

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6102193

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                                |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                                    |
| <b>CONVEYING PARTY DATA</b>   |   |
| <b>Name</b>   | <b>Execution Date</b>                         |
| F. HOFFMAN-LA ROCHE AG  | 02/18/2020                                    |
| <b>RECEIVING PARTY DATA</b>   |   |
| <b>Name:</b>  | C4 THERAPEUTICS, INC.                         |
| <b>Street Address:</b>  | 490 ARSENAL WAY                               |
| <b>City:</b>  | WATERTOWN                                     |
| <b>State/Country:</b>   | MASSACHUSETTS                                 |
| <b>Postal Code:</b>   | 02472   |
| <b>PROPERTY NUMBERS Total: 1</b>  |   |
| <b>Property Type</b>  | <b>Number</b>                                 |
| <b>Application Number:</b>  | 16809345                                      |
| <b>CORRESPONDENCE DATA</b>  |   |
| <b>Fax Number:</b>  |   |
| <i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i> |   |
| <b>Phone:</b>   | 6786941262                                    |
| <b>Email:</b>   | docket@kipsllc.com                            |
| <b>Correspondent Name:</b>  | KNOWLES INTELLECTUAL PROPERTY STRATEGIES, LLC |
| <b>Address Line 1:</b>  | 400 PERIMETER CENTER TERRACE                  |
| <b>Address Line 2:</b>  | SUITE 200                                     |
| <b>Address Line 4:</b>  | ATLANTA, GEORGIA 30346                        |
| <b>ATTORNEY DOCKET NUMBER:</b>  | 16010-037WO1US1 (3)                           |
| <b>NAME OF SUBMITTER:</b>   | BRENT R. BELLOWS                              |
| <b>SIGNATURE:</b>   | /Brent R. Bellows/                            |
| <b>DATE SIGNED:</b>   | 05/12/2020                                    |
| <b>Total Attachments: 5</b>   |   |
| source=16010-037WO1_P34307_2020-03-02_Executed_Assignment_RAG_to_C4T_03#page1.tif   |   |
| source=16010-037WO1_P34307_2020-03-02_Executed_Assignment_RAG_to_C4T_03#page2.tif   |   |
| source=16010-037WO1_P34307_2020-03-02_Executed_Assignment_RAG_to_C4T_03#page3.tif   |   |
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| source=16010-037WO1_P34307_2020-03-02_Executed_Assignment_RAG_to_C4T_03#page5.tif   |   |



## CONFIRMATORY ASSIGNMENT

**F. HOFFMANN-LA ROCHE AG** ("ASSIGNOR") a corporation duly organized under the laws of Switzerland and having its principal place of business at Grenzacherstrasse 124, 4070 Basel, Switzerland

acknowledges the previous full transfer by assignment to

**C4 THERAPEUTICS, INC.** ("ASSIGNEE") a corporation of the State of Massachusetts, having its principal place of business at 490 Arsenal Way, Suite 200, Watertown, Massachusetts, 02472, United States of America

for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which was previously and is again hereby acknowledged, of all right, title, and interest in, to, and deriving from the invention(s) disclosed in

International Application titled "DIHYDROQUINOLONONES", filed in Patent Cooperation Treaty, European Receiving Office on September 3, 2018, and assigned Application No. PCT/EP2018/073555, as well as its priority application, European Application No. 17189229.2, filed September 4, 2017,

for all territories excluding the United States of America and its possessions and territories by operation of law, contract, or otherwise, and any Letters Patent which may hereafter be granted on the same in all territories excluding the United States, including any applications claiming priority to and are based in whole or in part on the patent applications set forth above, including divisional applications, any substitute or extension applications, any supplementary patent certificates, and other patent term extensions or adjustments.

ASSIGNEE, by virtue of the previous Assignment which is now confirmed, has the right to file and own patent applications that claim priority to and are based in whole or in part on the patent applications set forth above in all territories excluding the United States of America and its possessions and territories, and to own Letters Patents that issue thereof.

ASSIGNOR does hereby covenant and agree with ASSIGNEE, its successors and assigns, that ASSIGNOR has not and will not execute any writing or do any act conflicting with this assignment, and ASSIGNOR further agrees that it will cooperate with ASSIGNEE in the prosecution of said Letters Patent and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as ASSIGNEE lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said ASSIGNEE, or ASSIGNEE'S successors and assigns.

As far as the right, title, and interest of ASSIGNOR in, to, and deriving from the invention(s) disclosed in the patent applications set forth above may not have been transferred, for good and valuable consideration paid to ASSIGNOR by ASSIGNEE, the receipt and sufficiency of which is hereby acknowledged, ASSIGNOR hereby assigns, sells and transfers to ASSIGNEE, and to ASSIGNEE'S successors and assigns, for all territories excluding the United States of America and its possessions and territories, all of ASSIGNOR'S right, title and interest in, to and deriving from the invention(s) described in the patent applications set forth above, including any applications claiming priority to and are based in whole or in part on the patent applications set forth above, including any divisional applications, supplementary patent certificates, and other patent term extensions.

This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

F. HOFFMANN-LA ROCHE AG

Name

ppa C. Müller

Title Dr. Christian Neuhaus  
Holder of Procuration

Date

Ellen-Blas

Name

Dr. Simona Müller-Afraz  
Vice Director

Title

Date

18.2.20

C4 THERAPEUTICS, INC.

*Michael Kim*

Name Michael Kim

Title Chief Business Officer

Date 2 MARCH 2020

STATE OF Massachusetts

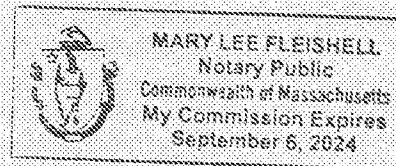
Before me, the undersigned attesting officer duly authorized to administer oaths, a Notary Public in and for the State aforesaid, on this 2<sup>nd</sup> day of March, <sup>2020</sup> ~~2019~~, personally appeared Michael Kim, who, being duly sworn, deposes and says that he is the Chief Business Officer of C4 THERAPEUTICS, INC., and that the foregoing Confirmatory Assignment was signed on behalf of said corporation by authority of its Board of Directors, and said Business Officer acknowledged said Confirmatory Assignment to be the free act and deed of said corporation.

*Mary Lee Fleishell*

NOTARY PUBLIC

(SEAL)

My Commission Expires: September 6, 2024



**Legalization**

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Dr. Christoph Nertz, herewith legalizes the foregoing signature of

F. Hoffmann-La Roche AG, a stock company with legal domicile in Basel (Switzerland), rendered by

Mister Dr. Christian Neuhaus, citizen of Oberschrot FR (Switzerland), residing in Binningen (Switzerland), and

Miss Dr. Simona Müller-Afraz, German citizen, residing in Zürich (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

B a s e l (Switzerland), this 27<sup>th</sup> (twenty-seventh) day of February (two thousand and twenty).

BA 262/2020

