

## PATENT ASSIGNMENT COVER SHEET

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Stylesheet Version v1.2

EPAS ID: PAT6127296

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| <b>SUBMISSION TYPE:</b>   | NEW ASSIGNMENT                            |
| <b>NATURE OF CONVEYANCE:</b>  | ASSIGNMENT                                |
| <b>CONVEYING PARTY DATA</b>   |   |
| <b>Name</b>   | <b>Execution Date</b>                     |
| CHRISTOPHER P. SMITH  | 02/17/2016                                |
| DAVID J. DIETRICH   | 02/22/2016                                |
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| <b>PROPERTY NUMBERS Total: 1</b>  |   |
| <b>Property Type</b>  | <b>Number</b>                             |
| Application Number:   | 16886272                                  |
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| <b>ATTORNEY DOCKET NUMBER:</b>  | 76750-125US400                            |
| <b>NAME OF SUBMITTER:</b>   | JAMES J. PINGOR                           |
| <b>SIGNATURE:</b>   | /James J. Pingor/                         |
| <b>DATE SIGNED:</b>   | 05/28/2020                                |
| <b>Total Attachments: 2</b>   |   |
| source=76750-125US400_Assignment#page1.tif  |   |
| source=76750-125US400_Assignment#page2.tif  |   |

PATENT ASSIGNMENT

WE, Christopher P. Smith and David J. Dietrich ("ASSIGNORS"), have invented subject matter ("INVENTION") disclosed and/or claimed in a patent application entitled "INTRADAY ALERT VOLUME ADJUSTMENTS BASED ON RISK PARAMETERS" ("APPLICATION"), which:

will be filed without this executed PATENT ASSIGNMENT. ASSIGNOR hereby authorizes, and requests ASSIGNEE'S legal representatives of Kegler, Brown, Hill & Ritter Co., L.P.A., 600 Superior Avenue East, Suite 2510, Cleveland, Ohio 44114, who are associated with customer number 85539, to insert here in parenthesis (U.S. Serial No. \_\_\_\_\_ filed \_\_\_\_\_) this APPLICATION'S U.S. Serial Number and filing date, when known;

was filed on December 3, 2015 and was given U.S. Serial No. 14/958/275


Wells Fargo Bank, N.A., on behalf of itself and its successors and assigns ("ASSIGNEE"), is entitled to, and is desirous of acquiring, the entire and exclusive rights, title and interest in the INVENTION and the APPLICATION (and all other applications and patents derived therefrom, such as continuing applications, in and for the United States, its territories, and all foreign countries ("APPLICATION DERIVATIVES"));

For good and valuable consideration, the receipt of which is hereby acknowledged by the ASSIGNORS, the ASSIGNORS hereby sell, assign and transfer to the ASSIGNEE, the entire and exclusive rights, title and interest in the INVENTION and the APPLICATION (and APPLICATION DERIVATIVES);

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of the APPLICATION (and APPLICATION DERIVATIVES), for litigation regarding letters patent derived therefrom, and for the purpose of protecting and perfecting title to the APPLICATION (and APPLICATION DERIVATIVES).

This Patent Assignment may be executed in counterparts, each of which when so executed and delivered shall be deemed an original, but such counterparts together shall constitute one and the same instrument.

2/17/16  
Date

  
Christopher P. Smith

\_\_\_\_\_  
Date

\_\_\_\_\_  
David J. Dietrich

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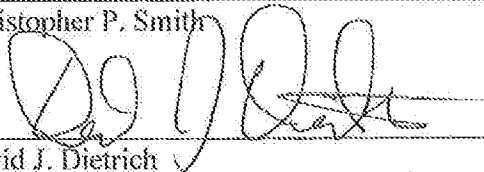
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\_\_\_\_\_  
Date

2-22-16

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Date

\_\_\_\_\_  
Christopher P. Smith



\_\_\_\_\_  
David J. Dietrich