

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
Stylesheet Version v1.2

EPAS ID: PAT6113817

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the THE SPELLING OF ASSIGNEE EVOTEK (UK) LTD TO EVOTEC (UK) LTD previously recorded on Reel 052678 Frame 0467. Assignor(s) hereby confirms the SPELLING OF THE ASSIGNEE IS EVOTEC (UK) LTD.
CONVEYING PARTY DATA	
Name	Execution Date
GARETH NEIL BRACE	06/12/2018
ROSE ELIZABETH CHAPPELL	06/12/2018
HERVE JEAN CLAUDE DEBOVES	06/12/2018
GREGORY FOULKES	06/12/2018
ROBERT JAMES TOWNSEND	06/12/2018
RECEIVING PARTY DATA	
Name:	EVOTEC (UK) LTD
Street Address:	114 INNOVATION DRIVE
Internal Address:	MILTON PARK
City:	ABINGDON, OXFORDSHIRE
State/Country:	UNITED KINGDOM
Postal Code:	OX14 4RZ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16619573
CORRESPONDENCE DATA	
Fax Number:	(312)913-0002
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3129130001
Email:	neth@mbhb.com, docketing@mbhb.com
Correspondent Name:	MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP
Address Line 1:	300 SOUTH WACKER DRIVE
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	19-2221-WO-US
NAME OF SUBMITTER:	STEVEN J. SARUSSI
SIGNATURE:	/Steven J. Sarussi/

DATE SIGNED:

05/19/2020

Total Attachments: 35

source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page1.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page2.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page3.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page4.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page5.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page6.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page7.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page8.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page9.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page10.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page11.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page12.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page13.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page14.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page15.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page16.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page17.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page18.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page19.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page20.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page21.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page22.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page23.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page24.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page25.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page26.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page27.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page28.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page29.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page30.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page31.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page32.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page33.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page34.tif
source=PF0110-US-PCT - Inventors to Evotec Cover Sheet with assignment#page36.tif

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6109209

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
GARETH NEIL BRACE	06/12/2018
ROSE ELIZABETH CHAPPELL	06/12/2018
HERVE JEAN CLAUDE DEBOVES	06/12/2018
GREGORY FOULKES	06/12/2018
ROBERT JAMES TOWNSEND	06/12/2018
RECEIVING PARTY DATA	
Name:	EVOTEK (UK) LTD
Street Address:	114 INNOVATION DRIVE
Internal Address:	MILTON PARK
City:	ABINGDON, OXFORDSHIRE
State/Country:	UNITED KINGDOM
Postal Code:	OX14 4RZ
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16619573
CORRESPONDENCE DATA	
Fax Number:	(312)913-0002
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	3129130001
Email:	neth@mbhb.com, docketing@mbhb.com
Correspondent Name:	MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP
Address Line 1:	300 SOUTH WACKER DRIVE
Address Line 4:	CHICAGO, ILLINOIS 60606
ATTORNEY DOCKET NUMBER:	19-2221-WO-US
NAME OF SUBMITTER:	STEVEN J. SARUSSI
SIGNATURE:	/Steven J. Sarussi/
DATE SIGNED:	05/15/2020
Total Attachments: 35	

**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 17 day of June 2018 between

- (1) **Gareth Neil Brace** ("THE INVENTOR");
c/o Evotec (UK) Ltd
114 Innovation Drive,
Milton Park,
Abingdon,
Oxfordshire,
OX14 4RZ,
UNITED KINGDOM
- (2) **Evotec (UK) Ltd** ("EVOTEC")
114 Innovation Drive
Milton Park
Abingdon
Oxfordshire
OX14 4RZ
- (3) **UCB BIOPHARMA SPRL** ("UCB BIOPHARMA")
Allée de la Recherche, 60
B-1070 Brussels
BELGIUM

WHEREAS

(A) The Inventor has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled **SPIROCYCLIC INDOLINES AS IL-17 MODULATORS** and identified by the patent family reference PF0110 ("the Invention");

(B) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
UK Patent Office	14 June 2017	1709456.6

- (C) It is the wish and intention of all the parties hereto that:
- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
 - (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications,

continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications) ("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. **THE INVENTOR ACKNOWLEDGES** that:
 - a) he or she made the Invention as part of his or her normal employment duties and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
 - b) all his or her right, title, and interest in the invention have transferred to **EVOTEC** by virtue of applicable Law.

2. All right, title and interest in the Invention have transferred from **EVOTEC** to **UCB BIOPHARMA** by virtue of an agreement dated 4 March 2014 and amendments thereof.
EVOTEC hereby agrees that **UCB BIOPHARMA** has and shall have the exclusive rights to the Invention and all patent applications and resulting patents pertaining to the Invention, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for the Invention, including for the avoidance of doubt the Application and the Corresponding Application(s).

3. **EVOTEC** and **THE INVENTOR ACKNOWLEDGE** that all right, title and interest in the Invention thereof are vested in **UCB BIOPHARMA** and that **UCB BIOPHARMA** has the exclusive rights to:
 - (i) the Invention;

 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;

 - (iii) recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

4. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR** and/or **EVOTEC** and in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** and/or **EVOTEC** HEREBY ASSIGNS to **UCB BIOPHARMA**:
- (i) the Invention;
 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
 - (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.
6. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA**, or its nominee as **UCB BIOPHARMA** shall direct, and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.
7. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY AUTHORISES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____


8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.
9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

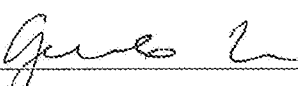
This page remains without signatures on purpose – all signatures are executed on follow-up pages (5-7).

Signed by THE INVENTOR

Gareth Neil Brace

Signature: 

Place: Evotec UK

Witness signature: 

Name: SIANCARIS TEAM

Address: 114. INNOVATION DRIVE, MILTON PARK, ABRINGDON, OX14 4RZ

Place: Evotec UK

Witness signature: 

Name: RICHARD JOHN HEARS

Address: 114 Innovation Drive, Milton Park, Abingdon, OX14 4RZ

Place: Evotec UK

Signed for and on behalf of Evotec (UK) Ltd

By: Name: KATHRYN WILKES
Title: VP HUMAN RESOURCES

KAMRAN BASHIR
FINANCE DIRECTOR (UK)

Signature: CLOUKE

K. Bashir

Place: ABINGDON, UK

ABINGDON, UK

Witness signature: Penny Chouan

Name: PENNY CHOUAN

Address: 114 INNOVATION DRIVE

Place: ABINGDON, UK

Witness signature: Si-Anh Tran

Name: SI-ANH TRAN

Address: 114 INNOVATION DRIVE

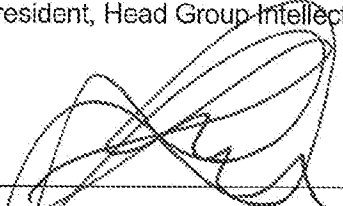
Place: ABINGDON, UK

Signed for and on behalf of **UCB BIOPHARMA SPRL**

By: Name: Stéphane DROUIN :

Title : Vice President, Head Group Intellectual Property

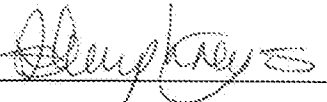
Signature:



SLOUGH, UK

Place:

Witness signature:



Name:

SARAH HUMPHREYS

Address:

c/o 208 Bath Road

Place:

SLOUGH, UK

Witness signature:



Name:

KATE SEDBEER

Address:

c/o 208 Bath Road

Place:

SLOUGH, UK

CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND UNDERTAKING AGREEMENT

THIS AGREEMENT is made the 14 day of JUNE 2018 between

- (1) **Rose Elizabeth CHAPPELL** ("THE INVENTOR");
c/o Evotec (UK) Ltd
114 Innovation Drive,
Milton Park,
Abingdon,
Oxfordshire,
OX14 4RZ,
UNITED KINGDOM
- (2) **Evotec (UK) Ltd** ("EVOTEC")
114 Innovation Drive
Milton Park
Abingdon
Oxfordshire
OX14 4RZ
United Kingdom
- (4) **UCB BIOPHARMA SPRL** ("UCB BIOPHARMA")
Allée de la Recherche, 60
B-1070 Brussels
BELGIUM

WHEREAS

(A) The Inventor has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled **SPIROCYCLIC INDOLINES AS IL-17 MODULATORS** and identified by the patent family reference PF0110 ("the Invention");

(B) The Invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
UK Patent Office	14 June 2017	1709456.6

- (C) It is the wish and intention of all the parties hereto that:
- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
 - (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional

applications, applications for patents of addition, continuation applications, continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications) ("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. **THE INVENTOR** ACKNOWLEDGES that:
 - a) he or she made the Invention as part of his or her normal employment duties and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
 - b) all his or her right, title, and interest in the invention have transferred to **EVOTEC** by virtue of applicable Law.

2. All right, title and interest in the Invention have transferred from **EVOTEC** to **UCB BIOPHARMA** by virtue of an agreement dated 1 May 2015 including amendments thereof.
EVOTEC hereby agrees that **UCB BIOPHARMA** has and shall have the exclusive rights to the Invention and all patent applications and resulting patents pertaining to the Invention, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for the Invention, including for the avoidance of doubt the Application and the Corresponding Application(s).

3. **EVOTEC** and **THE INVENTOR** ACKNOWLEDGE that all right, title and interest in the Invention thereof are vested in **UCB BIOPHARMA** and that **UCB BIOPHARMA** has the exclusive rights to:
 - (i) the Invention;

 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;

 - (iii) recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

4. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR** and/or **EVOTEC** and in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** and/or **EVOTEC** HEREBY ASSIGNS to **UCB BIOPHARMA**:
- (i) the Invention;
 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
 - (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.
6. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA**, or its nominee as **UCB BIOPHARMA** shall direct, and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.
7. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY AUTHORISES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.
9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

This page remains without signatures on purpose – all signatures are executed on follow-up pages (5-7).

Signed by **THE INVENTOR**

Rose Elizabeth CHAPPELL

Signature: *R. Chappell*

Place: SLOUGH, UK

Witness signature: *Kate Sedgbeer*

Name: KATE SEDGBEER

Address: c/o 208 Bath Road

Place: SLOUGH, UK

Witness signature: *Stacy Hummets*

Name: STACY HUMMETS

Address: c/o 208 Bath Road

Place: SLOUGH, UK

Signed for and on behalf of Evotec (UK) Ltd

By: Name: KATHRYN WILKES

Title: VP HUMAN RESOURCES

KARLAN BASHIR

FINANCE DIRECTOR (UK)

Signature: KWILKES

K Bashir

Place: ABINGDON, UK

ABINGDON, UK

Witness signature: Penny Chacon

Name: PENNY CHACON

Address: 114 Innovation Drive

Place: Abingdon, UK.

Witness signature: Siobhán Tramm

Name: SIOBHÁN TRAM

Address: 114 INNOVATION DRIVE, MILTON PARK

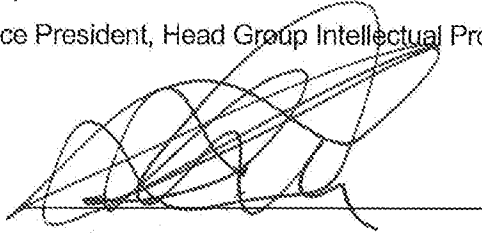
Place: ABINGDON, UK

Signed for and on behalf of **UCB BIOPHARMA SPRL**

By: Name: Stéphane DROUIN :

Title : Vice President, Head Group Intellectual Property

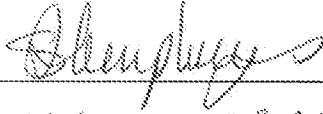
Signature:



Place:

SLOUTH, UK

Witness signature:



Name:

SARAH HUMPHREYS

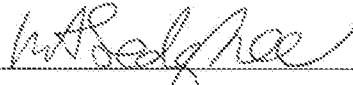
Address:

10208 Bath Road

Place:

SLOUTH, UK

Witness signature:



Name:

KATE SEDGBEER

Address:

10208 Bath Road

Place:

SLOUTH, UK

**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 12 day of June 2018 between

- (1) **Hervé Jean Claude DEBOVES** (“THE INVENTOR”);
c/o Evotec (UK) Ltd
114 Innovation Drive,
Milton Park,
Abingdon,
Oxfordshire,
OX14 4RZ,
UNITED KINGDOM
- (2) **Evotec (UK) Ltd** (“EVOTEC”)
114 Innovation Drive
Milton Park
Abingdon
Oxfordshire
OX14 4RZ
- (3) **UCB BIOPHARMA SPRL** (“UCB BIOPHARMA”)
Allée de la Recherche, 60
B-1070 Brussels
BELGIUM

WHEREAS

(A) The Inventor has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled **SPIROCYCLIC INDOLINES AS IL-17 MODULATORS** and identified by the patent family reference PF0110 (“the Invention”);

(B) The Invention is described in a patent application (“the Application”), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
UK Patent Office	14 June 2017	1709456.6

(C) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications,

continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications) ("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. **THE INVENTOR ACKNOWLEDGES** that:
 - a) he or she made the Invention as part of his or her normal employment duties and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
 - b) all his or her right, title, and interest in the invention have transferred to **EVOTEC** by virtue of applicable Law.

2. All right, title and interest in the Invention have transferred from **EVOTEC** to **UCB BIOPHARMA** by virtue of an agreement dated 4 March 2014 and amendments thereof.
EVOTEC hereby agrees that **UCB BIOPHARMA** has and shall have the exclusive rights to the Invention and all patent applications and resulting patents pertaining to the Invention, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for the Invention, including for the avoidance of doubt the Application and the Corresponding Application(s).

3. **EVOTEC** and **THE INVENTOR ACKNOWLEDGE** that all right, title and interest in the Invention thereof are vested in **UCB BIOPHARMA** and that **UCB BIOPHARMA** has the exclusive rights to:
 - (i) the Invention;

 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;

 - (iii) recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

4. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR** and/or **EVOTEC** and in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** and/or **EVOTEC** HEREBY ASSIGNS to **UCB BIOPHARMA**:

- (i) the Invention;
- (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
- (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

- 5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.
- 6. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA**, or its nominee as **UCB BIOPHARMA** shall direct, and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.
- 7. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY AUTHORISES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

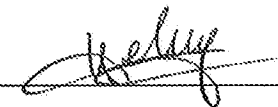
- 8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.
- 9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

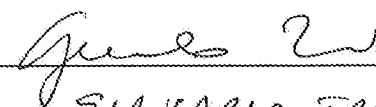
This page remains without signatures on purpose – all signatures are executed on follow-up pages (5-7).

Signed by THE INVENTOR

Hervé Jean Claude DEBOVES

Signature: 


Place: EVOTEC UK LTD

Witness signature: 

Name: GIANCARLO TRANI

Address: 114 INNOVATION DRIVE, MILTON PARK, ABINGDON, OX14 4RZ

Place: EVOTEC UK

Witness signature: RICHARD JOHN HEARDS 

Name: RICHARD JOHN HEARDS

Address: 114 Innovation Drive, Milton Park, Abingdon, OX14 4RZ

Place: Evotec UK

Signed for and on behalf of Evotec (UK) Ltd

By: Name: KATHRYN NIKES
Title: VP HUMAN RESOURCES

KAMLAN BASHIR
FINANCE DIRECTOR (UK)

Signature: KWILKES

K Bashir

Place: ABINGDON, UK

ABINGDON, UK

Witness signature: Penny

Name: PENNY CHOWAN

Address: 114 Innovation Drive

Place: Abingdon, UK

Witness signature: Guo

Name: GUANLARG TRAM

Address: 114 INNOVATION DRIVE


Place: ABINGDON, UK

Signed for and on behalf of **UCB BIOPHARMA SPRL**

By: Name: Stéphane DROUIN :

Title : Vice President, Head Group Intellectual Property

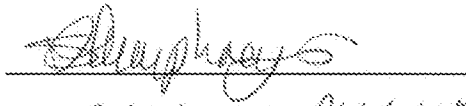
Signature:



Place:

SLUOUM, UK

Witness signature:



Name:

SARAH HUMPHREYS

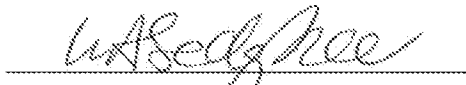
Address:

c/o 208 Bath Road

Place:

SLUOUM, UK

Witness signature:



Name:

KATE SEDGBEER

Address:

c/o 208 Bath Road

Place:

SLUOUM, UK

**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 12 day of June 2018 between

- (1) **Gregory FOULKES** ("THE INVENTOR");
 c/o Evotec (UK) Ltd
 114 Innovation Drive,
 Milton Park,
 Abingdon,
 Oxfordshire,
 OX14 4RZ,
 UNITED KINGDOM
- (2) **Evotec (UK) Ltd** ("EVOTEC")
 114 Innovation Drive
 Milton Park
 Abingdon
 Oxfordshire
 OX14 4RZ
- (3) **UCB BIOPHARMA SPRL** ("UCB BIOPHARMA")
 Allée de la Recherche, 60
 B-1070 Brussels
 BELGIUM

WHEREAS

(A) The Inventor has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled **SPIROCYCLIC INDOLINES AS IL-17 MODULATORS** and identified by the patent family reference PF0110 ("the Invention");

(B) The invention is described in a patent application ("the Application"), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
UK Patent Office	14 June 2017	1709456.6

(C) It is the wish and intention of all the parties hereto that:

- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
- (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications,

continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications) ("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. **THE INVENTOR** ACKNOWLEDGES that:
 - a) he or she made the Invention as part of his or her normal employment duties and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
 - b) all his or her right, title, and interest in the invention have transferred to **EVOTEC** by virtue of applicable Law.

2. All right, title and interest in the Invention have transferred from **EVOTEC** to **UCB BIOPHARMA** by virtue of an agreement dated 4 March 2014 and amendments thereof.
EVOTEC hereby agrees that **UCB BIOPHARMA** has and shall have the exclusive rights to the Invention and all patent applications and resulting patents pertaining to the Invention, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for the Invention, including for the avoidance of doubt the Application and the Corresponding Application(s).

3. **EVOTEC** and **THE INVENTOR** ACKNOWLEDGE that all right, title and interest in the Invention thereof are vested in **UCB BIOPHARMA** and that **UCB BIOPHARMA** has the exclusive rights to:
 - (i) the Invention;

 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;

 - (iii) recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

4. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR** and/or **EVOTEC** and in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** and/or **EVOTEC** HEREBY ASSIGNS to **UCB BIOPHARMA**:

- (i) the Invention;
- (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
- (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.

6. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA**, or its nominee as **UCB BIOPHARMA** shall direct, and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.

7. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY AUTHORISES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*)	US Serial No :	_____
	and/or PCT Application No :	_____
	Filing Date :	_____

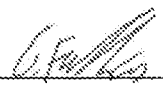
8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.
9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

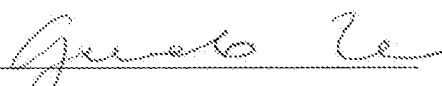
This page remains without signatures on purpose – all signatures are executed on follow-up pages (5-7).

Signed by THE INVENTOR

Gregory FOULKES

Signature: 

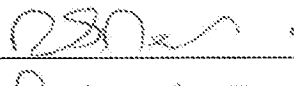
Place: Evotec UK

Witness signature: 

Name: GIANCARLO TRANI

Address: 112-116 INNOVATION DRIVE, MILTON PARK, OX16 6R7

Place: EVOTEC UK

Witness signature: 

Name: Richard John Neary

Address: 112 Innovation Drive, Milton Park, Abingdon, OX14 4RZ

Place: Evotec.

Signed for and on behalf of Evotec (UK) Ltd

By: Name: KATHRYN WILKES
Title: VP HUMAN RESOURCES

KAMLAN BASHIR
FINNIE DIRECTOR (UK)

Signature: KWILKES

~~KWBASHIR~~

Place: ABINGDON, UK

ABINGDON, UK

Witness signature: Penny Chouin

Name: PENNY CHOUIN

Address: 114 Innovation Drive

Place: Abingdon, UK

Witness signature: Giancarlo Tram

Name: GIANCARLO TRAM

Address: 114 INNOVATION DRIVE

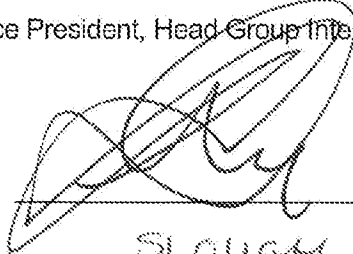
Place: ABINGDON, UK

Signed for and on behalf of **UCB BIOPHARMA SPRL**

By: Name: Stéphane DROUIN :

Title : Vice President, Head Group Intellectual Property

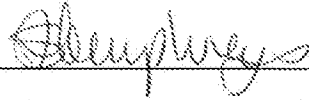
Signature:



Place:

SLOUGH, UK

Witness signature:



Name:

SARAH HUMPHREYS

Address:

10208 Bath Road

Place:

SLOUGH, UK

Witness signature:



Name:

KATE SEDGBEER

Address:

10208 Bath Road

Place:

SLOUGH, UK

**CONFIRMATORY ACKNOWLEDGEMENT, ASSIGNMENT AND
UNDERTAKING AGREEMENT**

THIS AGREEMENT is made the 12 day of June 2018 between

- (1) **Robert James TOWNSEND** (“THE INVENTOR”);
c/o Evotec (UK) Ltd
114 Innovation Drive,
Milton Park,
Abingdon,
Oxfordshire,
OX14 4RZ,
UNITED KINGDOM
- (2) **Evotec (UK) Ltd** (“EVOTEC”)
114 Innovation Drive
Milton Park
Abingdon
Oxfordshire
OX14 4RZ
- (3) **UCB BIOPHARMA SPRL** (“UCB BIOPHARMA”)
Allée de la Recherche, 60
B-1070 Brussels
BELGIUM

WHEREAS

(A) The Inventor has been determined as being the sole inventor or one of the joint inventors of the invention or inventions entitled **SPIROCYCLIC INDOLINES AS IL-17 MODULATORS** and identified by the patent family reference PF0110 (“the Invention”);

(B) The Invention is described in a patent application (“the Application”), identified by the following short particulars, which patent application has with the consent of all the parties hereto been filed in the name of **UCB BIOPHARMA** with the patent office shown:

Patent Office	Filing Date	Application Number
UK Patent Office	14 June 2017	1709456.6

- (C) It is the wish and intention of all the parties hereto that:
- (i) the Application shall continue in the name of **UCB BIOPHARMA**;
 - (ii) any patent application or like application filed in any country or territory and being directed to the Invention (whether or not claiming priority under the Paris Convention or like arrangement from the Application and including all divisional applications, applications for patents of addition, continuation applications,

continuation-in-part applications, applications for re-issue national applications, patent term extensions, supplementary protection certificates, regional applications and international applications and like corresponding applications) ("Corresponding Application(s)") shall be in the name of and shall vest in **UCB BIOPHARMA**; and

- (iii) any patent granted on the Application or on any Corresponding Application(s) shall be in the name of and shall vest in **UCB BIOPHARMA**.

NOW THIS AGREEMENT WITNESSETH:

1. **THE INVENTOR ACKNOWLEDGES** that:
 - a) he or she made the Invention as part of his or her normal employment duties and the circumstances were such that an invention might reasonably have been expected to result from the carrying out of his or her duties; and
 - b) all his or her right, title, and interest in the invention have transferred to **EVOTEC** by virtue of applicable Law.

2. All right, title and interest in the Invention have transferred from **EVOTEC** to **UCB BIOPHARMA** by virtue of an agreement dated 4 March 2014 and amendments thereof.
EVOTEC hereby agrees that **UCB BIOPHARMA** has and shall have the exclusive rights to the Invention and all patent applications and resulting patents pertaining to the Invention, as well as the exclusive right to apply for patents, or any other such protection of intellectual property rights for the Invention, including for the avoidance of doubt the Application and the Corresponding Application(s).

3. **EVOTEC** and **THE INVENTOR ACKNOWLEDGE** that all right, title and interest in the Invention thereof are vested in **UCB BIOPHARMA** and that **UCB BIOPHARMA** has the exclusive rights to:
 - (i) the Invention;

 - (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;

 - (iii) recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

4. Insofar as the rights in the Invention and the Application are still vested in **THE INVENTOR** and/or **EVOTEC** and in consideration of One Dollar (\$1.00) and other good and valuable consideration in hand paid, the receipt and sufficiency whereof are hereby acknowledged, **THE INVENTOR** and/or **EVOTEC** HEREBY ASSIGNS to **UCB BIOPHARMA**:

- (i) the Invention;
- (ii) the Application, the benefit of the Application, all right, title and interest therein (including the right to make Corresponding Application(s) and obtain patents or like forms of protection for the Invention in any country or territory of the world together with the right to claim priority of the Application under the Paris Convention or any other patent treaty, law or convention) and all the rights, powers, liberties and immunities belonging thereto or accrued therefrom free from all encumbrances to the intent that any patent granted pursuant to the Application or to any Corresponding Application(s) shall be in the name of and vested in **UCB BIOPHARMA**;
- (iii) the right to recover and take all such proceedings as may be necessary for the recovery of damages, an account of profits or other relief in respect of all infringements of any patent or patents granted pursuant to the Application or to any Corresponding Application(s) whether such infringements take place before or after the date of this Agreement;

TO HOLD unto **UCB BIOPHARMA** absolutely.

- 5. **UCB BIOPHARMA** HEREBY ACCEPTS all that is transferred to it under this Agreement.
- 6. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY UNDERTAKES to do or have done as and when required by **UCB BIOPHARMA**, or its nominee as **UCB BIOPHARMA** shall direct, and at the expense of **UCB BIOPHARMA**, any and all acts and things including the execution of documents necessary or expedient for perfecting the assignments contained herein and/or for giving effect to the wishes and intentions of the parties hereto.
- 7. EACH OF **THE INVENTOR** AND **EVOTEC** HEREBY AUTHORISES **UCB BIOPHARMA** or its nominee and the agents of any of them as **UCB BIOPHARMA** shall direct to insert here below at (*) the relevant application number and/or any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document:

(*) US Serial No :	_____
and/or PCT Application No :	_____
Filing Date :	_____

8. IN THE EVENT THAT ANY OF THE TERMS OF THIS AGREEMENT is held to be invalid, the remainder of the terms shall continue in full force and effect.


9. THIS AGREEMENT SHALL BE CONSTRUED in all respects in accordance with law governing Prior Agreement.

IN WITNESS whereof the parties have caused this agreement to be duly executed on the day and year first above written.

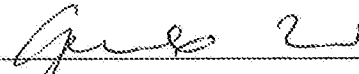
This page remains without signatures on purpose – all signatures are executed on follow-up pages (5-7).

Signed by THE INVENTOR

Robert James TOWNSEND

Signature: 

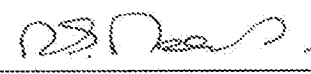
Place: EVOTEC UK Ltd,

Witness signature: 

Name: GIANCARLO TRANI

Address: 114 INNOVATION DRIVE, MILTON PARK, ABINGDON

Place: EVOTEC UK OX14 4RZ

Witness signature: 

Name: RICHARD JOHN MEARS

Address: 114 INNOVATION DRIVE, MILTON PARK, ABINGDON,

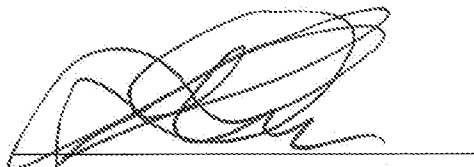
Place: EVOTEC UK OX14 4RZ

Signed for and on behalf of **UCB BIOPHARMA SPRL**

By: Name: Stéphane DROUIN :

Title : Vice President, Head Group Intellectual Property

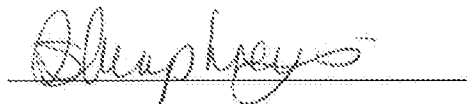
Signature:



Place:

SLOUGH, UK

Witness signature:



Name:

SARAH HUMPHREYS

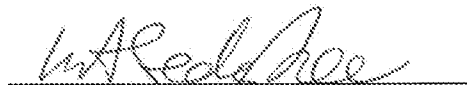
Address:

c/o 208 Bath Road

Place:

SLOUGH, UK

Witness signature:



Name:

KATE SEDCREE

Address:

c/o 208 Bath Road

Place:

SLOUGH, UK