

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6098412

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
PINGCHEN FAN	09/07/2018
ANTONI KRASINSKI	09/20/2018
VENKAT REDDY MALI	09/07/2018
SHICHANG MIAO	01/03/2019
SREENIVAS PUNNA	10/05/2018
YANG SONG	12/28/2018
VALENTINO J. STELLA	08/27/2018
YIBIN ZENG	09/20/2018
PENGLIE ZHANG	09/20/2018
RECEIVING PARTY DATA	
Name:	CHEMOCENTRYX, INC.
Street Address:	850 MAUDE AVENUE
City:	MOUNTAIN VIEW
State/Country:	CALIFORNIA
Postal Code:	94043
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16660994
CORRESPONDENCE DATA	
Fax Number:	(415)432-6001
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	(415) 432-6000
Email:	kaheldt@mintz.com
Correspondent Name:	WILLIAM B. KEZER, PH.D.
Address Line 1:	MINTZ, LEVIN, COHN, FERRIS, GLOVSKY AND POPEO, P.C
Address Line 2:	44 MONTGOMERY STREET, 36TH FLOOR
Address Line 4:	SAN FRANCISCO, CALIFORNIA 94104
ATTORNEY DOCKET NUMBER:	050779-580C03US-011740US
NAME OF SUBMITTER:	KIMBERLY HELDT

PATENT

SIGNATURE:	/Kimberly Heldt/
DATE SIGNED:	05/08/2020
Total Attachments: 29 source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page1.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page2.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page3.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page4.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page5.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page6.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page7.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page8.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page9.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page10.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page11.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page12.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page13.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page14.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page15.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page16.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page17.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page18.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page19.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page20.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page21.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page22.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page23.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704 050779-580001US-WO-011710US-PC#page24.tif source=011740US Executed Assignment parent US 15477386 and PCTUS2017025704	

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050779-580001US-WO-011710US-PC#page29.tif

PATENT

REEL: 052615 FRAME: 0446

ASSIGNMENT OF PATENT APPLICATION

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignors,

1. Pingchen Fan of 2157 Kalenda Commons, Fremont, CA 94539;
2. Antoni Krasinski of 746 W. Knickerbocker Drive, Sunnyvale, CA 94087;
3. Venkat Reddy Mali of 20200 Lucille Avenue, Apt. 4, Cupertino, CA 95014;
4. Shichang Miao of 832 Arcturus Circle, Foster City, CA 94404;
5. Sreenivas Punna of 938 Fontana Terrace, Sunnyvale, CA 95085;
6. Yang Song of 750 Polaris Avenue, Foster City, CA 94404;
7. Valentino J. Stella of 1135 West Campus Road, Lawrence, KS 66044;
8. Yibin Zeng of 917 Aruba Lane, Foster City, CA 94404; and
9. Penglie Zhang of 1036 Jamaica St., Foster City, CA 94404

hereby sell, assign and transfer to Assignee, ChemoCentryx, Inc., a corporation of the state of Delaware, located at 850 Maude Avenue, Mountain View, CA 94043, and the successors, assigns and legal representatives of the Assignee all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SOLUBLE C5aR ANTAGONISTS

- (a) U.S. Patent Application No. 15/477,386 filed on April 3, 2017;
(Attorney Docket No. 50779-580001US-011710US)
- (d) PCT Patent Application No. PCT/US2017/025704 filed on April 3, 2017.
(Attorney Docket No. 50779-580001WO-011710PC)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;


Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

Assignors further covenant that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application(s) when officially known.

68377068v.1

AND the Assignors request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the Assignee, ChemoCentryx, Inc.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Signature: 
Pingchen FAN

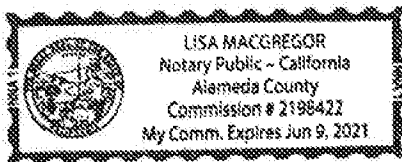
Date: 9-7-18

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 7 day of September, 2018
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)


NOTARY PUBLIC
My Commission Expires:



Signature: _____

Antoni KRASINSKI

Date: _____

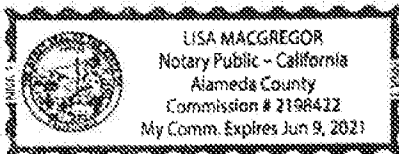
09/20/2018

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 20 day of Sept., 2018
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

Lisa MacGregor
NOTARY PUBLIC
My Commission Expires:



Signature: *M. Reddy*
Venkat Reddy MALI

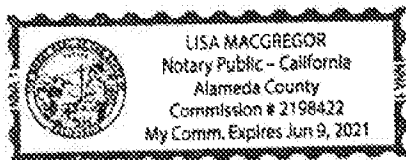
Date: 9/7/2018

STATE OF California

Before me, a Notary Public in and for the State of California on this 7 day of 2018 September
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

Lisa MacGregor
NOTARY PUBLIC
My Commission Expires:



Signature: 
Shichang MIAO

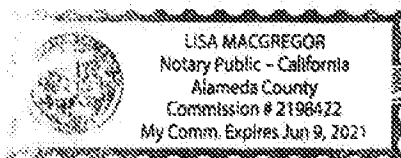
Date: Jan 03, 2019

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 3 day of January, 2019
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)


NOTARY PUBLIC
My Commission Expires:



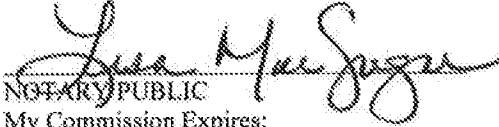
Signature: 
Sreenivas PUNNA

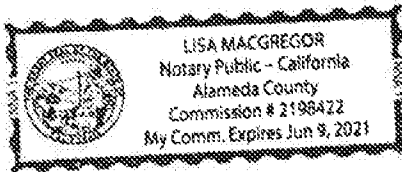
Date: 10/05/2018

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 5th day of October, 2018
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)


NOTARY PUBLIC
My Commission Expires:



Signature: _____

Yibin ZENG

Date: _____

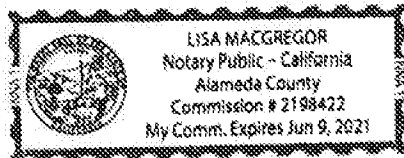
09/20/18

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 20 day of September, 2018
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

Lisa MacGregor
NOTARY PUBLIC
My Commission Expires: _____



Signature: _____

Penglie ZHANG

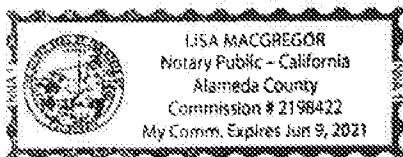
Date: _____

STATE OF CALIFORNIA

Before me, a Notary Public in and for the State of California, on this 20 day of September, 2018
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)


NOTARY PUBLIC
My Commission Expires:



ASSIGNMENT OF PATENT APPLICATION

For good and valuable consideration, the receipt of which is hereby acknowledged, Assignors,

1. Pingchen Fan of 2157 Kalenda Commons, Fremont, CA 94539;
2. Antoni Krasinski of 746 W. Knickerbocker Drive, Sunnyvale, CA 94087;
3. Venkat Reddy Mali of 20875 Valley Green Drive, Apt. 87;
4. Shichang Miao of 832 Arcturus Circle, Foster City, CA 94404;
5. Sreenivas Punna of 938 Fontana Terrace, Sunnyvale, CA 95085;
6. Yang Song of 750 Polaris Avenue, Foster City, CA 94404;
7. Valentino J. Stella of 1135 West Campus Road, Lawrence, KS 66044;
8. Yibin Zeng of 917 Aruba Lane, Foster City, CA 94404; and
9. Penglie Zhang of 1036 Jamaica St., Foster City, CA 94404

hereby sell, assign and transfer to Assignee, ChemoCentryx, Inc., a corporation of the state of Delaware, located at 850 Maude Avenue, Mountain View, CA 94043, and the successors, assigns and legal representatives of the Assignee all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

SOLUBLE C5aR ANTAGONISTS

- (a) U.S. Patent Application No. 15/477,386 filed on April 3, 2017;
(Attorney Docket No. 50779-580001US-011710US)
- (d) PCT Patent Application No. PCT/US2017/025704 filed on April 3, 2017.
(Attorney Docket No. 50779-580001WO-011710PC)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

Assignors hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

Assignors further covenant that Assignee will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to Assignor and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to Assignee or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application(s) when officially known.

68377068v.1

AND the Assignors request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the Assignee, ChemoCentryx, Inc.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Signature: _____
Pingchen FAN

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Antoni KRASINSKI

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Scal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Venkat Reddy MALI

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Shichang MIAO

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Sreenivas PUNNA


Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: 
Yang SONG

Date: 12/28/2018

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

See attached sub
12/28/2018

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of San Mateo

On 12/28/2018 before me, Sandeep K. Bhalla, Notary Public
(insert name and title of the officer)

personally appeared Yang Song
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature *Sandeep K. Bhalla* (Seal)



Signature: _____
Valentino J. STELLA

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Yibin ZENG

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____, personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Penglie ZHANG

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

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2. Antoni Krasinski of 746 W. Knickerbocker Drive, Sunnyvale, CA 94087;
3. Venkat Reddy Mali of 20200 Lucille Avenue, Apt. 4, Cupertino, CA 95014;
4. Shichang Miao of 832 Arcturus Circle, Foster City, CA 94404;
5. Sreenivas Punna of 938 Fontana Terrace, Sunnyvale, CA 95085;
6. Yang Song of 750 Polaris Avenue, Foster City, CA 94404;
7. Valentino J. Stella of 1135 West Campus Road, Lawrence, KS 66044;
8. Yibin Zeng of 917 Aruba Lane, Foster City, CA 94404; and
9. Penglie Zhang of 1036 Jamaica St., Foster City, CA 94404

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SOLUBLE C5aR ANTAGONISTS

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(Attorney Docket No. 50779-580001WO-011710PC)

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

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68377068v.1

AND the Assignors request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the Assignee, ChemoCentryx, Inc.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Signature: _____
Pingchen FAN

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this __ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Assignment
Entitled: SOLUBLE C5aR ANTAGONISTS
Attorney Docket Nos. 50779-580001US/PC-011710US/PC
Page 3

Signature: _____
Antoni KRASINSKI

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Assignment
Entitled: SOLUBLE C5aR ANTAGONISTS
Attorney Docket Nos. 50779-580001US/PC-011710US/PC
Page 4

Signature: _____
Venkat Reddy MALI

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Shichang MIAO

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Page 6

Date: _____

PATENT
REEL: 052615 FRAME: 0471

Assignment
Entitled: SOLUBLE C5aR ANTAGONISTS
Attorney Docket Nos. 50779-580001US/PC-011710US/PC
Page 7

Signature: _____
Yang SONG

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this _____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: Valentino J. Stella
Valentino J. STELLA

Date: 8/27/18

STATE OF Kansas

Before me, a Notary Public in and for the State of Kansas, on this 27th day of August,
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.



Nancy Helm
NOTARY PUBLIC
My Commission Expires: 6/22/19

Signature: _____
Yibin ZENG

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this _____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires:

Signature: _____
Penglie ZHANG

Date: _____

STATE OF _____

Before me, a Notary Public in and for the State of _____, on this ____ day of _____
personally appeared, who being duly sworn, signed and acknowledged the foregoing Assignment as his/her free act
and deed.

(Seal)

NOTARY PUBLIC
My Commission Expires: