506072562 05/22/2020

## PATENT ASSIGNMENT COVER SHEET

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
DOUGLAS J. MAGNOLIA	09/20/2018
JENNFER C. COLE	09/20/2018

#### **RECEIVING PARTY DATA**

Name:	THE BANK OF NEW YORK MELLON	
Street Address:	240 GREENWICH STREET	
City:	NEW YORK	
State/Country:	NEW YORK	
Postal Code:	10286	

### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16881301

#### **CORRESPONDENCE DATA**

**Fax Number:** (703)770-7901

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent

using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 703-770-7900

**Email:** docket\_ip@pillsburylaw.com,

BARBIE.BEAVERS@PILLSBURYLAW.COM

Correspondent Name: PILLSBURY WINTHROP SHAW PITTMAN, LLP

Address Line 1: PO BOX 10500

Address Line 4: MCLEAN, VIRGINIA 22102

ATTORNEY DOCKET NUMBER:	201818-0512285
NAME OF SUBMITTER:	BARBIE BEAVERS
SIGNATURE:	/barbie beavers/
DATE SIGNED:	05/22/2020
	This document serves as an Oath/Declaration (37 CFR 1.63).

#### **Total Attachments: 2**

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PATENT 506072562 REEL: 052733 FRAME: 0239

# DECLARATION (37 C.F.R. 1.63) FOR UTILITY PATENT APPLICATION USING AN APPLICATION DATA SHEET (37 C.F.R. 1.76) AND ASSIGNMENT

# Title of Invention: SYSTEMS AND METHODS FOR IMPLEMENTING A PLATFORM FOR INVESTING

As a below named inventor, I hereby declare that:

This declaration is directed to the attached application, or (if following box is checked):

[X] United States application or PCT international application number: **14/216.851** filed on **March 17.2014**.

The above-identified application was made or authorized to be made by me.

I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I have reviewed and understand the contents of the application, including the claims.

I am aware of the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR Section 1.56.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

WHEREAS, the undersigned inventor(s) (hereinafter "Assignor"), has/have made an invention entitled

"SYSTEMS AND METHODS FOR IMPLEMENTING A PLATFORM FOR INVESTING" disclosed in the above-identified patent application, and

WHEREAS, <u>ASSIGNEE</u> (hereinafter "Assignee"), a corporation of **THE BANK OF NEW YORK MELLON**, having a place of business at **240 Greenwich Street**, **New York**, **NY 10286**, **U.S.A.**, is desirous of acquiring the entire right, title and interest in and to the aforesaid invention, application and all Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissues, reexaminations, extensions, substitutes, and divisions which may be granted therefor;

NOW THEREFORE, in consideration of the sum of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, I, the said Assignor, by these presents do sell, assign and transfer unto Assignee, its successors, legal representatives and assigns, the full and exclusive right in and to the said invention as described in the said application, and in and to any Letters Patent of the United States or any foreign country, including continuations, continuations-in-part, reissues, reexaminations, extensions, substitutes, and divisions which may be granted therefor and all rights to claim priority on the basis of said application; and I further grant to Assignee the sole right to sue and collect damages for past infringement;

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks or any other proper officer or agency of any country to issue all said Letters Patent to said Assignee;

### ATTORNEY DOCKET NUMBER: 201818-0429731

AND I HEREBY warrant and covenant that I have the full right to convey the entire interest herein assigned and that I have not executed and will not execute any instrument or assignment in conflict herewith;

AND I REREBY agree to communicate to said Assignee or its representatives any facts known to me respecting said invention, to execute all divisional, continuation, reissue, reexamination, extension, substitute, and foreign applications, sign all lawful documents and make all rightful carbs and declarations relating to said invention, execute and deliver any and all papers that may be necessary or desirable to perfect the title to this invention in said Assignee, its successors, legal representatives or assigns, and to testify in any judicial or administrative proceeding and generally to everything possible to aid the said Assignee to obtain and enforce said Letters Patent in the United States or any foreign country when requested so to do by said Assignee.

(1) Legal Name of Inventor: Douglas | MAGNOLIA

Signature 2/1 Date:

(2) Legal Name of Inventor: Jennifer C. COLE

Signature: /////// Date: