

## PATENT ASSIGNMENT COVER SHEET

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EPAS ID: PAT6102190

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
ADRIAN BRITSCHGI	01/31/2020
FABIAN DEY	01/31/2020
ANNICK GOERGLER	01/31/2020
ERIC ANDRE KUSZNIR	01/31/2020
ROGER NORCROSS	01/31/2020
MORENO ATTILIO WICHERT	01/31/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	F. HOFFMAN-LA ROCHE AG
<b>Street Address:</b>	GRENZACHERSTRASSE 124
<b>City:</b>	BASEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4070
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
Application Number:	16809345
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	6786941262
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<b>Correspondent Name:</b>	KNOWLES INTELLECTUAL PROPERTY STRATEGIES, LLC
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<b>ATTORNEY DOCKET NUMBER:</b>	16010-037WO1US1 (1)
<b>NAME OF SUBMITTER:</b>	BRENT R. BELLOWS
<b>SIGNATURE:</b>	/Brent R. Bellows/
<b>DATE SIGNED:</b>	05/12/2020

**Total Attachments: 3**

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# ASSIGNMENT AGREEMENT

I/We, the undersigned

**Inventor(s)**

Adrian BRITSCHGI, a Swiss citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

Fabian DEY, a Swiss citizen  
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Annick GOERGLER, a French citizen  
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Eric Andre KUSZNIR, a French citizen  
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Roger NORCROSS, a British citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

Moreno Attilio WICHERT, a Swiss citizen  
c/o F. Hoffmann-La Roche AG  
Grenzacherstrasse 124, 4070 Basel, Switzerland

**Employed by:**

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

F. Hoffmann-La Roche AG

hereinafter ASSIGNOR(S), herewith declare that I am/we are the sole inventor(s)  
of the invention entitled:

### DIHYDROQUINOLINONES

for which (a) priority application(s) was (were) filed with the

**Patent Office**  
European Patent Office

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34307	WO	3 September 2018	PCT/EP2018/073555

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG  
Grenzacherstrasse 124  
4070 Basel  
Switzerland

hereinafter ASSIGNEE, of all right, title, and interest in, to, and deriving from the inventions disclosed in the priority application(s) set forth above by operation of law, contract, or otherwise.

As far as right, title, and interest of the ASSIGNOR(S) in, to, and deriving from the inventions disclosed in the patent application(s) set forth above may not have been transferred to ASSIGNEE, ASSIGNOR(S) herewith assign(s) to ASSIGNEE all right, title, and interest in, to, and deriving from the invention and to the above priority application(s), including the right to claim priority.

ASSIGNEE by virtue of this assignment thereof shall have the exclusive right and is fully entitled to claim priority of the priority application(s) set forth above, to apply for, obtain, and own patents worldwide in its own name and at its own costs that claim priority to and are based in whole or in part on the priority application(s), to maintain any patent granted thereupon and to discontinue any patents or patent applications as it deems appropriate.

ASSIGNEE and ASSIGNOR hereby acknowledge, confirm, and agree that ASSIGNOR has been fully and adequately compensated for all rights granted or assigned to ASSIGNEE hereunder.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Basel, Switzerland, January 31, 2020 Place, Date	<u>Adrian Britschgi</u> Adrian BRITSCHGI
Basel, Switzerland, January 31, 2020 Place, Date	<u>Fabian DEY</u> Fabian DEY
Basel, Switzerland, January 31, 2020 Place, Date	<u>Annick Goergler</u> Annick GOERGLER
Basel, Switzerland, January 31, 2020 Place, Date	<u>Eric Andre KUBZNIK</u> Eric Andre KUBZNIK
Basel, Switzerland, January 31, 2020 Place, Date	<u>Roger David Norcross</u> Roger NORCROSS
Basel, Switzerland, January 31, 2020 Place, Date	<u>Moreno Attilio WICHERT</u> Moreno Attilio WICHERT

**ASSIGNEE**

**F. Hoffmann-La Roche AG**

Basel, Switzerland, Feb 11, 2020 Place, Date	<u>Antonio Natoli</u> Antonio Natoli Holder of procuration	<u>Susanne Amann</u> Susanne Amann Mandatory
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## Legalization

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the overleaf signatures of

Mister Adrian Britschgi, citizen of Alpnach OW (Switzerland), residing in Basel (Switzerland),

Mister Fabian Dey, citizen of Marsens FR (Switzerland), residing in Basel (Switzerland),

Miss Annick Goergler, French citizen, residing in Colmar (France),

Mister Eric Andre Kusznir, French citizen, residing in Hartmannswiller (France),

Mister Roger Norcross, British citizen, residing in Olsberg (Switzerland), and

Mister Moreno Attilio Wichert, citizen of Alterndorf SZ, residing in Birsfelden (Switzerland).

He certifies that the named persons are in the employ of F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland).

Legalization based on comparison with authentic signatures.

He also legalizes the overleaf signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

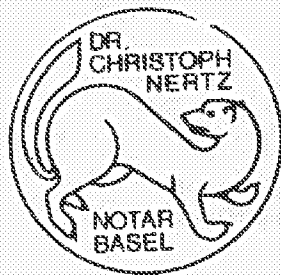
Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Susanne Amann, German citizen, residing in Heitersheim (Germany),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 17<sup>th</sup> (seventeenth) day of February 2020 (two thousand and twenty).



A handwritten signature in cursive script, appearing to read "Dr. Nertz".

BR 201/2020