# PATENT ASSIGNMENT COVER SHEET

Electronic Version v1.1 Stylesheet Version v1.2 EPAS ID: PAT6145004

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
JIEYI WANG	06/04/2019
YI WU	06/04/2019

#### **RECEIVING PARTY DATA**

Name:	LYVGEN BIOPHARMA CO., LTD.
Street Address:	67 LI BING ROAD, BUILDING #4
Internal Address:	PUDONG ZHANGJIAN HI-TECH PARK
City:	SHANGHAI
State/Country:	CHINA
Postal Code:	201203

#### **PROPERTY NUMBERS Total: 1**

Property Type	Number
Application Number:	16769463

### **CORRESPONDENCE DATA**

Fax Number:

Correspondence will be sent to the e-mail address first; if that is unsuccessful, it will be sent using a fax number, if provided; if that is unsuccessful, it will be sent via US Mail.

**Phone:** 617-406-0357

**Email:** PatentDocketing@polsinelli.com, jchen@polsinelli.com,

lpenta@polsinelli.com

Correspondent Name: Y. JENNY CHEN
Address Line 1: POLSINELLI PC

Address Line 2: ONE INTERNATIONAL PLACE, SUITE 3900

Address Line 4: BOSTON, MASSACHUSETTS 02110

ATTORNEY DOCKET NUMBER:	103221-659079-70021US01
NAME OF SUBMITTER:	LINDA M. PENTA
SIGNATURE:	/Linda Penta/
DATE SIGNED:	06/09/2020

### **Total Attachments: 4**

source=103157-624667 (L0839.70006WO00) - Assignment to Lyvgen Biopharma Co. Ltd-executed#page1.tif source=103157-624667 (L0839.70006WO00) - Assignment to Lyvgen Biopharma Co. Ltd-executed#page2.tif

PATENT 506098283 REEL: 052882 FRAME: 0700

 $source = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 3.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ to \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \#page 4.tifsource = 103157-624667 \ (L0839.70006WO00) - Assignment \ Lyvgen \ Biopharma \ Co. \ Ltd-executed \ Lyvgen \ Biopharma \ Co. \ L$ 

PATENT REEL: 052882 FRAME: 0701

## **ASSIGNMENT**

For good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned Assignor, hereby:

- Sell, assign and transfer to LYVGEN BIOPHARMA CO., LTD., having a place of business at 67 Li Bing Road, Building #4, Pudong Zhangjian Hi-Tech Park, Shanghai 201203 People's Republic of China, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, my entire right, title and interest for the United States and all foreign countries, in and to any and all inventions and designs which are disclosed in the International Application filed on December 4, 2018 under International Application No. PCT/US2018/063809, bearing Attorney Docket No. 103157-624667 (L0839.70006WO00), and entitled ANTI-CD137 ANTIBODIES AND USES THEREOF, and in and to the application and all corresponding provisional, non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent, utility models, industrial designs or similar intellectual property rights which have been or shall be filed in the United States, internationally, and in any foreign country, including but not limited to China, Japan and Korea, on any of the inventions and designs; and in and to all original and reissued patents which have been or shall be issued in the United States or any other jurisdiction on the inventions and designs, including the right to apply for patent rights in each foreign country and all rights to priority, including the right to claim priority for China, Japan and Korea; as well as the right to sue in its own name and recover damages for past infringement of any United States Letters Patent and foreign patent, including a reasonable royalty relating to provisional rights under 35 U.S.C. § 154(d) that have attached to any published United States patent application, on the inventions and designs:
- 2. Agree that the Assignee may apply for and receive Letters Patent and utility model and industrial design registrations for the inventions and designs in its own name; and when requested, without charge to but at the expense of the Assignee, agree to carry out in good faith the intent and purpose of this Assignment, by executing all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent, utility model and industrial design applications on any and all the inventions and designs, by executing all rightful oaths, assignments, powers of inventions and other papers, by communicating to the Assignee all facts known to me relating to the inventions and designs and the history thereof, and generally by doing everything reasonably possible which the Assignee shall consider desirable for aiding in securing and maintaining proper protection for the inventions and designs and for vesting title to the inventions and designs and all applications for patents and all patents on the inventions and designs, in the Assignee;
- Request the Director of the United States Patent and Trademark Office and foreign patent authorities to issue the Letters Patent or other intellectual property rights, including foreign patents, to the Assignee;
- 4. Authorize and request Wolf, Greenfield & Sacks, P.C. to supply any missing application identification information or correct any errors in the application identification information provided above, whether discovered prior to or after recordation;
- 5. Covenant with the Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by me and that full right to convey the same as herein expressed is possessed by me; and

Attorney Docket No. 103157-624667 (L0839.7006WO00)

1

68894952.1

2019, 6. 4.		4
Date	Inventor:	Jieyi Wang
	Address:	2317 Hastings Drive
		Belmont, California 94002
		UNITED STATES OF AMERICA
	Citizenship:	US
Witness:		
2019.6.4		lao Wang
Date	Signature	V v
	Name:	blas was a
	, 1,04(11.0-1	TILIO FUANO
	Address:	How Wang  1043 Halei Road, Suite 6013  Shonghal China
		Shample' Clina
		ZNEWTRAL CANNA
		~
Witness:		
2019-6-4		Staying Lu
Date	Signature	31007773 20
	<b>b.</b> (	
	Name:	Jiaying Lv
	Address:	1043 Halei Road Suits 6018 Shanghai
		Chīna
Attorney Docket No. <b>103157-624667 (L083</b>	9.7006WO00)	
	2	

68894952.1

6. Appoint Assignee, through its designee, my attorney-in-fact to execute, in my name and on my behalf, any and all documents required to effectuate this Assignment, specifically including, but not limited to, those documents specified above and any necessary corrective assignments.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

This instrument is executed under seal and signed under the pains and penalties of perjury under the laws of the United States of America.

2019.06.00		_Yi Wu
Date	Inventor:	Yi Wu
	Address:	67 Li Bing Road, Building #4
		Pudong Zhangjian Hi-Tech Park
	•	Shanghai 201203
		PEOPLE'S REPUBLIC OF CHINA
	Citizenship:	CN
Witness:	4	
Date Date	Signature	Har Wang
,	Name:	8
	Name.	Has Wang
	Address:	10582 Halei Road Cuita Las 12
		Charles Chara
		Shanghai China
Witness:		
2019.6.4		Jiaying Lu
Date	Signature	,
	Name:	Jiaying Lv
	Address:	1093 Halei Road Suite bolB 5hanghai
		China
Attorney Docket No. 103157-624667 (L0839)	.7006WO00)	

12003.7000880

3

68894952.1

2019. 6. 4.	Assignee:	INCENTION OF THE PROPERTY OF T
	Address:	LYVGEN BIOPHARMA CO., LTD
		Pudong Zhangjian Hi-Tech Park
		Shanghai 201203
		PEOPLE'S REPUBLIC OF CHINA
Witness:	ì	
Date Date	Signature	o Wang
	Name:	Hao Wang
	Address:	love J Hale; Load Suite 60113 Shanghai China
		Shanghai China
		~
Witness:		
2019.6.4		Barbar I.
Date	Signature	Jiaying Lu
	Name:	Jiaying LV
	Address:	1043 Halei Road Suite boils Shanghai
	,	China
Attorney Docket No. <b>103157-624667 (L0839.7</b> 0	006WO00)	

PATENT REEL: 052882 FRAME: 0705

68894952.1