506062071 05/15/2020

PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	ASSIGNMENT	

CONVEYING PARTY DATA

Name	Execution Date
YOSHIRO NIITSU	07/23/2012
VICTOR KNOPOV	07/20/2012
JOSEPH E. PAYNE	07/20/2012
ZHENG HOU	09/06/2012
JOHN A. GAUDETTE	07/23/2012
VIOLETTA AKOPIAN	07/23/2012
RICHARD P. WITTE	07/20/2012
MOHAMMAD AHMADIAN	07/20/2012
LOREN A. PERELMAN	08/17/2012
YASUNOBU TANAKA	07/23/2012
PRIYA KARMALI	07/20/2012
SRIDHAR C. NAGARAJAN	12/01/2008

RECEIVING PARTY DATA

Name:	NITTO DENKO CORPORATION
Street Address:	1-1-2 SHIMOHOZUMI IBARAKI-SHI
City:	OSAKA
State/Country:	JAPAN
Postal Code:	567-8680

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	16700304

CORRESPONDENCE DATA

(206)624-7317 Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

Phone: 3037644138

Email: patents@bakerlaw.com **Correspondent Name: BAKERHOSTETLER** Address Line 1: 999 THIRD AVENUE

Address Line 2: **SUITE 3600**

REEL: 052673 FRAME: 0609 506062071

PATENT -

Address Line 4: SEA	SEATTLE, WASHINGTON 98104-4040	
ATTORNEY DOCKET NUMBER:	101025.000074	
NAME OF SUBMITTER:	DENISE KINSMAN	
SIGNATURE:	/Denise Kinsman/	
DATE SIGNED:	05/15/2020	

Total Attachments: 13

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PATENT REEL: 052673 FRAME: 0610 DOCKET NO.: NITT-0023 PATENT

ASSIGNMENT

WHEREAS we, Yoshiro NIITSU, residing at 2-1-901, Kita 3-jo, Nishi 30-chome, Chuo-ku, Sapporo-shi, Hokkaido, 064-0823, Japan; Victor KNOPOV, residing at 2104 SeaCliff Way, Oceanside, California 92056; Joseph E. PAYNE, residing at 4810 Milano Way, Oceanside, California 92057; Zheng HOU, residing at 8305 Stage Coach Place, San Diego, California 92129; John A. GAUDETTE, residing at 14329 Jolley Lane, Poway, California 92064; Violetta AKOPIAN, residing at 2308 SeaCliff Way, Oceanside, California 92056; Richard P. WITTE, residing at 3462 Spring Tide Terrace, San Diego, California 92110; Mohammad AHMADIAN, residing at 3230 Rancho Quartillo, Carlsbad, California 92009; Loren A. PERELMAN, residing at 855 Cowles Mountain Court, #A22, San Diego, California 92119; Yasunobu TANAKA, residing at 1-1-2, Shimohozumi, Ibaraki-shi, Osaka, 5678680, Japan; Priya KARMALI, residing at 4353 Nobel Drive, Unit #68, San Diego, California 92122; and Sridhar C. NAGARAJAN, residing at 3998 Saint Agnes Court. San Diego, California 92130, hereinafter referred to as the assignors, are the joint inventors of certain inventions or improvements for which we have made application for Letters Patent to the United States, identified as Application No. 13/492,650, filed June 8, 2012, and entitled "CATIONIC LIPIDS FOR THERAPEUTIC AGENT DELIVERY FORMULATIONS"; and

WHEREAS Nitto Denko Corporation, hereinafter referred to as the assignee, a Japanese corporation with its principal place of business at 1-1-2 Shimohozumi Ibaraki, Osaka, Japan 567-8680, is desirous of acquiring the entire right, title and interest in and to the said inventions or improvements and in and to the said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in any and all countries;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, and intending to be legally bound hereby, we, the said assignors, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over to said assignee, the entire right, title and interest in and to said inventions or improvements and said application and any and all continuations, divisions and renewals of and substitutes for said application, and in, to and under any and all Letters Patent which may be granted on or as a result thereof in the United States and any and all other countries, and any reissue or reissues or extension or extensions of said Letters Patent, including the full right to sue for and collect damages for past violations of provisional rights having arisen from any publication of said application or any continuations, divisions, or renewals of or substitutes for said application. We further assign to and authorize said assignee, to file in our names applications for Letters Patent in all countries, the same to be held and enjoyed by said assignee, its successors, assigns, nominees or legal representatives, to the full end of the term or terms for which said Letters Patent respectively may be granted, reissued or extended, as fully and entirely as the same would have been held and enjoyed by us had this assignment, sale and transfer not been made.

AND we hereby covenant that we have full right to convey the entire interest herein assigned, and that we have not executed and will not execute any agreement in conflict herewith, and we further covenant and agree that we will each time request is made and without undue

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delay, execute and deliver all such papers as may be necessary or desirable to perfect the title to said inventions or improvements, said application and said Letters Patent to said assignee, its successors, assigns, nominees, or legal representatives, and each of us agrees to communicate to said assignee or to its nominee all known facts respecting said inventions or improvements, said application and said Letters Patent, to testify in any legal proceedings, to sign all lawful papers, to execute all disclaimers and divisional, continuing, reissue and foreign applications, to make all rightful oaths, and generally to do everything possible to aid said assignee, its successors, assigns, nominees and legal representatives to obtain and enforce for its or their own benefit proper patent protection for said inventions or improvements in any and all countries.

AND we hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue to said assignee, as assignee of the entire right, title and interest, any and all Letters Patent for said inventions or improvements, including any and all Letters Patent of the United States which may be issued and granted on or as a result of the application aforesaid, in accordance with the terms of this assignment.

AND this Assignment may be executed in multiple counterparts, each of which shall be deemed to be an original of this Assignment. Additionally, we hereby authorize our attorneys to collect the signature pages of each executed counterpart and to attach those signature pages to a single copy of this instrument, which single copy and attached signature pages together shall constitute an original of this Assignment.

IN TESTIMONY WHEREOF, we have signed our names below on the date(s) indicated

Executed this 20 day of See ly, year of 2012.
AK-
Victor KNOPOV
STATEMENT OF NOTARY PUBLIC
STATE OF Cali fornia : SS COUNTY OF San Diego:
: SS COUNTY OFSan Diego:
On this 75 day of 75, year of 2012, before me personally came the above named Victor KNOPOV to the personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me that execution of the same was of that person's own free will for the use and purposes therein set forth. MUTSUKO MIKAMI CHURCH Commission # 1918654 Notary Public - California San Diego County Notary Public No
Executed this 20th day of July, year of 2012. Joseph E. PAYNE
STATEMENT OF NOTARY PUBLIC
STATE OF <u>California</u> :
: SS COUNTY OF <u>San Diego</u> :
On this Do day of
MUTSUKO MIKAMI CHURCH Commission # 1918654 Notary Public - California San Diego County My Comm. Expires Dec 24, 2014

Executed this	day of _ <i>\$Cf</i> \$	Conductive year of 2012.
*		
Zheng HOU	······································	
STATEMENT OF N	OTARY PUBLIC	
STATE OF <u>(4/4.16</u> /	<u> </u>	
COUNTY OF	: SS	
personally came the al- the same individual w	bove named Zheng HOI ho executed the foregoi	TABER, year of 2012, before me to me personally known and known to me to be ng assignment, and who acknowledged to me that wn free will for the use and purposes therein set
		North College
John A. GAUDETTE		
STATEMENT OF N	OTARY PUBLIC	
STATE OF	·	
	: 55	
COUNTY OF	Managaran na paga sa p	
me to be the same indi	vidual who executed th	year of <u>2012</u> before me UDETTE to me personally known and known to foregoing assignment, and who acknowledged to on's own free will for the use and purposes therein
		Man Same

PATENT

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Executed this	day of	, year of 2012.
Zheng HOU		
STATEMENT OF N	OTARY PUBLIC	
STATE OF	;	
	: SS	
COUNTY OF	:	
		, year of <u>2012</u> , before me U to me personally known and known to me to be
		ng assignment, and who acknowledged to me that wan free will for the use and purposes therein set
		Notary Public
Executed this 23 Lohn A. GAUDETTE	gay of Joly	, year of 2012.
STATEMENT OF N	OTARY PUBLIC	
STATE OF		
COUNTY OF	in Diego: SS	
	s <u>23</u> day of <u>c</u> above named John A. G.	July, year of 2012, before me AUDETTE to me personally known and known to
me to be the same ind me that execution of t	lividual who executed th	e foregoing assignment, and who acknowledged to son's own free will for the use and purposes therein
set forth.	MUTSUKO MIKAMI CHUR Commission # 191865 Notary Public - Californi San Diego County My Comm. Expires Dec 24, 2	a Notary Public

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Executed this 23 day of Mills year of 2012
Executed this <u>23</u> day of <u>July</u> , year of 2012. **THE COPYRIGHT OF THE PROPERTY OF THE PROPER
Violetta AKOPIAN
STATEMENT OF NOTARY PUBLIC
STATE OF <u>California</u> :
COUNTY OF San Duey : SS:
On this 23 day of Yelf, year of 2012, before me personally came the above named Violetta AKOPIAN to me personally known and known to me to be the same individual who executed the foregoing assignment, and who acknowledged to me
that execution of the same was of that person's own free will for the use and purposes therein set forth. MUTSUKO MIKAMI CHURCH Commission # 1918654 Notary Public - California San Diego County My Comm. Expires Dec 24, 2014 Notary Public
Executed this 20th day of Jwy, year of 2012. MAD A Richard P. WITTE
STATEMENT OF NOTARY PUBLIC
STATE OF <u>California</u> :
: SS COUNTY OF San Diego:
On this day of
forth. MUTSUKO MIKAMI CHURCH Commission # 1918654 Notary Public - California San Diego County My Comm. Expires Dec 24, 2014

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<i>*</i> 1	
Executed this 20th day of July Mohammed Almadia	, year of 2012.
Mohammed Almadia	
Mohammad AHMADIAN	
STATEMENT OF NOTARY PUBLIC	
STATE OF <u>California</u> : : SS COUNTY OF <u>San Diego</u> :	
; SS	
COUNTY OF <u>San Diego</u> :	
personally came the above named Mohammad A to me to be the same individual who executed the to me that execution of the same was of that patherein set forth. MUTSUKO MIKAMI CHURCH Commission # 1918654 Notary Public - California San Diego County My Comm. Expires Dec 24, 2014	, year of, before me HMADIAN to me personally known and known are foregoing assignment, and who acknowledged person's own free will for the use and purposes Notary Public
Executed thisday of	, year of 2012.
Loren A. PERELMAN	
STATEMENT OF NOTARY PUBLIC	
STATE OF:	
: SS	
COUNTY OF:	
me to be the same individual who executed the t	, year of, before me EELMAN to me personally known and known to foregoing assignment, and who acknowledged to i's own free will for the use and purposes therein
	Notary Public

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PATENT

Executed this	day of	, year of 2012.
Mohammad AHMADIAN		
STATEMENT OF NOTA		
STATE OF	······································	
	: SS	
COUNTY OF		
personally came the above to me to be the same indiv	named Mohammad idual who executed	, year of <u>2012</u> , before me AHMADIAN to me personally known and known the foregoing assignment, and who acknowledged person's own free will for the use and purposes
		Notary Public
		//
Executed this	day ofAugus	, year of 2012.
STATEMENT OF NOTA	ARY PUBLIC	
STATE OF Californ	ī4: : SS	
COUNTY OF Alame		
me to be the same individume that execution of the saset forth. Commission of the saset forth.	named Loren A. Phalal who executed the	, year of <u>2012</u> , before me ERELMAN to me personally known and known to e foregoing assignment, and who acknowledged to on's own free will for the use and purposes therein

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Executed this23 rd	day of Ju	, year of 2012.
Mul		
Yasunobu TANAKA		
STATEMENT OF WIT	NESS	
SIGNED at Hok	kaido, Jo	yan,
this γ 37 day of	July 1	(Country), 2012.
I. Mitsuru Ta	mada	, whose full residence address
is N2/WIV, Sappo	ro, Holckaid	o, vol-vor, Japan was personally presen
and did see Yasunobu	TANAKA execu	ute the above assignment on the 23th day of
<u> </u>		gnor is personally known to me to be the persor
described in such docume		
Austrum 7	ameda	
Mitsum 7 Signature o	f Witness	
		//
r		
Executed this	aay of	, year of 2012.
Priya KARMALI	· · · · · · · · · · · · · · · · · · ·	
STATEMENT OF NOT	CADV DIIDI IC	
STATEMENT OF NOT	ARTIOBLIC	
STATE OF	:	
	: SS	
COUNTY OF	:	
On this	day of	, year of <u>2012</u> , before me RMALI to me personally known and known to me to
be the same individual w	ho executed the fe	RMALI to me personally known and known to me to oregoing assignment, and who acknowledged to me in's own free will for the use and purposes therein set
. VA VALI		

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Notary Public

PATENT

DOCKET NO.: NITT-0023

PATENT

Executed this	day of	, yea	r of 2012.	
Yasunobu TANAKA				
STATEMENT OF V	VITNESS			
SIGNED at			(Country)	······································
this day of	(City)	, 2012.	(Country)	
			, whose full reside	
and did see Yasun	obu TANAKA exec 2012, and such assi	ute the above	assignment on the	day of
Signatu	re of Witness			
Executed this 2 Priya KARMALI	<u>○</u> day of <u></u>	, yea		
STATEMENT OF N	OTARY PUBLIC			
STATE OF <u>(a)</u> COUNTY OF <u>Sa</u>	. 22			
be the same individu	al who executed the	foregoing assign on's own free wi	, year of	edged to me

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Executed this	day of	, year of 2012.
Sridhar C. NAGARAJ	AN	
STATEMENT OF N	OTARY PUBLIC	
STATE OF	;	
	: SS	
COUNTY OF		
personally came the at to me to be the same i	oove named Sridhar C. N ndividual who executed	, year of <u>2012</u> , before many the foregoing assignment, and who acknowledge person's own free will for the use and purpose
		Notary Public
		roung ruonv

501 Via Del Monie, Oceanside, CA 92054 Tel 760-435-7011 Fax 760-435-7050

CONFIDENTIALITY AND EMPLOYMENT AGREEMENT

In consideration of my employment by Nitto Denko Technical (hereafter referred to as NDT), a subsidiary of Nitto Denko America, Inc., and other valuable consideration, I affirm and agree as follows:

- 1. I will keep all business and trade secrets, including secret processes of manufacture, research records, financial records, personnel records, and all other confidential information owned by or in the possession of NDT, subsidiaries and affiliates as secret and confidential. I will not use such information other than in an authorized manner in the course of NDT business. I will not divulge such information to outsiders or other unauthorized persons either while employed by NDT or afterwards.
- II. In order to keep my confidentiality obligations and to avoid conflicts of interest which might arise, I will do the following (i) while I am employed by NDT I will disclose to any future prospective employers the existence of this Agreement and the nature of my confidentiality obligations arising from it before I accept any new position of employment and (ii) upon termination of my employment with NDT for whatever reasons, I will sign a termination statement which will acknowledge my confidentiality obligation under this Agreement.
- During the term of my employment with NDT, I will not engage or have any interest in any outside business activities or enter into any consulating agreements which, in the opinion of NDT, conflict with the interests of NDT or its affiliates.
- I will disclose promptly to NDT any and all inventions, discoveries, and improvements (patentable or not) conceived or made by me and all works of authorship created by me (i) during the period of my employment, or (ii) at any time and based upon any confidential information of NDT or its affiliates. I assign all of my interest therein to NDT or its nominee without compensation and I will execute all documents necessary to enable NDT to secure patents or copyrights of the United States or any foreign country or to otherwise protect NDT interests therein. These obligations shall continue beyond the termination of my employment.

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- V. I will on termination of my employment return to NDT all papers, notes, books, or other documents or property belonging to NDM or relating to its or its affiliates' business.
- VI. The attached Employee Invention Rights Notification Under California Law form is a part of this agreement.

Intending to be bound, I have executed this agreement this st day of <u>December</u>, 2008.

(Employee signature)

(Print employee name)

ACCEPTED:

By: 27/2/2

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RECORDED: 05/15/2020