

## PATENT ASSIGNMENT COVER SHEET

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<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
CE CHEN	03/09/2020
WEIHONG DING	03/09/2020
NIANGONG XIAO	03/09/2020
JIII CHEN	03/09/2020
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	XINYANG SUNNY OPTICS CO., LTD.
<b>Street Address:</b>	NO. 88, XINKE AVENUE, PINGQIAO INDUSTRIAL PARK
<b>City:</b>	XINYANG, HENAN
<b>State/Country:</b>	CHINA
<b>Postal Code:</b>	464000
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16767423
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(347)696-4111
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	2039167016
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<b>Correspondent Name:</b>	JINGGAO LI
<b>Address Line 1:</b>	1100 KINGS HIGHWAY EAST, SUITE 2F
<b>Address Line 4:</b>	FAIRFIELD, CONNECTICUT 06825
<b>ATTORNEY DOCKET NUMBER:</b>	77000.003USN
<b>NAME OF SUBMITTER:</b>	JINGGAO LI
<b>SIGNATURE:</b>	/Jinggao LI/
<b>DATE SIGNED:</b>	05/28/2020
	This document serves as an Oath/Declaration (37 CFR 1.63).
<b>Total Attachments: 4</b>	
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**ASSIGNMENT**

FOR valuable and legally sufficient considerations, receipt of which is hereby acknowledged, we,

**Ce CHEN**, a citizen of the People's Republic of China, and a resident of **No. 88, Xinke Avenue, Pingqiao Industrial Park, Xinyang, Henan 464000, China;**

**Weihong DING**, a citizen of the People's Republic of China, and a resident of **No. 88, Xinke Avenue, Pingqiao Industrial Park, Xinyang, Henan 464000, China;**

**Niangong XIAO**, a citizen of the People's Republic of China, and a resident of **No. 88, Xinke Avenue, Pingqiao Industrial Park, Xinyang, Henan 464000, China; and**

**Jili CHEN**, a citizen of the People's Republic of China, and a resident of **No. 88, Xinke Avenue, Pingqiao Industrial Park, Xinyang, Henan 464000, China;** (hereinafter referred to as "Assignors"), are the owners of inventions pertaining to

**Optical filter and Infrared Image Sensing System Including the Same**

and hereby assign to **XINYANG SUNNY OPTICS CO., LTD.**, a Chinese university having its principal office at **No. 88, Xinke Avenue, Pingqiao Industrial Park, Xinyang, Henan 464000, China;** (hereinafter referred to as "Assignee"), the entire right, title and interest in and to such invention, together with any patent, patent rights and rights of protection to the same throughout the world, including any patent rights which may result from an international patent application filed in the United States Patent and Trademark Office and any U.S. and foreign counterpart applications, continuations, divisions, continuation-in-part applications, inventor's certificates and extensions of any of same, said invention and applications to be held and enjoyed by said Assignee for its own use and behoof and for the use and behoof of its successors and assigns, to the full end of the term for which said Patent or other rights of protection may be granted as

entirely as the same would have been held and enjoyed by us had the assignment not been made.

AND WE COVENANT and AGREE and WARRANT that we have full and unencumbered title to the invention hereby assigned, and we further covenant and agree that we have the right to grant such rights to said invention and application and Patent and that we will, at any time upon request without further compensation, execute and deliver any and all papers or instruments that, in the opinion of the Assignee, may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed by this instrument.

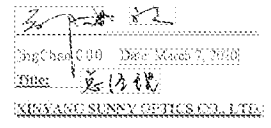
IN WITNESS WHEREFORE, we have hereunto set our hand and seal:

Date: 3.9, 2020 Chen ce  
By: Ce CHEN

Date: 3.9, 2020 DING Weihong  
By: Weihong DING

Date: 3.9, 2020 XIAO Niangong  
By: Niangong XIAO

Date: March 9, 2020 Jingchao GUO FOR Jili CHEN  
By: Jingchao GUO FOR Jili CHEN



## Substitution statement in lieu of an oath or declaration of JIH CHIEN

*Pursuant to 37 CFR 1.64 Substitute statement in lieu of an oath or declaration.*

- (a) An applicant under § 1.43, 1.45 or 1.46 may execute a substitute statement in lieu of an oath or declaration under § 1.63 if the inventor cannot be found or reached after diligent effort.
- (b) A substitute statement under this section must:
  - (1) Comply with the requirements of § 1.63(a), identifying the inventor or joint inventor with respect to whom a substitute statement in lieu of an oath or declaration is executed, and stating upon information and belief the facts which such inventor is required to state;
  - (2) Identify the person executing the substitute statement and the relationship of such person to the inventor or joint inventor with respect to whom the substitute statement is executed, and unless such information is supplied in an application data sheet in accordance with § 1.76, the residence and mailing address of the person signing the substitute statement;
  - (3) Identify the circumstances permitting the person to execute the substitute statement in lieu of an oath or declaration under § 1.63, namely whether the inventor is deceased, is under a legal incapacity, cannot be found or reached after a diligent effort was made, or has refused to execute the oath or declaration under § 1.63; and

For this application, the inventor JIH CHIEN cannot be found or reached after diligent effort.

The person who executed the Substitute Statement is: JingChao GUO, who is the General Manager of Applicant, namely, XINYANG SUNNY OPTICS CO., LTD.

### DECLARATION

JingChao GUO stated that he has reviewed and understands the contents of the application, including the claims, and is aware of the duty to disclose to the Office all information known to the person to be material to patentability as defined in § 1.56.

The undersigned being hereby warned that the substitute statement under this section contained an acknowledgment that any willful false statement made in such statement is punishable under section 1001 of title 18 by fine or imprisonment of not more than 5 years, or both.

The undersigned being hereby warned that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application or any resulting registrations, declares that he/she is authorized to execute this application on behalf of the applicant; he/she believes the applicant to be the owner of the patent application sought to be issued and granted, or if the application is being filed under 15 U.S.C. 1051(b), he/she believes applicant to be entitled to such patent; to the best of his/her knowledge and belief no other person, firm or corporation or association has the right of the patent application; and all statements made of his/her own knowledge are true and all statements made on information and belief are believed to be true.

  
JingChao GUO Date: March 7, 2020  
Title:   
XINYANG SUNNY OPTICS CO., LTD.

## Appendix

18 U.S.C. 1001 Statements or entries generally.

- (a) Except as otherwise provided in this section, whoever, in any matter within the jurisdiction of the executive, legislative, or judicial branch of the Government of the United States, knowingly and willfully—
  - (1) falsifies, conceals, or covers up by any trick, scheme, or device a material fact;
  - (2) makes any materially false, fictitious, or fraudulent statement or representation; or
  - (3) makes or uses any false writing or document knowing the same to contain any materially false, fictitious, or fraudulent statement or entry;

shall be fined under this title, imprisoned not more than 5 years or, if the offense involves international or domestic terrorism (as defined in section 2331), imprisoned not more than 8 years, or both. If the matter relates to an offense under chapter 109A, 109B, 110, or 117, or section 1591, then the term of imprisonment imposed under this section shall be not more than 8 years.