

PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1
 Stylesheet Version v1.2

EPAS ID: PAT6128965

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
XINHAI HAN	10/16/2018
DEENESH PADHI	10/11/2018
ER-XUAN PING	10/13/2018
SRINIVAS GUGGILLA	10/12/2018
RECEIVING PARTY DATA	
Name:	APPLIED MATERIALS, INC.
Street Address:	3050 BOWERS AVENUE
City:	SANTA CLARA
State/Country:	CALIFORNIA
Postal Code:	95054
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	16887433
CORRESPONDENCE DATA	
Fax Number:	(713)623-4846
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
Phone:	7136234844
Email:	hhernandez@pattersonsheridan.com, psdocketing@pattersonsheridan.com
Correspondent Name:	PATTERSON + SHERIDAN, LLP
Address Line 1:	24 GREENWAY PLAZA, SUITE 1600
Address Line 4:	HOUSTON, TEXAS 77046
ATTORNEY DOCKET NUMBER:	APPM/25233USD01
NAME OF SUBMITTER:	CHAD M. DOUGHERTY
SIGNATURE:	/Chad M. Dougherty/
DATE SIGNED:	05/29/2020
Total Attachments: 4	
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ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

1)	Xinhai HAN 4411 Laird Circle Santa Clara, California 95054	2)	Deenesh PADHI 776 Shasta Fir Drive Sunnyvale, California 94086
3)	Er-Xuan PING 39541 Canyon Heights Dr. Fremont, California 94539	4)	Srinivas GUGGILLA 3098 Linkfield Way San Jose, California 95135

(hereinafter referred to as Assignors), have invented a certain invention entitled:

MULTI-LAYER STACK FOR 3D NAND EXTENDIBILITY

for which application for Letters Patent in the United States was filed on October 4, 2018, under Serial No. 16/151,467, executed on even date herewith; and

WHEREAS, Applied Materials, Inc., a corporation of the State of Delaware, having a place of business at 3050 Bowers Avenue, Santa Clara, California 95054 (hereinafter referred to as Assignee), is desirous of acquiring the entire right, title and interest in and to said application (hereinafter referred to as Application), and the invention disclosed therein (hereinafter referred to as Invention), and in and to all embodiments of the Invention, heretofore conceived, made or discovered by said Assignors, and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter referred to as Patents) thereon granted in any and all countries and groups of countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

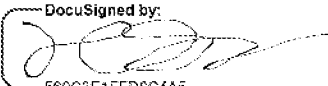
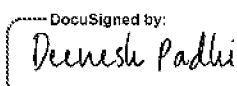
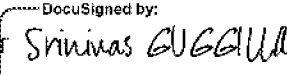
1. Said Assignors hereby sell, assign, transfer and convey to Assignee the full and exclusive right, title and interest (a) in and to said Application and said Invention; (b) in and to all rights to apply for patents on said Invention in any and all countries pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all Applications filed and any and all Patents granted on said Invention in any and all countries and groups of countries, including each and every Application filed and each and every Patent granted on any application which is a conventional, division, substitution, or continuation of said Application; and (d) in and to each and every reissue or extension of any of said Patents.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right, title and interest to said Invention herein conveyed in any and all countries and groups of countries. Such cooperation by said Assignors shall include prompt production of pertinent facts and documents, giving testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, conventional, divisional, continuing or additional applications covering said Invention; (d) for filing and prosecuting applications for reissuance of any of said Patents; (e) for interference or other priority proceedings involving said Invention; and (f) for legal proceedings involving said Invention and any application therefore and any Patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Assignors in providing such cooperation shall be paid for by said Assignee.

3. The term and covenants of this agreement shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Assignors, their respective heirs, legal representatives and assigns.

4. Said Assignors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

1)	<u>10/16/2018 12:25:52 PM CDT</u> (DATE)	<div>DocuSigned by:  569C3E1FFD8C4A5...</div> <hr/> Xinhai HAN
2)	<u>10/11/2018 8:32:54 AM CDT</u> (DATE)	<div>DocuSigned by:  999C1984CC37490...</div> <hr/> Deenesh PADHI
3)	<u> </u> (DATE)	<hr/> Er-Xuan PING
4)	<u>10/12/2018 12:40:43 PM CDT</u> (DATE)	<div>DocuSigned by:  E8F4138DDAF54AC...</div> <hr/> Srinivas GUGGILLA

ASSIGNMENT FOR APPLICATION FOR PATENT

WHEREAS:

Names and Addresses of Inventors:

1)	Xinhai HAN 4411 Laird Circle Santa Clara, California 95054	2)	Deenesh PADHI 776 Shasta Fir Drive Sunnyvale, California 94086
3)	Er-Xuan PING 39541 Canyon Heights Dr. Fremont, California 94539	4)	Srinivas GUGGILLA 3098 Linkfield Way San Jose, California 95135

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NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Assignors to have been received in full from said Assignee:

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IN WITNESS WHEREOF, the said Assignors have executed and delivered this instrument to said Assignee on the dates indicated below.

- 1) _____ (DATE) _____
Xinhai HAN
- 2) _____ (DATE) _____
Deenesh PADHI
- 3) 10/13/2018 6:14:29 PM CDT _____

DocuSigned by:
Er-Xuan Ping
4DDE41074B88404...
Er-Xuan PING
- 4) _____ (DATE) _____
Srinivas GUGGILLA