen)
- 2) 716 Crestone Stream Drive, Austin, TX 78738 (US Citizen)
- 3) 5608 Apple Orchard Lane, Austin, TX 78744 (US Citizen)
- 4) 12616 Scofield Farms Drive, Austin, TX 78727 (US Citizen)
- 5) 705 N. Canyonwood Drive, Dripping Springs, TX 78620 (US Citizen)

have invented certain new and useful improvements in

Endoscopic Suture Loop Anchors and Methods

as described and set forth in an application for Letters Patent of the United States of America, filed by us on October 27, 2016, as serial number 15/336,024;

AND WHEREAS, **Apollo Endosurgery US, Inc.** (formerly Apollo Endosurgery, Inc.) hereinafter referred to as the "**ASSIGNEE**", a company existing under the laws of Delaware and having a place of business at 1120 South Capital of Texas Highway, Building 1, Suite 300, Austin, TX 78746, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that we, the **ASSIGNORS**, for good and valuable considerations, the receipt and sufficiency of which we hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto the **ASSIGNEE**, its successors and assigns, the entire right, title and interest throughout the world, in and to our invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any provisional or non-provisional application of which said application claims the benefit, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND we do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to the **ASSIGNEE**, its successors and assigns, as the assignees of the entire right, title and interest in and to the same, for the sole use and benefit of the **ASSIGNEE**, its successors and assigns.

AND we do hereby covenant and warrant that we have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that we have not executed and will not execute any instruments in conflict herewith.

AND we, for the conditions aforesaid, do hereby covenant and agree to and with the **ASSIGNEE**, its successors and assigns, that we, our executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for the **ASSIGNEE**, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in the **ASSIGNEE**, its successors and assigns, the entire right, title and interest in and to said invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., Jay P. Sbrollini, Esq., and Christian Mannino, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

ASSIGNORS:

IN WITNESS WHEREOF, we, **John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mitelberg and Donald K. Jones**, have hereunto set our hands and seals this 30th day of March, 2017.

John Mims

[Signature]

Charles Dean

[Signature]

Mitchell Gilkey

[Signature]

Vladimir Mitelberg

[Signature]

Donald K. Jones

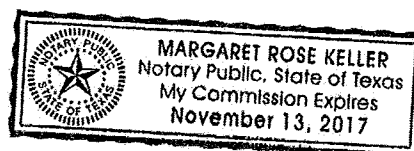
State of Texas)
) ss:
County of Travis)

BE IT KNOWN, that on this 30 day of March, 2017, personally appeared **John Mims, Charles Dean, Mitchell Gilkey, Vladimir Mitelberg and Donald K. Jones**, known to me (or satisfactorily proven) to be the individuals described in and who executed the foregoing assignment.

SEAL

My commission expires: 11/13/17

[Signature]
Notary Public



ACCEPTED BY THE ASSIGNEE:

IN WITNESS WHEREOF, the ASSIGNEE has caused this ASSIGNMENT to be accepted by its duly authorized officer named below.

Date: 04/03/2017

Apollo Endosurgery US, Inc.

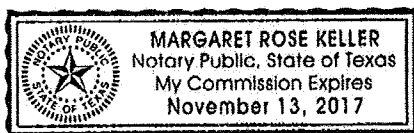
By: Donald K Jones

Print Name: Donald K. Jones

Title: Director of Intellectual Property

State of Texas)
County of Travis) ss:

BE IT KNOWN, that on this 3rd day of April, 2017, personally appeared **Donald K. Jones**, known to me (or satisfactorily proven) to be the person named above who executed the foregoing ASSIGNMENT on behalf of the ASSIGNEE described in the foregoing ASSIGNMENT, and who is duly authorized to execute the foregoing ASSIGNMENT on behalf of the ASSIGNEE.



Margaret Rose Keller
Notary Public

SEAL

My commission expires: 11/13/2017

have invented certain new and useful improvements in

as described and set forth in an application for Letters Patent of the United States of America, filed by me on October 27, 2016, as serial number 15/336,024;

AND WHEREAS, Apollo Endosurgery US, Inc. (formerly Apollo Endosurgery, Inc.), hereinafter referred to as the "ASSIGNEE", a company existing under the laws of Delaware and having a place of business at 1120 South Capital of Texas Highway, Building 1, Suite 300, Austin, TX 78746, is desirous of acquiring or confirming its acquisition of the entire right, title and interest in and to said invention, inventions or improvements, and in and to said application, and in and to any and all patents, both of the United States and of all foreign countries, that may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I, the **ASSIGNOR**, for good and valuable considerations, the receipt and sufficiency of which I hereby acknowledge, have sold, assigned, transferred and conveyed, and by this assignment do sell, assign, transfer and convey, unto the **ASSIGNEE**, its successors and assigns, the entire right, title and interest throughout the world, in and to my invention, inventions or improvements described or set forth in said application, in any form or embodiment thereof, and in and to said application, and in and to any provisional or non-provisional application of which said application claims the benefit, and in and to any applications filed in any foreign country based thereon, including the right to file foreign applications under the provisions of any convention or treaty; and in and to any confirmation, divisional, continuation, continuation-in-part, or substitute application or any other application which claims the benefit of said application which may be filed on said invention, inventions or improvements in the United States or in any foreign country; and in and to any and all patents, certificates, utility models, reissues, extensions, additions or confirmations thereof which may be granted in the United States or in any foreign country upon said invention, inventions or improvements, to have and to hold the same to the full end of the term or terms for which any and all such United States and foreign patents and grants may be issued on said invention, inventions or improvements.

AND I do hereby authorize and request the issuing authority to issue any and all of said United States and foreign patents on said application or applications to the **ASSIGNEE**, its successors and assigns, as the assignee of the entire right, title and interest in and to the same, for the sole use and benefit of said **ASSIGNEE**, its successors and assigns.

AND I do hereby covenant and warrant that I have full right to convey the entire right, title and interest herein assigned free and clear of all licenses, encumbrances and liens whatsoever, and that I have not executed and will not execute any instruments in conflict herewith.


AND I, for the conditions aforesaid, do hereby covenant and agree to and with the **ASSIGNEE**, its successors and assigns, that I, my executors, administrators, or other personal representatives, shall and will do all lawful acts and things, make all rightful oaths, and make, execute, and deliver any and all other instruments in writing, and any and all further applications, papers, powers, affidavits, assignments, disclaimers and other documents, which in the opinion of counsel for said **ASSIGNEE**, its successors and assigns, may be required or necessary in this or in any foreign country more effectually to secure to and vest in the **ASSIGNEE**, its successors and assigns, the entire right, title and interest in and to said

invention, inventions, or improvements, application or applications, patents, rights, titles, benefits, privileges and advantages hereby sold, assigned, confirmed, transferred and conveyed.

The undersigned hereby grant(s) David P. Gordon, Esq., David S. Jacobson, Esq., Jay P. Sbröllini, Esq. and Christian Mannino, Esq. the power to insert on this Assignment any further identification which may be necessary in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document and to correct any errors or defects herein.

ASSIGNOR(S):

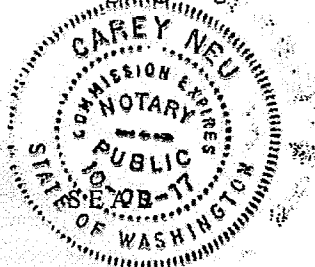
IN WITNESS WHEREOF, I, **Nicole Pinto**, have hereunto set my hand and seal
this 6 day of April, 2017.



Nicole Pinto

State of Washington)
County of King) ss:

BE IT KNOWN, that on this 6th day of April, 2017, personally appeared **Nicole Pinto**, known to me (or satisfactorily proven) to be the individual described in and who executed the foregoing assignment.





Notary Public Carey Nell

My commission expires: 10-09-17

ACCEPTED BY THE ASSIGNEE:

IN WITNESS WHEREOF, the ASSIGNEE has caused this ASSIGNMENT to be accepted by its duly authorized officer named below.

Date: 04/12/17

Apollo Endosurgery US, Inc.

By: Donald K Jones

Print Name: Donald K. Jones

Title: Director of Intellectual Property

State of Texas)
County of Texas) ss:

BE IT KNOWN, that on this 12 day of April, 2017, personally appeared **Donald K. Jones**, known to me (or satisfactorily proven) to be the person named above who executed the foregoing ASSIGNMENT on behalf of the ASSIGNEE described in the foregoing ASSIGNMENT, and who is duly authorized to execute the foregoing ASSIGNMENT on behalf of the ASSIGNEE.

Margaret Rose Keller
Notary Public

SEAL

My commission expires: 11/13/2017