

## PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1  
Stylesheet Version v1.2

EPAS ID: PAT6139607

<b>SUBMISSION TYPE:</b>	NEW ASSIGNMENT
<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
SATOSHI TSUCHIYA	10/31/2018
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	F. HOFFMANN-LA ROCHE AG
<b>Street Address:</b>	GRENZACHERSTRASSE 124
<b>City:</b>	BASEL
<b>State/Country:</b>	SWITZERLAND
<b>Postal Code:</b>	4070
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	16884562
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)952-9881
<i>Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.</i>	
<b>Phone:</b>	650-467-8720
<b>Email:</b>	kokkac@gene.com
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<b>Address Line 1:</b>	1 DNA WAY
<b>Address Line 4:</b>	SOUTH SAN FRANCISCO, CALIFORNIA 94080
<b>ATTORNEY DOCKET NUMBER:</b>	P34328-US
<b>NAME OF SUBMITTER:</b>	CHIAKI KOKKA
<b>SIGNATURE:</b>	/Chiaki Kokka/
<b>DATE SIGNED:</b>	06/05/2020
<b>Total Attachments: 4</b>	
source=Assignment 6 (7th Inventor to FHLR for P34328-WO).pdf#page1.tif	
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source=Assignment 6 (7th Inventor to FHLR for P34328-WO).pdf#page4.tif	

**ASSIGNMENT AGREEMENT**

I/We, the undersigned

**Inventor(s)**

Satoshi Tsuchiya, a Japanese citizen  
 c/o Chugai Pharmaceutical Co., Ltd.  
 1-1 Nihonbashi-Muromachi 2-Come, Chuo-ku  
 103-8324, Tokyo  
 Japan

**Employed by:**

Chugai Pharmaceuticals Co. Ltd.  
 (Chugai)

hereinafter ASSIGNOR(S), herewith declare that I am/we are joint inventor(s) together with

**Inventor(s)**

Jörg Benz  
 Uwe Grether  
 Benoit Hornsperger  
 Bernd Kuhn  
 Bülent Kocer  
 Hans Richter  
 Charles Bell  
 Xiang Wu  
 Xiaofei Yan

**Employed by:**

F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 F. Hoffmann-La Roche AG  
 WuXi App Tec (Wuhan) Co., Ltd.  
 WuXi App Tec (Wuhan) Co., Ltd.

hereinafter CO-INVENTOR(S)

of the invention entitled:

NEW HETEROCYCLIC COMPOUNDS

for which (a) priority application(s) was (were) filed with the

**Patent Office**

State Intellectual Property Office of the People's Republic of China

as outlined below:

Case No.	Country	Filing Date	Serial No.
P34328-WO	International	October 25, 2018	PCT/CN2018/111860

ASSIGNOR(S) acknowledge(s) the previous transfer to

F. Hoffmann-La Roche AG (ROCHE)  
 Grenzacherstrasse 124  
 4070 Basel  
 Switzerland

hereinafter ASSIGNEE. ASSIGNOR(S) herewith assign(s) to ASSIGNEE all their inventor's rights and the rights to claim priority. Such assignment is being made retroactively as per the date of conception of such inventions.

The ASSIGNOR(S) herewith confirms that the invention as set forth above is an invention co-invented by him/her as employee of CHUGAI, participating in the exchange program and working within the organization of ROCHE on a Roche project in accordance with clause 2 of the respective agreement between ROCHE and CHUGAI of June 1, 2007 (AGREEMENT). In line with clause 2 of the AGREEMENT this invention is directly assigned to ASSIGNEE.

The assignment of the rights of the CO-INVENTOR(S) is subject to a separate assignment agreement. ASSIGNOR(S) explicitly approve(s) that assignment.

C:\Users\180300\AppData\Local\Microsoft\Windows\NetCache\Content.Outlook\1EAHZJIT\P34328\_WO\_Assignment\_Chugai\_inventor\_to\_FHLR\_with\_coinventor.doc

**PATENT****REEL: 052850 FRAME: 0635**

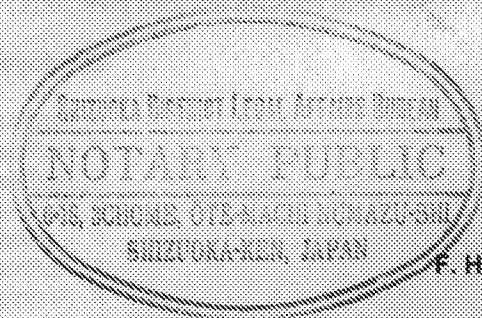


This agreement shall be governed and construed in accordance with substantive laws of Switzerland, with the exclusion of the Vienna Convention on the International Sale of Goods dated April 11, 1980. The competent courts of Basel City, Switzerland shall have exclusive jurisdiction.

**ASSIGNOR(S)**

Numazu, 31. Oct. 2018      Satoshi Tsuchiya  
Place, Date      Satoshi Tsuchiya

**ASSIGNEE**



**F. Hoffmann-La Roche AG**

Basel, Switzerland, November 19, 2018      [Signature]  
Place, Date      Antonio Natoli      Denise Strebel  
Holder of procuration      Mandatory

**Legalization**

The undersigned Notary Public of the Canton of Basel-Stadt (Switzerland), Yves Cron, herewith legalizes the foregoing signature of

**F. Hoffmann-La Roche AG**, a stock company with legal domicile in Basel (Switzerland), rendered by

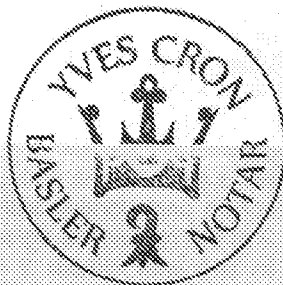
Mister Antonio Natoli, citizen of and residing in Basel (Switzerland), and

Miss Denise Strebel, citizen of Basel (Switzerland), residing in Gretzenbach (Switzerland),

both duly entitled to sign documents wherein property of the company is alienated.

Signers and signatures personally known.

**Basel** (Switzerland), this 19<sup>th</sup> (nineteenth) day of November 2018 (two thousand and eighteen).



[Handwritten signature]

BR 492/2018

平成 30 年 登簿第 103 号

認 証

囑託人土屋智史は、本公証人の面前で添付書面に署名した。

よって、これを認証する。

平成 30 年 10 月 31 日、本公証役場において

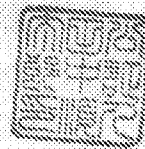
静岡県沼津市大手町三丁目 6 番 18 号

静岡地方法務局所属

公 証 人

Notary

田中 隼太郎  
Juntaro Tanaka



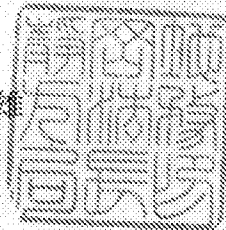
総公証 No. H30 - 0765 号

証 明

この認証の付与は、在職中の公証人がその権限に基づいてしたものであり、かつ、その押印は、真実のものであることを証明する。

平成 30 年 10 月 31 日

静岡地方法務局長 渡 辺 富 雄



CERTIFICATE

This is to certify that the annexed Notarial Certificate has been executed by Notary, duly authorized and practising in Shizuoka, Japan, and that the Official Seal appearing on the same is genuine.

Date 31. OCT. 2018.

Tomio Watanabe

Director of the Shizuoka District Legal Affairs Bureau

PATENT

REEL: 052850 FRAME: 0637



# ASSIGNMENT AGREEMENT

Notary Public

Inventor(s)

Employed by

Registered No. 103 of 2018

Chugai Pharmaceutical Co., Ltd.  
1-1 Shintoshin Aomori 2-Chome Chuo-ku  
100-8324, Tokyo  
Japan

Chugai Pharmaceutical Co. Ltd.  
(CHUGAI)

## NOTARIAL CERTIFICATE

Inventor(s)

Employed by

Notary Name:  
Notary Address:  
Notary Phone:  
Notary Fax:  
Notary E-mail:  
Notary Office:  
Notary City:  
Notary State:  
Notary Zip:

F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG  
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F. Hoffmann-La Roche AG  
F. Hoffmann-La Roche AG

This is to certify that Satoshi Tsuchiya, in accordance with legal procedure, signed to the attached document in my very presence.

Dated this October 31, 2018

NOTARIAL CERTIFICATE

Inventor(s) hereby acknowledge(s) who (were) filed with the

Notary Office

Notary Address

Notary Phone

Notary Fax

Notary E-mail

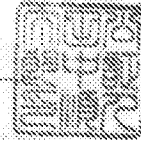
Notary Office

Notary City

Notary State

Notary Zip

*Juntaro Tanaka*



Notary No.

Notary Address

Notary Phone

Notary Fax

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Notary Office

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Shizuoka-ken

Shizuoka District Legal Affairs Bureau



PATENT