

PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT6127132

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
HERRIOT TABUTEAU	03/05/2020
RECEIVING PARTY DATA	
Name:	AXSOME THERAPEUTICS, INC.
Street Address:	200 BROADWAY, 3RD FLOOR
City:	NEW YORK
State/Country:	NEW YORK
Postal Code:	10038
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16844634
CORRESPONDENCE DATA	
Fax Number:	(435)252-1361
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
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Correspondent Name:	BRENT A. JOHNSON
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Address Line 4:	IRVINE, CALIFORNIA 92618
ATTORNEY DOCKET NUMBER:	A3225.10001US38
NAME OF SUBMITTER:	MARIA NADAL
SIGNATURE:	/Maria Nadal/
DATE SIGNED:	05/28/2020
Total Attachments: 6	
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ASSIGNMENT

THIS ASSIGNMENT is made by **Herriot Tabuteau** (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNOR") to **Axsome Therapeutics, Inc.**, a legal entity having its principal place of business at 200 Broadway, 3rd Floor, New York, New York 10038 (hereafter, together with any successors, legal representatives, or assigns thereof, "ASSIGNEE").

WHEREAS, ASSIGNOR has invented and owns rights in, to and under new and useful inventions for which an application(s) for or Letters Patent has been filed as indicated on Exhibit A (hereafter "Inventions");

WHEREAS, ASSIGNOR believes himself to be the original and true inventor of the Inventions;

WHEREAS, ASSIGNEE desires to acquire the Inventions and improvements thereto;

AND WHEREAS, ASSIGNOR and ASSIGNEE desire to have a recordable instrument assigning ASSIGNEE as owners of the entire right, title and interest in, to, and under the Inventions and improvements thereto owned by ASSIGNOR;

NOW THEREFORE, for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, ASSIGNOR does hereby sell, assign, transfer, convey, endorse, and hereby set over unto ASSIGNEE the full and exclusive right, title and interest in, to and under the Inventions to be held and enjoyed by ASSIGNEE, as fully and entirely as the same would have been held and enjoyed by ASSIGNOR had this assignment and sale not been made including the full and exclusive right, title and interest in, to and under 1) any patent application, or any other legal instrument equivalent thereof, including, without limitation, continuation, division, continuation-in-part, substitute, reexamination, renewal, inventor's certificate, and utility model, which has been or may be submitted therefor and thereon anywhere in the World, such term defined herein as including the United States of America, its territorial possessions, and any and all foreign countries under national laws or under the provisions of the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, or any other international treaty equivalent thereof; 2) any Letters Patent, or any other legal instrument equivalent thereof, which has been or may be granted therefor and thereon, in the World, for the full term or terms for which the same may be granted; 3) any reissue, extension, or any other legal instrument equivalent thereof, on any patent application or Letters Patent which has been or may be granted therefor and thereon in the World; and 4) any right to claim priority to a filing date, or any other legal equivalent thereof, which has been or may be claimed by any patent application or Letters Patent therefor and thereon in the World.

ASSIGNOR hereby covenants and agrees to perform any lawful action when deemed essential by and to ASSIGNEE's full enjoyment, protection, enforcement and title in, to and under the Inventions and rights hereby transferred, including, but not limited to, promptly communicating and providing any and all known and accessible facts, data or any other pertinent information; promptly executing and delivering any and all papers, documents, forms, declarations, oaths, affidavits or any other legal instrument; promptly assisting and participating in any and all depositions, hearings, proceedings, trials, appeals, or any other legal procedure; promptly testifying under oath in any and all interference, post grant review, litigation or any other

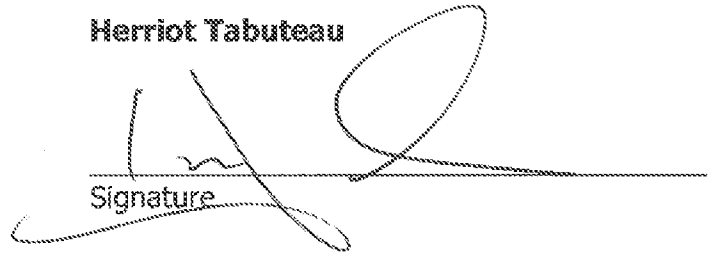
administrative or judicial proceeding; and promptly completing any and all actions necessary or desirable to carry out any and all purposes thereof, relating to any and all proceedings in connection with the submission, procurement, issuance, maintenance, enforcement or defense of the inventions and rights hereby transferred.

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached and not the truthfulness accuracy or validity of that document.

IN TESTIMONY WHEREOF, I hereunder set my hand this 5th day of March, 2020.

ASSIGNOR

Herriot Tabuteau


Signature

State of NEW YORK)
) ss.:
County of)

On this _____ day of _____, 2020, before me, _____, personally appeared **Herriot Tabuteau**, who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

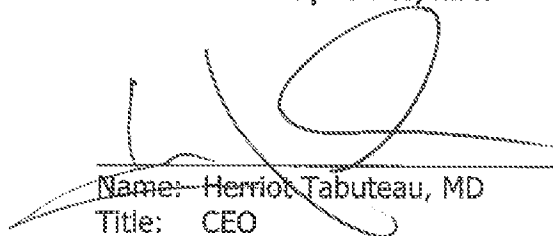
Notary Public

A Notary Public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached and not the truthfulness accuracy or validity of that document.

ACKNOWLEDGED AND CONFIRMED, I hereunder set my hand this 5th day of March, 2020, as a duly authorized representative of ASSIGNEE.

ASSIGNEE

Axsome Therapeutics, Inc.


Name: Herriot Tabuteau, MD
Title: CEO

State of NEW YORK)
)
County of)

ss.:

On this ____ day of _____, 2020, before me, _____, personally appeared **Herriot Tabuteau** who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal.

Notary Public

EXHIBIT A**Patent Rights: Patents and Patent Applications**

<u>Title</u>	<u>Serial No.</u>	<u>Filing Date</u>
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/572,042	09/16/2019
DOSAGE FORMS COMPRISING ACTIVE PHARMACEUTICAL INGREDIENTS	PCT/US19/53167	09/26/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/589,692	10/01/2019
USE OF REBOXETINE TO TREAT NARCOLEPSY	PCT/US19/56134	10/14/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/653,877	10/15/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/688,491	11/19/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/689,970	11/20/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/690,464	11/21/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/693,052	11/22/2019
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/694,440	11/25/2019

<u>Title</u>	<u>Serial No.</u>	<u>Filing Date</u>
USE OF REBOXETINE TO TREAT NARCOLEPSY	62/943,077	12/03/2019
USE OF REBOXETINE TO TREAT NARCOLEPSY	62/946,295	12/10/2019
COMBINATION TREATMENT FOR MIGRAINE AND OTHE PAIN	62/955,905	12/31/2019
USE OF ESREBOXETINE TO TREAT NERVOUS SYSTEM DISORDERS SUCH AS FIBROMYALGIA	16/740,329	01/10/2020
USE OF REBOXETINE TO TREAT NARCOLEPSY	16/740,409	0/11/2020
USE OF ESREBOXETINE TO TREAT NERVOUS SYSTEM DISORDERS SUCH AS FIBROMYALGIA	16/740,410	01/11/2020
USE OF ESREBOXETINE TO TREAT NERVOUS SYSTEM DISORDERS SUCH AS FIBROMYALGIA	16/740,411	01/11/2020
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/773,567	01/27/2020
DOSAGE FORMS AND METHODS FOR ENANTIOMERICALLY ENRICHED OR PURE BUPROPION	62/971,174	02/06/2020
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	PCT/US2020/017046	02/06/2020

<u>Title</u>	<u>Serial No.</u>	<u>Filing Date</u>
PHARMACEUTICAL COMPOSITIONS COMPRISING MELOXICAM	16/790,085	02/13/2020
DOSAGE FORMS AND METHODS FOR ENANTIOMERICALLY ENRICHED OR PURE BUPROPION	62/978,626	02/19/2020