# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6101651

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

#### **CONVEYING PARTY DATA**

Name	Execution Date
STEPHEN PARDOE	03/20/2020

### **RECEIVING PARTY DATA**

Name:	KIOXIA TECHNOLOGY UK LTD
Street Address:	25B-C WESTERN AVENUE
Internal Address:	MILTON PARK
City:	ABINGDON, OXON
State/Country:	UNITED KINGDOM
Postal Code:	OX14 4SH

## **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16834309

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 202-626-3600

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Correspondent Name: WHITE & CASE LLP

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ATTORNEY DOCKET NUMBER:	1264193-0006-261-101
NAME OF SUBMITTER:	DAVID M. TENNANT
SIGNATURE:	/David M. Tennant/
DATE SIGNED:	05/12/2020

**Total Attachments: 2** 

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PATENT 506054937 REEL: 052633 FRAME: 0185

### **ASSIGNMENT**

WHEREAS, the undersigned inventor(s) desires to transfer all right, title and interest in and to the inventions disclosed in the following Invention Disclosure Form(s):

• CERAMIC CAPACITOR STABILITY IMPROVEMENT submitted on March 20, 2019, by Stephen Pardoe (the "INVENTOR"):

(a) 🗌	U.S. patent application executed on,				
(b) 📝	U.S. application no	16/834,309	filed on	March 30, 2020	,
(c)	International (e.g., PC	CT, JP) application no	o	filed on	

WHEREAS, KIOXIA Technology UK Ltd ("KUK"), a UK corporation with its principal place of business at 25b-c Western Avenue, Milton Park, Abingdon, OXON, OX14 4SH, U.K., desires to acquire all of the right, title and interest of INVENTOR in, to and under the INVENTIONS and KUK further desires to transfer all such right, title and interest to KIOXIA America, Inc. (hereinafter referred to as "KAI") organized and existing under the laws of the United States, having a place for the transaction of business at 2610 Orchard Pkwy, San Jose, CA 95134, all right, title and interest in and to the INVENTIONS.

WHEREAS, KAI desires to acquire all of the right, title and interest of KUK in, to and under the INVENTIONS and KAI further desires to transfer all such right, title and interest to KIOXIA Corporation (hereinafter referred to as "KIC") a corporation duly organized and existing under the laws of Japan, with offices at 3 Chome-1-21 Shibaura, Minato City, Tokyo 108-0023, Japan;

Now, Therefore, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, INVENTOR hereby sells, assigns and transfers to KUK the entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, in and to the INVENTIONS and any and all improvements thereon which are the subject of the INVENTIONS, and in and to any U.S. or foreign patent application for such INVENTIONS and improvements and any legal equivalent thereof in a foreign country, including the right to claim priority, and in and to any division, continuation or continuation-in-part, renewal or substitute thereof, and in and to all resulting Letters Patents or any reissue, reexamination certificate, supplemental examination certificate, post grant review certificate, inter partes review certificate, or otherwise, thereof, to have and to hold the same to the full end of the term or terms for which any and all of said Letters Patent may be granted (collectively, the "ASSIGNED INVENTIONS");

INVENTOR hereby covenants that INVENTOR, respectively, has the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment; and

Without further payment or compensation by KUK or their successors and assigns, INVENTOR, respectively, further covenants and agrees to communicate to KUK, their representatives, agents, their successors or their assigns, or to subsequent assignees of the ASSIGNED INVENTIONS, any facts relating to the ASSIGNED INVENTIONS including evidence for purposes of interference or derivation proceedings or other administrative or legal proceedings whenever requested; to testify in any interference derivation, legal or administrative proceedings whenever requested; to execute and deliver,

PATENT REEL: 052633 FRAME: 0186 on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid KUK, their successors, assigns, nominees, or to subsequent assignees of the ASSIGNED INVENTIONS to secure, obtain and enforce proper patent protection for the ASSIGNED INVENTIONS in this or any foreign country.

And for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged. KUK hereby sells, assigns and transfers to KAI the entire right, title and interest to the ASSIGNED INVENTIONS:

And for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged. KAI hereby sells, assigns and transfers to KIC the entire right, title and interest to the ASSIGNED INVENTIONS.

INVENTOR, KUK, and KAI authorize and request the issuing authority to issue any and all patents on said applications to KIC or its successors and assigns,

KUK and KAI hereby covenant that they each have the full power to make this assignment, and that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment, and

Any attorney of record for KIC, and its successors and assigns, at the direction of KIC is authorized and requested by the execution of this assignment to insert into this assignment any further patent or patent application information in the blanks and check boxes above as necessary for recordation of this document.

IN WITNESS WHEREOF, the INVEN	TOR has here	unto affixed their signatures o	
1) 5. K. T. C. (Signature)		Stephen Pardoe (Type or Print Name)	<u>29/03/2020</u> (Date)
40 BOUPDARY	CLOSS (Reside	<u>, SWINDOH, W</u> arice Address)	LCTS / SNZ TT
IN WITNESS WHEREOF, a represer hereunto affixed his/her signature on			is assignment has
(Signature)			20 MAK(# 2020 (Date)
IN WITNESS WHEREOF, a represent thereunto affixed his/her signature on	tative of KAI of the date below	vith authorization to make this v written	s assignment has
(Signature)		Rick Lin, Senior Counsel	(Date)

PATENT

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RECORDED: 05/12/2020